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The Role of Mantle Plumes in Deep Earth and Surface Processes

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Key Messages

- Thermochemical mantle plumes are an integral part of a dynamic Earth's interior.
- Many mantle plumes originate from the deepest regions in Earth's mantle.
- Mantle plumes influence surface processes, including Earth's environment and climate.

1. Abstract

4 The existence of mantle plumes to explain intra-plate, hotspot volcanism was proposed half a century ago, 5 but their role in Earth's mantle dynamics has been debated. Since then, our understanding of mantle 6 processes has been informed by progress in seismic imaging, modelling of mantle flow in numerical and 7 laboratory experiments, plate tectonic reconstructions, as well as the collection and interpretation of 8 isotopic and geochronological data in ocean island basalts (OIB) and continental hotspot tracks. While the 9 fine-scale structure of mantle plumes has yet to come into focus, seismological evidence for their 10 presence, rooted at the core-mantle boundary, as proposed by Morgan (1971), is mounting. The classical 11 model of purely thermal mantle plumes with narrow (~200 km) stems and large heads, rising vertically 12 through the mantle right underneath all hotspot volcanoes, has been refined. Improved models 13 substantiate that some plumes are thermochemical and can attain complex and broader shapes, that 14 plumes are often not stationary but deflected in the mantle wind, and that many—but not all—plumes are 15 rooted in a dense basal layer, likely of different composition than bulk mantle, and often as part of large 16 regions characterized by anomalously low seismic velocities. Here, we review the recent evolution in our 17 understanding of the morphology and composition of plumes, their role in global mantle convection, as 18 well as how mantle plumes contribute to the long-term evolution of the mantle, and how they may impact 19 climate, ocean chemistry, global biosystem evolution, and continental break-up. Our understanding of the 20 nature and impact of mantle plumes has increased markedly, but more work is required to arrive at a 21 deeper understanding of Earth's dynamic interior as connected to a large range of surface processes.

2. Introduction

Originally proposed to explain the existence of linear chains of intra-plate volcanoes with ages increasing in the direction of plate motion (Wilson 1963), mantle plumes have been classically defined as narrow, thermal upwellings, with large plume heads, as expected in a temperature dependent viscosity fluid of uniform composition that is heated from below. These plumes could start as deep as the core-mantle boundary (Morgan 1971) at roughly 2,900 km depth, rising to the base of Earth's lithosphere over tens of millions of years (**Figure 1a**). Here, partial melting of the plume material may result in magmatism that creates large igneous provinces (LIPs) and age-progressive volcanic chains for the plume head and tail, respectively. This intra-plate volcanism, which typically forms away from and seemingly independent of major plate tectonic boundaries, may be the only means of directly sampling materials from the deepest mantle, and as such provides a unique 'window' into the workings and makeup of Earth's interior.

32 Mantle upwellings, as an integral part of a convecting Earth, were first invoked in the first half of 33 the 20th century. Alfred Wegener's (1915) theory that continents drift over geological time required a 34 driving mechanism that by some was imagined to involve whole mantle convection (Holmes 1928; 1931). 35 The plate tectonics revolution in the 1960s provided an explanation for volcanism on plate boundaries, 36 but not in the middle of plates. J. Tuzo Wilson (1963) and W. Jason Morgan (1971) hypothesized that the 37 volcanic islands of Hawaii formed on a rigid tectonic plate moving over a 'hotspot' in the Earth's 38 asthenosphere, centered above a vertical, narrow, hot mantle plume from the deep mantle. Coming full 39 circle, the deep mantle sources of these plumes were later connected to the existence of recycled 'old' 40 oceanic lithosphere (and sediments) that were conveyed to Earth's deep interior via subduction and, over 41 the course of hundreds of millions to billions of years, returned to the surface (Hofmann and White 1982; 42 White and Hofmann 1982; Zindler and Hart 1986). If mantle plumes exist, the studies of their volcanic 43 surface products, therefore, provide key insights into mantle dynamics, as well as insights into the 44 convective scales of Earth's very deep interior near the core-mantle boundary, and into the global 45 geochemical cycle governed by downwelling at subduction zones and upwelling from a variety of large-46 scale chemical mantle reservoirs.

47 The dynamics of mantle upwellings and their relation with hotspots are still debated. It is unclear 48 whether hotspot volcanism is sourced by broad upwellings, or narrower upwellings in the form of mantle 49 plumes, or both. It is also debated whether some kinds of upwellings are confined to the upper mantle 50 alone, above the seismic 660 km mantle discontinuity, or whether they are all sourced much deeper from 51 near the core-mantle boundary (e.g. Richards et al. 1989; Davaille 1999; Steinberger 2000; Courtillot et 52 al. 2003; Schubert et al. 2004; Koppers 2011; Anderson 2013; Anderson and Natland 2014; Konrad et al. 53 2018a). How many mantle plumes exist, their longevity, dynamic behavior, and chemical make-up, are 54 still poorly understood. While advocates for an important role of plumes in the physical and chemical 55 evolution of the planet argue for connections between large-scale Earth processes, plate tectonics and 56 volcanism, seismic tomography has only recently (Nelson and Grand 2018) imaged the first conduit that 57 is sufficiently narrow that it could be a purely thermal mantle plume as proposed by Wilson and Morgan. 58 Opponents of the plume concept have argued that, alternatively, near-surface processes, limited to Earth's 59 lithosphere, may explain the same observations (Foulger and Natland 2003; Anderson 2013).

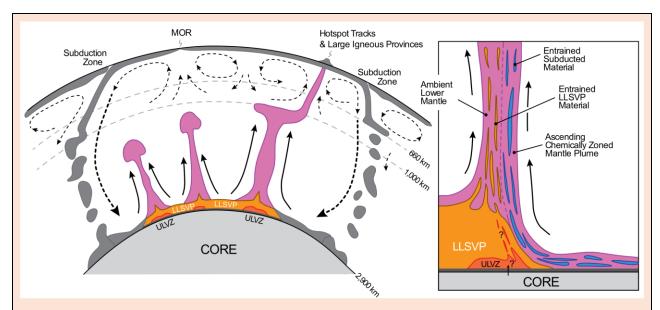


Figure 1: Dynamic Nature of Earth's Interior. (left) Schematic cross-section through Earth's interior,
depicting the key components of plume generation and upwelling near, above, and along the edges of a
Large Low Shear Velocity Province (LLSVP). Modified after Yuen and Romanowicz (2017). (right)
Schematic cross-section of a plume root showing entrainment of possible mantle components at the edge
of an LLSVP and centered above an Ultra-Low Velocity Zone (ULVZ) that may be a unique deep mantle
locality containing partial melt. Modified after Torsvik *et al.* (2016).

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Over the last decades, advanced computer modeling and laboratory experiments have shown how 67 68 entrainment of chemical heterogeneity may change the physical and chemical characteristics of plume 69 conduits, causing large variations in the behavior of the resulting 'thermochemical' plumes that are 70 dependent on various plate tectonic and geophysical boundary conditions (e.g. Olson and Yuen 1982; 71 Davaille 1999; Davaille et al. 2002; Jellinek and Manga 2004; Ballmer et al. 2011; Davies 2005; 72 Deschamps et al. 2011; Tan et al. 2011; Bossmann and van Keken 2013; Hassan et al. 2015). At the same 73 time, seismic tomography studies have pointed out the existence of two large anomalous domains in the 74 deepest parts of the mantle that show lower seismic velocities than surrounding regions (e.g. Dziewonski 75 and Woodhouse 1987; Li and Romanowicz 1996; Grand et al. 1997; van der Hilst et al. 1997; Su and 76 Dziewonski 1997; Masters et al. 2000; Ritsema et al. 2011). These domains are now referred to as "large 77 low shear velocity provinces" (LLSVPs) and some studies have proposed that they function as primary 78 plume nurseries. Notably, recent mantle tomography studies have shown the existence of broader 'plume 79 like' structures extending from the deep mantle in the vicinity of some hotspot volcanoes (Montelli et al. 80 2006; Boschi et al. 2008; French and Romanowicz 2015; Lei et al. 2020).

81 In this review, we discuss progress in imaging deep mantle structures, understanding their 82 potential role in mantle plume formation, and modeling the shapes and behaviors of plumes depending on 83 composition, rheology, and other boundary conditions. We discuss how the composition of oceanic island 84 basalts increases our knowledge of deep mantle reservoirs and may provide geochemical evidence for 85 plumes generated at the core-mantle boundary. We also discuss how paleomagnetic and age information 86 in seamount chains inform past plate and plume motions, how and if true polar wander of our planet may 87 happen given the observed overall mantle structure and behavior, and how continental break up and the 88 formation of new ocean basins may occur when mantle plumes impinge on the base of Earth's 89 lithosphere. Finally, we discuss how mantle plumes can influence the state of Earth's climate and ocean 90 health on geological timescales.

3. Deep Mantle Superstructures

91 Based on today's global seismic tomography models we can recognize two large-scale features with 92 anomalously low seismic velocities in the deepest parts of the mantle. Although the general outlines and 93 locations underneath the Pacific and below Africa are generally agreed upon, the makeup and origin of 94 these features (continuous piles versus plume bundles) are still debated as well as their role in the 95 generation of plumes and hotspots (plume nurseries).

3.1 Continuous Piles versus Plume Bundles

96 The very first tomographic images of the Earth's lower mantle revealed the presence of a very long 97 wavelength structure at the base of the mantle (degrees 2 and 3 in spherical harmonics expansion) anti-98 correlated with that observed in the gravity field (Dziewonski et al. 1977). The authors proposed two 99 possible explanations for the unexpected sign of this correlation. The first is a dynamic interpretation in 100 terms of thermal anomalies and core-mantle boundary (CMB) deflections due to mantle-wide convection; 101 and the second involves lateral variations in composition due to the presence of eclogite-rich material in 102 regions of past subduction and/or chemical plumes originating near the CMB. While these suggestions 103 were quite speculative at the time, they are still actively pursued. The correlation, at large scale, of 104 seismic structure in the deep mantle with anomalies in Earth's geoid and subduction zone configuration 105 on the one hand (e.g. Hager et al. 1985; Dziewonski and Woodhouse 1987) and the distribution of 106 hotspots and superswells on the other (Anderson 1982; Davies 1988; Richards et al. 1988; Richards and 107 Engebretson 1992; Larson 1991a; Larson 1991b; McNutt 1998) was established soon thereafter. 108 Scores of seismic studies have since then confirmed the presence of LLSVPs and interpreted the 109 seismically fast areas elsewhere as the remnants of the downgoing slabs from present and past subduction 110 zones (Ricard et al. 1993; Lithgow-Bertelloni and Richards 1998), with a time-depth progressive

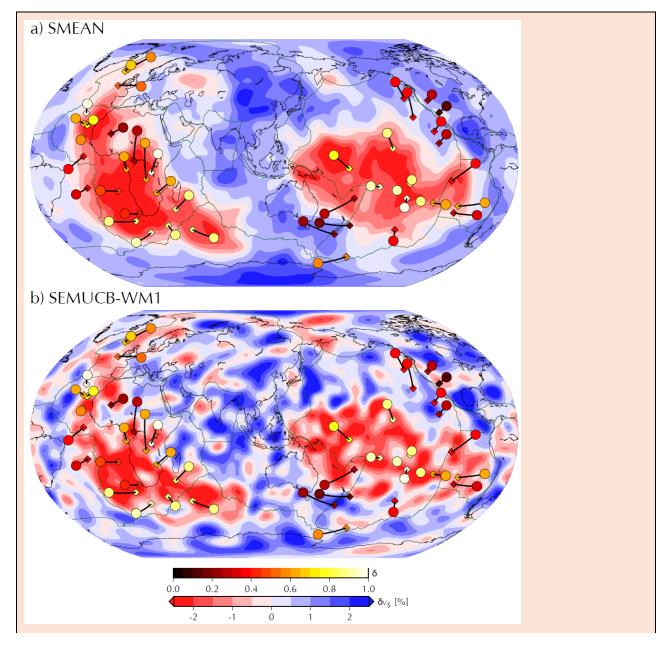
111 correlation demonstrated for the last 130 million years (Domeier *et al.* 2016). Recent tomographic models 112 show considerable agreement on the extent of the LLSVPs and the shape of their borders when filtered up 113 to spherical harmonics degree 16, or roughly 2,500 km wavelengths (Becker and Boschi 2002; Lekic et 114 al. 2012). While the low seismic velocities within the LLSVPs indicate they are likely hot, the anti-115 correlation of bulk-sound and shear velocity within them (Su and Dziewonski 1997; Kennett et al. 1998; 116 Masters et al. 2000) as well as the sharpness of their borders (e.g. Ni et al. 2002; Wang and Wen 2004; 117 To et al. 2005) suggests that they may include a compositional and denser component, although the 118 necessity of the latter has been questioned (Schuberth et al. 2009; Davies et al. 2012). If parts of the 119 LLSVPs are denser than their surroundings (Ishii and Tromp 2004; Simmons et al. 2010) this would help 120 resist entrainment in mantle convection and mixing with the overlying mantle and perhaps facilitate their 121 survival over hundreds of millions of years (e.g. Mulyukova et al. 2015), and perhaps throughout most of 122 Earth history, if they, at least partly represent primordial reservoirs (e.g. Ballmer et al. 2016). However, 123 resolving the density structure in LLSVPs is challenging (Romanowicz 2001; Trampert et al. 2004) and 124 different inferences on their effective density (Lau et al. 2017; Koelemeijer et al. 2017) might be due to 125 thermal effects offsetting intrinsic density contrasts depending on the scale (e.g. McNamara 2019).

126 The distinct composition of LLSVPs could be due to the presence at the base of the mantle of a 127 reservoir of primitive material, as might be suggested by studies that relate high ${}^{3}\text{He}/{}^{4}\text{He}$ hotspots to 128 plumes originating near the CMB (e.g. Macpherson et al. 1998; Williams et al. 2019; Mundl et al. 2017; 129 Mundl-Petermeier et al. 2019; 2020) or to an accumulation of eclogite from subducted crust (e.g. 130 Mulyukova et al. 2015), or some depth-dependent stratification of both (e.g. Ballmer et al. 2016). But it 131 remains unclear whether the LLSVPs are compact, continuous piles of compositionally distinct material 132 (e.g. McNamara and Zhong 2005; Steinberger and Torsvik 2012; Li and Zhong 2017) where mantle 133 plumes are generated across their tops and along their edges, or whether LLSVPs represent two bundles 134 of closely-spaced thermo-chemical plumes (Schubert et al. 2004; Davaille and Romanowicz 2020) 135 separated by a ring of downwellings constrained by the geometry of tectonic plates at the surface (Zhang 136 et al. 2010; Bull et al. 2014). This remains a subject of debate (e.g. Garnero et al. 2016; McNamara 2019; 137 Heron et al. 2019; Davaille and Romanowicz 2020).

3.2 Mantle Plume Nurseries

- 138 Also debated are whether or not plumes in general, or those leading to LIPs, originate primarily along the
- edges of LLSVPs (Thorne *et al.* 2004; Boschi *et al.* 2007; Tan *et al.* 2011; Steinberger and Torsvik 2012;
- 140 Davies et al. 2015a; Austermann et al. 2014; Hassan et al. 2015; Doubrovine et al. 2016). As the LLSVP
- 141 edges are defined by sharp vertical and horizontal gradients in seismic shear-wave velocities (Ni *et al.*
- 142 2002; To et al. 2005; Frost and Rost 2014) and plumes and reconstructed LIP locations tend to occur

- 143 close to vertically above them, they are proposed by some to act as 'plume generation' zones (Figure 1b)
- 144 for all major hotspots and LIPs that have been active and remained approximately in place over at least
- 145 the last 200 million years (Burke and Torsvik 2004; Torsvik et al. 2006; Burke et al. 2008). However, this
- 146 is particularly difficult to determine in the case of the rather narrow African LLSVP (Figure 2).
- 147 Interestingly, the roots of at least some of the LLSVP-rooted plumes do seem to contain unusually large
- 148 ultra-low-velocity zones (ULVZs) (Hawaii: Cottaar and Romanowicz 2012; Samoa: Thorne *et al.* 2013;
- 149 Iceland: Yuan and Romanowicz 2017; Marquesas: Kim et al. 2020) that are indicative of a
- 150 compositionally different, likely denser component (Rost et al. 2005), possibly due to the presence of
- 151 partial melt (Williams and Garnero 1996) or at least iron enrichment (Mao *et al.* 2006).



153 Figure 2: Mantle Plume Locations and LLSVPs. Global maps linking surface hotspots (circles) with 154 depth-projected bottom source locations (diamonds) of mantle plumes (modified from Boschi et al. 155 2007). Background coloring is shear wave anomaly at 2,875 km depth depicting where the two LLSVPs 156 are located beneath Africa and the mid Pacific. The SMEAN composite (Becker and Boschi 2002) and 157 SEMUCB-WM1 (French and Romanowicz 2014; 2015) models illustrate evolving tomographic views of 158 the LLSVPs with the latter model supporting the view that LLSVPs are more granular, potentially 159 indicating that LLSVPs are bundles of closely-spaced plumes instead of large piles. The maps suggest 160 that most plumes originate above LLSVPs with a smaller group (Bowie, Cobb, Guadelupe and Socorro in 161 the eastern Pacific) forming away from these lower mantle anomalous regions. The δ metric in the upper 162 color bar is used to color hotspots and inferred plume base locations; it is the normalized conduit length as 163 identified in tomography where zero means that none and unity means that 100% of the plume length are 164 mapped. The δ_{V_s} in the lower color bar is the amplitude of the seismic shear velocity anomaly.

4. Mantle Plume Characteristics

In this section, we review the key characteristics of mantle plumes. We will discuss how we know that plumes exist (imaging plumes) and what is the expected makeup and behavior of plume heads and tails (plume generation and ascent). From this a distinct picture emerges in which plumes are persistent features given the deep mantle conditions that occur in Earth today and, at least, over the last few hundreds of millions of years.

4.1 Imaging Mantle Plumes

170 Where seismic tomography more easily picks up large-scale LLSVP superstructures and faster subducting 171 slabs, imaging seismically slower 'tubular' mantle plumes in the ocean domain is challenging (Ritsema 172 and Allen 2003; Sleep 2006). Within the framework of purely thermal convection with temperature-173 dependent viscosity in a fluid heated from below, a 'thermal' mantle plume conduit may only be 100-200 174 km in diameter in the upper mantle but increase to more than 400 km in diameter in the lower half of the 175 mantle that has a significantly higher viscosity (e.g. Steinberger and Antretter 2006). Plume detection is 176 difficult because of a limited resolution in seismic tomography, especially due to a lack of earthquake 177 sources and receiver stations in the ocean basins, and wavefront healing effects that hide low velocity 178 domains of small diameter when classical travel time tomography is applied. The Iceland plume at first 179 could only be seen in the upper mantle with an upwelling broader than expected (Wolfe et al. 1997; Allen 180 et al. 2002). Similarly, imaging of the Hawaii plume originally resulted in the detection of a broad, 181 inclined upwelling, disappearing from view below 1,500 km mantle depths (Montelli et al. 2004; Montelli 182 et al. 2006; Wolfe et al. 2009). Generally, teleseismic travel time tomography has found plume-like

conduits of at least 400 km diameter in earlier studies (Nolet *et al.* 2005; Bijwaard and Spakman 1999). In
more recent, higher resolution models, such broader plume-like conduits are found beneath many major
hotspots, appear to be rooted near the CMB and rise all the way through the lower mantle, reaching upper
mantle depths in the vicinity of hotspots (Boschi *et al.* 2008; French and Romanowicz 2015; Lei *et al.*2020). Their larger than ~500 km diameter (accounting for smearing due to the inversion process)
indicates that they are likely thermochemical rather than purely thermal (French and Romanowicz 2015;
Davaille *et al.* 2018) and most of these broad conduits are observed over the LLSVPs.

190 Anomalies in the travel times of seismic core waves, recorded by the dense USArray seismic 191 network in North America, now also reveal a lower-mantle plume beneath the Yellowstone hotspot 192 (Nelson and Grand 2018) that is probably unrelated to the Pacific LLSVP. This is a unique case study as 193 Yellowstone is so far the only plume where the predicted tilted conduit shape can be matched in detail 194 with a tomographic conduit image (Steinberger et al. 2019b). In the absence of individual conduits 195 resolved at that time for most hotspots, Boschi et al. (2007) found that modeled plume conduits that take 196 into account the effects of advection, and the associated displacement of plume sources at the base of the 197 mantle, agree better with tomographic results than vertical conduits. The correlation of negative 198 anomalies in seismic tomography with predicted plume conduits is indeed statistically highly significant 199 (Boschi et al. 2008) and provides the counterpart to the correlation between mantle tomography and 200 forward models of subduction (e.g. Steinberger et al. 2012). In addition, and different from 201 geodynamically modelled conduits that tend to be tilted throughout the entire mantle (e.g. Steinberger 202 2000; Steinberger and Antretter 2006), French and Romanowicz (2015) imaged plumes that are nearly 203 vertical in much of the lower mantle, but some are strongly tilted above 1,000 km depth. This difference 204 between geodynamic models and seismic observations should provide important constraints on mantle 205 rheology. Such a strong tilt above ~1,000 km is also found for the Yellowstone plume (Nelson and Grand 206 2018). This may indicate that current mantle flow models are overestimating overall flow speeds below 207 \sim 1,000 km depth relative to rising speeds of plume heads and tails, and underestimating flow speeds 208 above this horizon.

209 Recent global seismic tomography indicates that both downgoing slabs (e.g. Fukao and Obayashi 210 2013) and upwellings, as manifested by broad plume conduits (e.g. French and Romanowicz 2015; Lei et 211 al. 2020) appear to be deflected horizontally not only around 660 km depth—where we know there is a 212 seismic discontinuity that corresponds to the phase transition from ringwoodite to bridgmanite and 213 oxides—but also around 1,000 km depth. This is accompanied by a decorrelation between the longest 214 wavelength seismic structures in the extended transition zone (400-1,000 km) and the deeper mantle (e.g. 215 Rudolph et al. 2015), which is visible even in lower resolution seismic mantle models and indicated by 216 seismic data suggesting vertical decorrelation at ~800 km depth (Boschi and Becker 2011). This implies a 217 change of material properties that may not coincide with the 660 km discontinuity, and could be

218 explained by an increase in mantle viscosity somewhat deeper than traditionally considered, given the

219 rather non-unique constraints provided by geoid and post-glacial rebound data (e.g. King and Masters

220 1992; Mitrovica and Forte 2004).

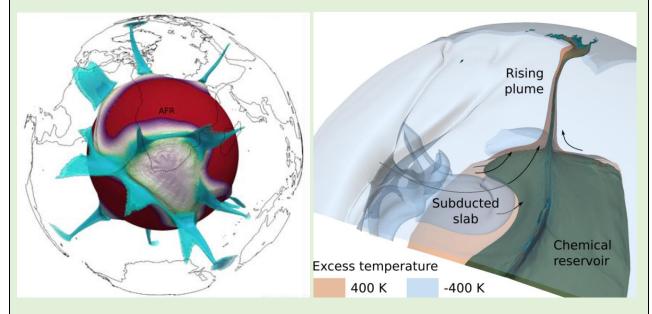
221 In summary, while there is still progress to be made in resolving the details of plume-like 222 conduits, and in particular their actual diameter, seismic tomography has already shown the presence of 223 mantle plumes below major hotspots, rooted at the core-mantle boundary, although the roots are generally 224 shifted horizontally from the location of the corresponding hotspots due to plume conduit tilting in the 225 upper third of the mantle. Most importantly, they are typically not the simple, purely thermal plumes that 226 geophysicists have been hunting for. Such classical thermal plumes with narrow tails ~200 km in 227 diameter (Farnetani 1997) but possibly reaching ~400 km in parts of the lower mantle are not ruled out, 228 but they are probably mostly below the resolution of current global seismic tomography. Moreover, the 229 broad plumes currently detected do not rise vertically from the CMB to the corresponding hotspot, they 230 show significant deflections in primarily the upper 1,000 km of the mantle, likely due to mantle wind as 231 predicted by geodynamic computations (Steinberger and O'Connell 1998; Steinberger 2000).

4.2 Models of Plume Generation and Ascent

Localized hot upwelling plumes are expected in any terrestrial-type planet mantle, where convection operates with some amount of bottom heating, or where other domains with concentrated heat production can sustain a thermal boundary layer (**Box 1**). Differences in temperature and/or composition will cause variations in density that may result in thermochemical instabilities near boundary layers as the beginning of an upwelling and, when it persists, a rising mantle plume (e.g. Jellinek and Manga 2004).

237 Box 1: Dynamic Simulations of Plume Behavior in 'Earth-like' Planets. Although thermal instabilities 238 at the core-mantle boundary are inevitable, conditions in Earth's mantle are such that they cannot grow 239 undisturbed. Rather, mantle flow driven by slabs, many of which are subducted to the deep lower mantle 240 and piled up into 'slab graveyards' (Ricard et al. 1993; Lithgow-Bertelloni and Richards 1998; 241 Steinberger et al. 2012; Mulyukova et al. 2015; van der Meer et al. 2018; Domeier et al. 2016) will 242 trigger plumes, characteristically above Large Low Shear Velocity Provinces (LLSVP) and along their 243 edges (Tan et al. 2002; Steinberger and Torsvik 2012; Hassan et al. 2015; Li and Zhong 2017). The left-244 hand panel shows the results of a 3D and time-dependent numerical model with plumes (blue) rising from 245 a LLSVP situated below southern Africa (Hassan et al. 2015). Rising from LLSVP margins, plumes in 246 this model are significantly hotter than surrounding mantle, starting out with large temperature anomalies 247 of about 500° K in the lowermost mantle, as shown in the right-hand panel (Dannberg and Gassmöller

2018). The plumes will slowly cool down when they rise, with smaller plumes losing a larger fraction of
their heat on the way up through the mantle. However, larger plumes will retain their heat better (Albers
and Christensen 1996; Zhong 2006; Leng and Zhong 2008) with estimated excess plume temperatures of
200-300 °K at asthenospheric depths for Hawaii (Moore *et al.* 1998; Ribe and Christensen 1999; Schubert *et al.* 2001).



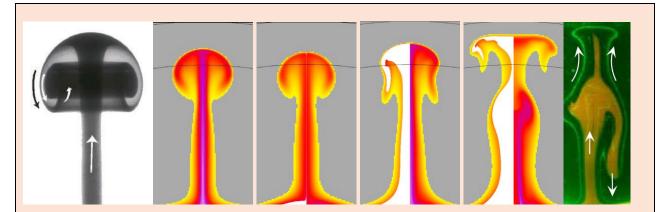
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254 Typical 'thermal' mantle plumes in Earth will have a broad plume head, up to roughly thousand 255 kilometers in diameter, followed by a narrow plume tail, not wider than a couple of hundred kilometers 256 (e.g. Richards et al. 1989; Sleep 1990; Coffin and Eldholm 1994; Davaille 1999; Campbell 2007). These 257 plumes can persist over long geological times, but only if the thermal boundary layer from which they 258 arise is also maintained for hundreds of millions of years (Sleep 2003; Tan et al. 2002; Jellinek and 259 Manga 2004; Burke et al. 2008). In fact, for plumes to keep rising through the entire mantle, a lower limit 260 of ~500-1000 kg/s of anomalous mass flux is required to sustain the plume tails (Albers and Christensen 261 1996). Rising plumes from near the LLSVPs are expected to be buoyant due to their hotter temperatures, 262 but if they entrain chemically distinct materials from the LLSVPs that are heavier than surrounding 263 mantle (Lau et al. 2017) then their buoyancies may be substantially reduced (Lin and van Keken 2006a; 264 Mulyukova et al. 2015). The anomalous density of LLSVPs (Lau et al. 2017; Koelemeijer et al. 2017) 265 and the nature of the entrainment of other materials during plume ascent (e.g. Farnetani 1997; McNamara 266 and Zhong 2004) are still debated. Because of time-dependent and variable amounts of entrainment, 267 highly complex plume behavior and shapes (Figure 3) may result that substantially differ from the 268 classical head-and-tail structure (Farnetani and Samuel 2005; Lin and van Keken 2006b; Kumagai et al. 269 2008). For example, negative buoyancy of (denser) material entrained from LLSVPs in plume heads may

cause material to sink back into the ascending plume (Ballmer *et al.* 2013; Dannberg and Sobolev 2015)
leading to broader plume conduits that are a few hundred kilometers wider than typical thermal plumes.

272 How long it takes for a plume head to traverse the mantle after forming at the core-mantle 273 boundary is difficult to estimate. It primarily depends on its buoyancy, arising from a density contrast of 274 about 30 kg/m³ for thermal plumes, but which could be much less for thermochemical plumes, and the 275 average viscosity of the surrounding mantle. Widely discrepant estimates exist for mantle viscosity, as it 276 may be (locally) controlled by variations in temperature and stress that may render global average 277 viscosity estimates not applicable for plumes (e.g. Larsen and Yuen 1997). One traversal time estimate 278 can be made because reconstructed LIP eruptions are correlated with LLSVP margins and therefore LIPs 279 are hypothesized to erupt from those margins. But in order for that correlation to be maintained during 280 their rise through the mantle, plume heads must rise up from the lower mantle rather fast, probably within 281 30 million year or less, to avoid large lateral deflections, consistent with numerical models (e.g. Hassan et 282 al. 2015). However, for smaller plume heads, such as for the Yellowstone plume—which is not associated 283 with the Pacific LLSVP and is located in a region dominated by subduction—it is estimated that its plume 284 head rose more slowly, taking 80 million years or longer (Steinberger et al. 2019b). Plumes rise therefore 285 considerably faster than slab sink, at estimated speeds of 1-2 cm/yr, such that slabs require ~150-200 286 million years to reach the bottom of the mantle (Steinberger et al. 2012; Hassan et al. 2015; Butterworth 287 et al. 2014; Van der Meer et al. 2018). In addition, during ascent, the even more slowly rising plume 288 conduits are predicted to become increasingly tilted with time, as their roots become shifted towards 289 large-scale upwellings, likely above the two LLSVPs (Steinberger and O'Connell 1998; Steinberger

290 2000).



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Figure 3: A Gallery of Rising Thermal and Thermochemical Plumes. From left to right: Laboratory
model of rising thermal plume head, connected by a stem to the source region (Campbell *et al.* 1989);
four numerical models of one axisymmetric thermal and three thermochemical plumes (Lin and van
Keken 2006a) with colors representing temperature (magenta=hottest; yellow=coolest) and entrained
material (white) with variable chemical excess densities of 200, 50 and 60 (from left to right) kg/m³ in left

half of the visualized plume conduits; and a laboratory syrup tank model of a plume that partially fails
when entrained materials (that are too heavy) collapse in the top of the conduit (Kumagai *et al.* 2008).

Eventually, when the plume head reaches the lithosphere, a LIP may form over short amounts of 299 300 geological time, likely in less than a million years (Coffin and Eldhom 1994). Long-lived hotspot tracks 301 may form after that and persist in some cases for 80 to 120 million years (Peate 1997; Koppers et al. 302 2012; Konrad et al. 2018a) or even longer (e.g. Hoernle et al. 2015). Several hotspot tracks are associated 303 with flood basalts at one end (e.g. Tristan, Reunion) and thus may correspond to typical thermal plumes 304 with a tail following the head (Richards et al. 1989; Ernst and Buchan 2003). Although the typical 305 lifetime of a deep mantle plume is likely long, on the order of ~ 100 million years, some of the older 306 Pacific hotspot tracks were only active in the Cretaceous and do not correspond to any currently active 307 hotspot (e.g. Hess and Shatsky Rise; Koppers et al. 2001; Tejada et al. 2016). Evidence from the 308 bathymetric record in the Pacific Ocean also indicates that many hotspot trails are characterized by 309 shorter seamount trails, apparently only active for up to 30 million years (Clouard and Bonneville 2001; 310 Koppers et al. 2003). These surface expressions, however, do not have to mean that the mantle sources 311 are short-lived per se, but rather that instabilities may develop in rising mantle plumes, for example, once 312 conduits are tilted more than 60° from the vertical, at which stage they may break apart (Whitehead 1982; 313 Steinberger and O'Connell 1998). Plumes may also go extinct if their buoyancy fluxes are too high and 314 they cut themselves off from a supply of hot mantle material. Plumes may become internally unstable and 315 collapse due to insufficient buoyancy, they may appear to switch on/off if rising plumes are pulsating or 316 boudinaging, and in some cases they may begin without flood basalts. All possible explanations for such 317 intermittent plume behaviors and plumes without heads are still being mapped out and debated.

5. Mantle Plumes Illuminating Deep Mantle Heterogeneities

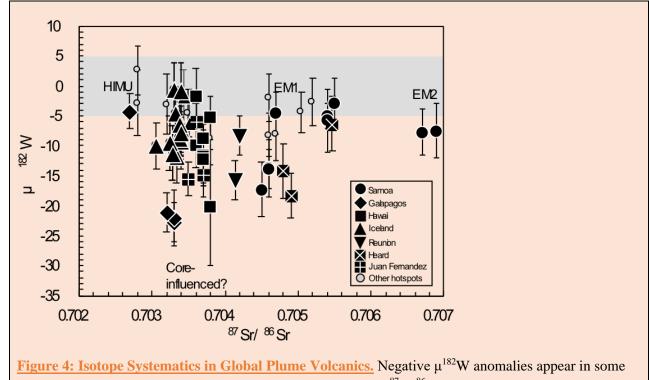
Plumes provide a unique view into Earth's mantle, revealing its intricate chemical makeup and evolution over billions of years. Although seamount trails and LIPs have complex construction histories, variations in their chemical compositions provide clues about early Earth (enduring ancient signatures) and the number and composition of distinct chemical endmembers that reside in Earth's interior (mantle heterogeneities). These clues lead to intriguing debates on how deep, and at what length and time scales, those endmembers are manifested in the mantle (location of primordial and recycled reservoirs) and how hotspot trails may 'mimic' these heterogeneities (striped plumes).

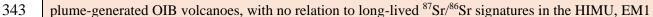
5.1 Enduring Ancient Signatures

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Extinct short-lived isotope systems (such as 129 I- 129 Xe [with a half-live of t_{1/2}=15.7 Ma], 182 Hf- 182 W 325 [t_{1/2}=8.9 Ma] and ¹⁴⁶Sm-¹⁴²Nd [t_{1/2}=103 Ma]) provide unmatched insights in the processes happening in 326 the earliest approximately 50 (for ¹⁸²W) to 500 million years (for ¹⁴²Nd) of Earth's history (Halliday 2004; 327 328 Carlson and Boyet 2009; Mundl et al. 2017; Mukhopadhyay and Parai 2019). Because radioactive decay 329 in these isotope systems is rather rapid on planetary timescales, resolvable changes in these isotopic systems (¹²⁹Xe/¹³⁰Xe, ¹⁸²W/¹⁸⁴W and ¹⁴²Nd/¹⁴⁴Nd) are restricted to the Hadean, the opening eon in Earth's 330 331 history, ending 4 billion years ago. The presence of such ancient isotopic anomalies in mantle plume source regions, therefore, suggest that some primordial mantle reservoirs are still present in the Earth's 332 333 interior despite extensive convective mixing (Mukhopadhyay 2012; Peters et al. 2018; Williams et al. 2019; Mundl-Petermeier et al. 2020). For example, the ¹²⁹I-¹²⁹Xe system shows a marked difference in 334 129 Xe/ 130 Xe between Earth's mantle and atmosphere (Allegre *et al.* 1983), and heterogeneous 129 Xe/ 130 Xe 335 is also preserved in the mantle since the Hadean (Mukhopadhyay 2012). Application of the ¹⁸²Hf-¹⁸²W 336 337 and ¹⁴⁶Sm-¹⁴²Nd systems confirms the survival of Hadean-generated signatures in the modern mantle, with resolvable ¹⁸²W and ¹⁴²Nd anomalies in OIB present in mantle plumes (Mundl et al. 2017; Peters et 338 339 al. 2018; Horan et al. 2018), but the discovery of anomalous ¹⁸²W in plume-head-generated flood basalts 340 (Rizo et al. 2016) remains controversial (Kruijer and Kleine 2018).





and EM2 mantle sources. One interpretation of these observations is that that some deeply sourced mantle plumes with strongly negative μ^{182} W anomalies have inherited a W-isotopic signature of Earth's core (Rizo *et al.* 2019; Mundl-Petermeier *et al.* 2020). In this diagram the grey bar represents the 2 σ reproducibility of the standard. Estimated core μ^{182} W is -220 (Touboul *et al.* 2012).

348 More recent work, however, established that ¹⁸²W anomalies in plume-formed OIB do not exhibit straightforward relationships (Figure 4) with long-lived heavy radiogenic isotopes, such as 87 Sr/ 86 Sr (t_{1/2} = 349 49 billion years). Instead, most OIB that host negative ¹⁸²W anomalies also appear to be associated with 350 351 high ³He/⁴He lavas (Mundl *et al.* 2017; Mundl-Petermeier *et al.* 2019; 2020) that in turn are typically 352 interpreted to sample deep primordial mantle signatures (e.g. Kurz et al. 1982) present in only the hottest and most buoyant plumes (Jackson et al. 2017). It is hypothesized that these OIB ¹⁸²W anomalies reflect a 353 contribution from Earth's core, which has preserved a low μ^{182} W value (the deviation of 182 W/ 184 W from 354 355 the terrestrial standard in parts per million) because tungsten is a moderately siderophile element that, 356 during core formation, became enriched in the Earth's core relative to the short-lived, lithophile 357 radioactive parent (¹⁸²Hf), which remained in the mantle (Rizo et al. 2019; Mundl-Petermeier et al. 2020). 358 It is possible that this core material is partitioned back into the mantle at the base of mantle plumes, aided 359 by silicate melting (Mundl-Petermeier et al. 2020), possibly in the ultra-low seismic velocity zones at the 360 core-mantle boundary (Figure 1b).

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5.2 Mantle Heterogeneities Inferred from Hotspots

361 Lower-mass stable isotopes (such as oxygen, sulfur, calcium, and iron) provide distinguishing 'surface' 362 isotopic signatures because low temperature alteration, biological processes, and other shallow-level 363 mechanisms modify their isotopic ratios. The discovery of these 'surficial' signatures in mantle plume 364 source regions, therefore, provides first order evidence that Earth is recycling its lithospheric plates in 365 subduction zones at a global scale and is resupplying the deep source regions of mantle plumes with 366 various 'crustal' materials (Eiler et al. 1996; Huang et al. 2011a; Cabral et al. 2013; Konter et al. 2016; Nebel et al. 2019; Gleeson et al. 2020). High-mass radiogenic isotopes (such as ⁸⁷Sr/⁸⁶Sr, ¹⁴³Nd/¹⁴⁴Nd, 367 ²⁰⁶Pb/²⁰⁴Pb and ¹⁷⁶Hf/¹⁷⁷Hf) paint an even more complex picture of global mantle heterogeneity, providing 368 369 insight into which, how many, and in what way mantle end members are involved in the chemical 370 dynamics of mantle plume formation (Allegre 1982; Hofmann and White 1982; Zindler and Hart 1986; 371 White 2010; Jackson et al. 2018b). Many of the global hotspot systems have two, three, or more, distinct 372 components in their mantle plume source regions (Harpp and White 2001; Hoernle et al. 2000; Jackson et 373 al. 2014).

Lava flows from LIPs and hotspot-related OIBs thus sample a diverse array of long-lived
 radiogenic isotopic compositions. These chemical trends have been classified into four primary "species"

376 or endmembers (Figure 4) based on isotopic composition: EM1 (enriched mantle I) characterized by low

377 moderately-high ⁸⁷Sr/⁸⁶Sr and low ²⁰⁶Pb/²⁰⁴Pb; **EM2** (enriched mantle II) characterized by high ⁸⁷Sr/⁸⁶Sr

and intermediate ²⁰⁶Pb/²⁰⁴Pb; **HIMU** (or high " μ ", where $\mu = {}^{238}U/{}^{204}Pb$) with low ${}^{87}Sr/{}^{86}Sr$ and high

²⁰⁶Pb/²⁰⁴Pb; and a geochemically-depleted component variously referred to as **PREMA** (Prevalent

380 Mantle; Zindler and Hart 1986), **FOZO** (Focus Zone; Hart *et al.* 1992), or **C** (Common; Hanan and

381 Graham 1996).

382 The EM2 mantle domain almost certainly relates to recycling of terrigenous sediments derived 383 from ancient upper continental crust, and is manifested mostly clearly in Samoan hotspot lavas (Jackson 384 et al. 2007; Workman et al. 2008), where the lavas exhibit clear radiogenic isotopic and trace element 385 signature fingerprints seen only in continental crust. There is less certainty about the origin of the HIMU 386 component in hotspots, defined by their highly radiogenic ²⁰⁶Pb/²⁰⁴Pb, a signature that has been attributed 387 to sampling of ancient subducted oceanic crust (Hofmann and White 1982) or marine carbonates (Castillo 388 2015), metasomatism of the underlying oceanic lithospheric mantle (Pilet *et al.* 2008) or the 389 subcontinental lithospheric mantle (Weiss et al. 2016), and possibly could originate from a reservoir in 390 the transition zone (Nebel et al. 2013; Mazza et al. 2019; Huang et al. 2020) or lower mantle (Collerson 391 et al. 2010). The presence of mass independently fractionated sulfur (MIF-S) isotopes in endmember 392 HIMU lavas from Mangaia Island supports an oceanic crustal recycling model (Cabral et al. 2013) as 393 these MIF-S are interpreted to represent a unique fingerprint from Archean atmosphere that become 394 associated with oceanic crust (Farquhar et al. 2002). The origin of EM1 lavas is the least certain among 395 the mantle domains, as both deep and shallow mantle metasomatic processes have been invoked, as well 396 as recycling of a variety of different lithospheric protoliths (see Garapic et al. 2015 and references 397 therein). However, the discovery of MIF-S in EM1 lavas from Pitcairn supports models advocating 398 recycling of shallow crustal protoliths (Delavault et al. 2016), and radiogenic isotopic and trace element 399 signatures are consistent with a continental crustal protolith in the EM1 mantle (Eisele et al. 2002).

400 Nonetheless, extreme EM1-EM2 and HIMU compositions are relatively uncommon: the bulk of 401 volcanism at hotspots and oceanic LIPs (Zindler and Hart 1986) is geochemically depleted relative to a 402 chondrite-based bulk silicate Earth-the long-accepted compositional model for the formation of our 403 planet (McDonough and Sun 1995). This suggests that, like the upper mantle, the lower mantle also must 404 have experienced a strong depletion of incompatible elements by ancient prolonged crustal extraction 405 (Hart *et al.* 1992). Consistent with this observation, studies based on the short-lived ¹⁴⁶Sm-¹⁴²Nd system suggested that the accessible silicate Earth has elevated ¹⁴²Nd/¹⁴⁴Nd and is the product of a global early-406 407 Hadean mantle depletion event (Boyet and Carlson, 2005; Jackson et al. 2010; Caro and Bourdon, 2010). 408 This model requires a complementary early-formed enriched reservoir (now hidden) with low ¹⁴²Nd/¹⁴⁴Nd 409 that resides in the deep mantle or was lost to space (Jellinek and Jackson 2015; and references therein).

- 410 While this model was questioned (Bouvier and Boyet 2016; Burkhardt *et al.* 2016) more recent work may
- 411 support a non-chondritic composition for the silicate Earth caused by a massive Hadean depletion event
- 412 (Debaille *et al.* 2019). In this debate geoneutrinos present an opportunity to map out the spatial
- 413 distribution of geochemical reservoirs in the Earth's deep interior, particularly with respect to the
- 414 geochemically-important radioactive heat-producing elements (U, Th, K), which would be elevated in a
- 415 putative hidden early enriched reservoir. This mapping of geoneutrinos may help resolve the debate over
- 416 the bulk composition of the planet (Šrámek *et al.* 2013).

5.3 Location of Primordial and Recycled Reservoirs

417 Mechanisms for the long-term preservation of the Hadean geochemical anomalies are imperfectly 418 understood (Gülcher et al. 2020), but storage in dense, viscous domains of the deep mantle may isolate 419 Hadean-formed reservoirs from convective motions of the mantle (Samuel and Farnetani 2003; Lin and 420 van Keken 2006a; Deschamps et al. 2011). The two LLSVP regions at the core-mantle boundary are 421 attractive locations in this regard (e.g. Tackley 1998; Macpherson et al. 1998; Mukhopadhyay 2012), 422 though alternatives have been suggested (e.g. Allegre et al. 1984; Becker et al. 1999; Ballmer et al. 423 2017). Hotspots with the highest (most primordial) 3 He/ 4 He ratios do appear to be positioned over the 424 LLSVPs (Macpherson et al. 1998; Garapic et al. 2015; Williams et al. 2019) and are consistent with an ancient source for these seismic features. However, to date ¹²⁹Xe, ¹⁴²Nd, and ¹⁸²W OIB short-lived isotope 425 426 datasets are still statistically too small to conclusively link the Hadean isotopic anomalies to the LLSVPs. 427 Much larger Sr-Nd-Pb isotope datasets confirm that EM1-EM2 hotspots are geographically linked to both 428 of the LLSVPs (Castillo 1988; Jackson et al. 2018a). However, a recent study suggests that only the 429 Atlantic LLSVP hosts EM signatures (Doucet et al. 2020), but such arguments hinge on the selection of 430 plumes (e.g. Jackson et al. 2018a) and more work is needed to better identify reservoir geometries and 431 dynamics. HIMU hotspots seemingly are not linked to the LLSVPs (Jackson et al. 2018b), leaving the 432 location of this scarce domain uncertain. In fact, the EM and HIMU domains appear to be spatially 433 decoupled in Earth's mantle, which is unexpected given that both likely formed following subduction and 434 recycling as part of the plate tectonic cycle (Jackson et al. 2018b).

While the ULVZs are argued to be compositionally distinct (Li *et al.* 2017) it is not yet possible to evaluate whether ULVZs sample geochemical reservoirs different from LLSVPs. Where some plume conduits are clearly associated with ULVZs (Cottaar and Romanowicz 2012; Thorne *et al.* 2013; Yuan and Romanowicz 2017; Kim *et al.* 2020), other plumes may not be (or, they are, but the ULVZs have not yet been seismically imaged at their plume roots). Until the distribution of ULVZs has been conclusively "mapped out" it will not possible to determine whether ULVZ-related plumes have a different composition than plumes that are not, and this currently limits what can be inferred about the

- 442 isotope geochemistry of the ULVZs. Ultimately, the evolutions of these geochemical reservoir are linked
- 443 to plate tectonic processes, including recycling of oceanic and continental crust and transport by plumes,
- 444 and these processes control the composition, location, size, and longevity of geochemical reservoirs in the
- Earth's interior.

5.4 Striped Plume Expressions

- 446 Surface expressions of plume-fed volcanism in the oceanic realm are highly varied and complex. A most
- 447 notable complexity is the formation of 'double' track volcanic hotspot trails that are geochemically
- 448 'striped' over millions of years of plume history. These kind of surface expressions may be governed by
- the makeup of the plumes themselves (Abouchami *et al.* 2005; Farnetani and Hofmann 2010; Huang *et al.*
- 450 2011b; Weis *et al.* 2011; Hofmann and Farnetani 2013; Hoernle *et al.* 2015; Dannberg and Gassmöller
- 451 2018), and by their interactions with the overriding tectonic plates and any changes in plate motion and
- 452 direction (Moore *et al.* 1998; Hieronymus and Bercovici 1999; Davies *et al.* 2015b; Jones *et al.* 2017).
- 453 The presence of these geochemically-resolved dual volcanic trends in ocean island systems was first
- 454 noticed for the Hawaiian Islands by Tatsumoto (1978) and follow the 'Loa' and 'Kea' volcanic tracks
- 455 (Figure 5a) as described by Dana (1849). Since then, *en echelon* trends have also been observed for the
- 456 Easter, Foundation, Galapagos, Marquesas, Samoan, Society, Tristan-Gough and Rurutu hotspot tracks
- 457 (Hoernle *et al.* 2000, 2015; Werner *et al.* 2003; Huang *et al.* 2011b; Payne *et al.* 2013; Harpp *et al.* 2014;
- 458 Chauvel et al. 2012; Koppers et al. 2011a; Finlayson et al. 2019).

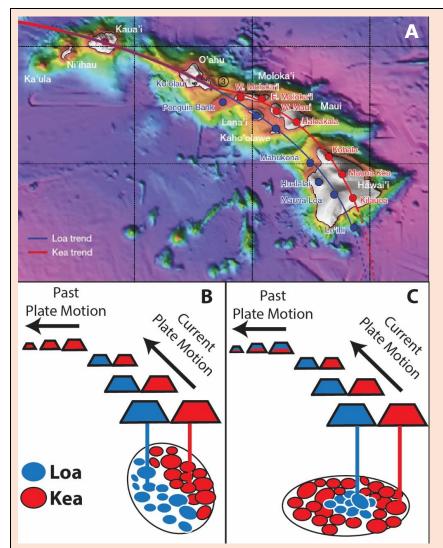


Figure 5: Explaining the Hawaiian Striped Plume. A schematic illustration comparing models for a bilaterally versus concentrically zoned Hawaiian mantle plume. (**A**) The location of the Loa (Blue) and Kea (Red) isotopic trends superimposed on a map of the Hawaiian Islands from Jones *et al.* (2017). (**B**) A top-down view of a bilaterally zoned plume, which has become aligned with the direction of plate motion within the last 5 Myrs (e.g. Farnetani *et al.* 2012). The older seamounts are shown as primarily Kea-types based on the model of Harrison *et al.* (2017). (**C**) A concentrically zoned plume, which currently displays bimodal volcanism due to the recent change in plate motion contrasting with the prior orientation of the plume (e.g. Jones *et al.* 2017). A prediction of this model is that the older seamounts would have Kea-type as their bases, with Loa-type lava capping those in their later volcanic stages.

There are currently two debated models on the origin of these dual isotopic signatures and the
inferred chemical structure of mantle plumes: the bilaterally zoned plume (Abouchami *et al.* 2005;
Farnetani and Hofmann 2010; Huang *et al.* 2011b, Weis *et al.* 2011; Hoernle *et al.* 2015; Chauvel *et al.*2012; Dannberg and Gassmöller 2018) and concentrically zoned plume model (Frey and Rhodes, 1996;
Kurz *et al.* 1996; DePaolo *et al.* 2001; Jones *et al.* 2017; Konrad *et al.* 2018b). The bilaterally zoned

- 474 plume model postulates that the geochemical stripes observed among most ocean island chains originate
- 475 from a mantle plume structure that is divided into two distinct chemical reservoirs (Figure 5b). The
- 476 plume could contain a bilaterally continuous structure (Hofmann and Farnetani 2013), two zones
- 477 consisting of vertically continuous filaments with some spacing in-between (Abouchami et al. 2005), or a
- 478 partly ordered structure with some mixing between the zones (Ren *et al.* 2005). As some mantle plumes
- 479 appear to be rooted on the boundary between LLSVPs and ambient lower mantle, it is possible that
- 480 bilaterally zoned plumes sample both LLSVP (± ULVZ) and the ambient lower mantle materials (Huang
- 481 *et al.* 2011b; Weis *et al.* 2011; Hofmann and Farnetani 2013).
- 482 In the bilaterally zoned plume model for Hawaii, the southern 'Loa' component (EM1) of the 483 Hawaiian plume would represent incorporation of LLSVP material, while the northern 'Kea' component 484 (PREMA) would represent ambient lower mantle (Weis et al. 2011). However, to explain the general 485 absence of a Loa component in the Hawaiian plume prior to ~5 million years ago, Harrison et al. (2017) 486 argue that the LLSVP-derived Loa component only became entrained in the plume conduit intermittently 487 between ~47 and 6.5 Ma, and then consistently from 6.5 Ma to the present day. Similar geographical and 488 temporal trends are seen within the Marquesas and Samoan Islands (Huang et al. 2011b; Chauvel et al. 489 2012), showing the potential ability to link surficial geochemical signatures to the lowermost mantle 490 geophysical domains.
- 491 The concentrically zoned plume model argues that plumes concentrate the hottest and densest 492 materials in their centers (Figure 5c) during ascent from the core-mantle boundary (Jones *et al.* 2016). 493 This model explains surficial isotopic stripes as being derived from sampling of the plume core versus its 494 outer rim (DePaolo et al. 2001). The concentric model has been supported by noble gas studies that 495 indicate that the most chemically 'primitive' lava flows with high ${}^{3}\text{He}/{}^{4}\text{He}$ ratios are typically found 496 within the central regions of ocean islands (Kurz et al. 1996; DePaolo et al. 2001; Konrad et al. 2018b). 497 An alternative concentric plume model argues for the melting of differing lithologies at differential depths 498 in the plume as a function of plume-plate interaction (Jones et al. 2017). However, an unrelated (and 499 controversial) change in Pacific plate motion at ~2.5 Ma is required to force a depth shift in mantle 500 melting that initially would have produced Kea-type lava flows (from a deeper peridotite melting region) 501 followed by Loa-type lava flows (from a shallow pyroxenite source) after the plate motion change (Jones 502 et al. 2017). This model currently does not explain the complex zonation patterns observed along the 503 Tristan-Gough track on the African plate (Hoernle et al. 2015).

6. Mantle Plumes and Plate Tectonics

504 Understanding if and why certain plumes move, in which directions, in unison or not, and how fast, is an 505 ongoing debate. In this section, we show that plumes can move independently and at speeds typically less

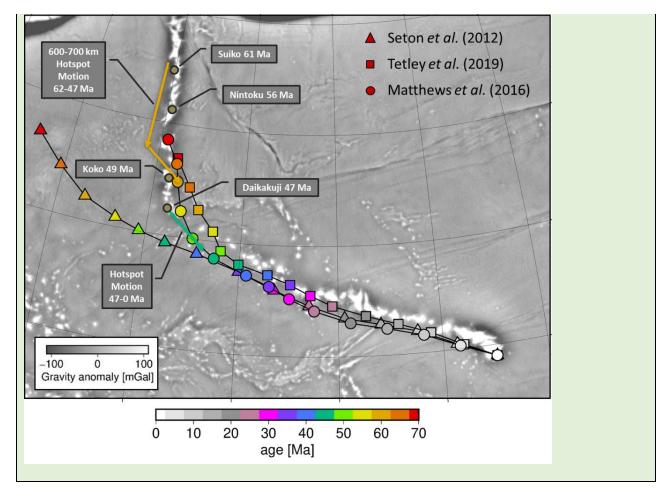
- 506 than plate tectonic movements (distinguishing plume motions). We also discuss how plumes provide
- 507 insights into possible reorientations of the entire Earth relative to its spin axis (true polar wander) and
- 508 how they are considered powerful initial agents in the global plate tectonic cycle (breaking up continents).

6.1 Distinguishing Plume Motions

509 The presumed stability of 'thermal' mantle plumes initially allowed scientists to use the shapes and age 510 progressions of seamount trails to derive directions and speeds of past plate motions, and with those 511 models in hand, to chart out the positions of tectonic plates back through geological time (e.g. Wilson 512 1963; Morgan 1971; Minster and Jordan 1974; Duncan and Clague 1985; Müller et al. 1993; Koppers et 513 al. 2001; Wessel and Kroenke 2008; Torsvik et al. 2014; Wessel and Conrad 2019). In these models, 514 fixed mantle plumes were presumed to persist over more than one hundred million years. Expected would 515 be that all seamount trails forming on a particular tectonic plate would record the same history of plate 516 rotations around the same Euler poles and with the same angular velocities; and when plate motion 517 changes occurred, the timing of the 'bends' (or turns) that would form in each seamount trail, would be 518 contemporaneous (Box 2). In other words, it would be expected that the geometries between different 519 seamount trails would be fixed and their chronologies identical.

520 Paleomagnetic inclination data from seamount trails indicate that plumes are in fact not stationary 521 with respect to the spin axis (Tarduno et al. 2003, Koppers et al. 2012; Tarduno and Koppers 2019). 522 Distance comparisons between coeval seamount trails show that plumes are moving away or closing in to each other (O'Connor et al. 2013; Konrad et al. 2018a) and the ⁴⁰Ar/³⁹Ar dating of the Louisville and 523 524 Rurutu hotspot tracks on the Pacific plate show that the most acute parts in their bends (not as pronounced 525 or clearly visible as for Hawaii) appear to occur about 3 million earlier than the Hawaii-Emperor Bend 526 around 47 million years ago (Sharp and Clague 2006; Koppers et al. 2011b; Finlayson et al. 2018; 527 Konrad *et al.* 2018a) but their timing does coincide with the start of the Hawaii-Emperor bend around 50 528 million years ago. For these Cretaceous and early Cenozoic times, the most recent analysis by Bono et al. 529 (2019) of related paleomagnetic data and age dates concluded that the Hawaiian hotspot moved at 48 ± 8 530 mm/yr between 63 and 52 Ma, while other Pacific hotspots have moved much more slowly. Similarly, 531 based on age progressions along tracks and changing distances between tracks, Konrad et al. (2018a) 532 found a total relative motion of 53 ± 21 mm/yr between Hawaii and Louisville and of 57 ± 27 mm/yr 533 between Hawaii and Rurutu, most likely due to a large individual Hawaiian hotspot motion from 60 to 48 534 Ma. These observations are not unexpected in a convecting mantle, as computer simulations show that 535 rising mantle plumes will be advected in Earth's overall mantle circulation regime (Steinberger and 536 O'Connell 1998; Steinberger 2000; Hassan et al. 2016; Arnould et al. 2019) causing the locations of 537 hotspots on the Earth's surface to wander over geological time.

538 Box 2: Scenarios for the HEB Formation. The prominent 120° bend in the Hawaii-Emperor seamount 539 trail (HEB) was first interpreted as representative of a major change in Pacific plate motion (Duncan and 540 Clague 1985) occurring around 47 million years ago (Sharp and Clague 2006). However, an absence of 541 geological evidence for a change in Pacific plate motion at that time, and recognition that hotspots are 542 mobile, led to the proposal that the HEB may rather represent Hawaiian hotspot motion, which came to a 543 rather abrupt stop at the time of the bend (Norton 1995). This hypothesis was tested through the analyses 544 of magnetic paleolatitudes in scientific ocean drilling cores recovered from Emperor seamounts, which 545 support a significant southward hotspot motion between ~80 and 47 Ma (Tarduno and Cottrell 1997; 546 Tarduno et al. 2003; Bono et al. 2019). Konrad et al. (2018a) compared the relative distances of Pacific 547 hotspots using age progressions along three tracks (Hawaii, Louisville, Rurutu). The results show the 548 Hawaiian hotspot moving southward, with most of the motion occurring between 62 and 47 million years 549 ago, but with a maximum ~600-700 km of motion detected that is insufficient to explain the entire, more 550 than 2,000 km length of the Emperor chain. There remains an active debate on the relative contribution of 551 hotspot drift and plate motion changes to the shape of the 120° bend, with scenarios ranging from the 552 HEB being entirely caused by a ~60° change in plate motion (Steinberger *et al.* 2004; Torsvik *et al.* 2017) 553 to being mostly caused by hotspot drift (Bono et al. 2019; Hassan et al. 2016). The map shows modeled 554 0-70 Ma tracks for a *fixed* Hawaii hotspot and three plate reconstructions that all use the Antarctic plate 555 circuit. Matthews et al. (2016) and Tetley et al. (2019) use relative motions in Zealandia as additional 556 constraints, whereas Seton et al. (2012) do not. A large body of work is suggesting a major plate 557 reorganization at ~50 Ma centered around the Pacific Plate (e.g. Cosca et al. 1998; Meffre et al. 2012; 558 Reagan *et al.* 2019), yet these plate motion models account for at most 35° of the expected 60° absolute 559 plate motion change and cannot accurately predict the location and age of seamounts in the Hawaii-560 Emperor track prior to 30 million years ago. The mismatch between the predicted 47 Ma hotspot locations 561 and the actual location of the bend (Daikakuji Seamount) is consistent with ~1 cm/yr SE hotspot motion 562 (green arrow) from 47-0 Ma obtained by numerical models (Steinberger *et al.* 2004; Hassan *et al.* 2016). 563 The mismatch between the predicted 61 Ma hotspot locations and Suiko Guyot can be amended by 564 additional rapid southward hotspot motion (long orange arrow) that is consistent with age progressions 565 along the hotspot tracks (Konrad et al. 2018a) and numerical modeling (Hassan et al. 2016). In this 566 debate, however, it appears that a large portion of the length of the Emperor chain only can be explained 567 by southward Hawaii hotspot drift.



568

569 For the most recent 5 million years in Earth's history, the rate of motion of major hotspots was 570 recently computed by Wang et al. (2018) using a maximum likelihood optimization that incorporated 571 present-day plate motion models and compared those to the azimuths and age progressions of hotspot 572 tracks (Morgan and Phipps Morgan 2007). They obtained highly variable hotpot motion rates between 573 2.5 mm/yr (Afar) and 49.4 mm/yr (Caroline) with Hawaii moving at 11.6 mm/yr. In this model, the 574 Pacific hotspots were found to move at speeds between 10 and 50 mm/yr, while Atlantic and Indian 575 Ocean hotspots would move more slowly, below 20 mm/yr. An alternative kinematics-based approach 576 was recently developed by Tetley et al. (2019) to determine the motions of major hotspots for the last 80 577 Ma by estimating misfits while fitting hotspot tracks and treating all hotspots as fixed. The resulting 578 hotspot trail misfits represent a robust estimate of hotspot motion, with rates generally below 40 mm/yr 579 for all major hotspots other than Hawaii (Tetley et al. 2019). However, using other modeling approaches, 580 the absolute plate motion reference frame for the present-day yields similar net rotations (i.e. wholesale 581 lithospheric spin of all tectonic plates with respect to a fixed lower mantle) for fixed or moving hotspot 582 assumptions (Becker et al. 2015). Likewise, other authors have used similar data, but only considering the 583 azimuth of hotspot trends, to conclude that there is no requirement for hotspots at the present day to move

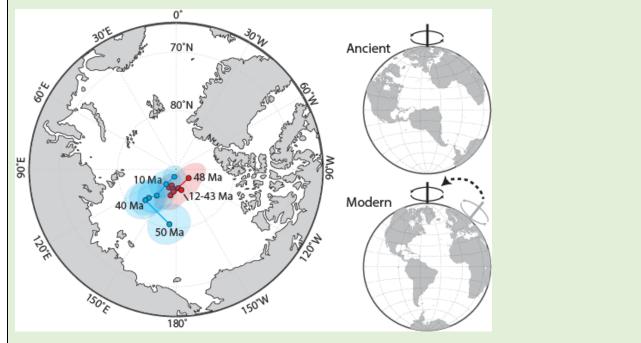
584 at all, and that a fixed hotspot reference frame may fit the data better (Wang *et al.* 2019). These

585 contrasting papers exemplify the extent of the ongoing controversy about hotspot motion.

586 Importantly, these scenarios now also can be compared to geodynamic models of hotspot motion. 587 Steinberger and O'Connell (1998) pioneered an approach based on large-scale mantle flow models (see 588 also Steinberger 2000; Doubrovine et al. 2012) in which mantle flow is computed based on density 589 models inferred from 3D seismic tomography, assumed whole mantle viscosity profiles, and time-590 dependent plate motions as surface boundary conditions. The approach is completed by inserting initially 591 vertical plume conduits that then are advected while buoyantly rising through the convecting mantle. In 592 these geodynamic models the computed hotspot motions are typically slower than plate motions, mostly 593 less than 1 cm/yr. This slow motion foremost is a consequence of plumes being anchored in, and rising 594 from the lower mantle, which is only sluggishly convecting because of its high viscosity up to about 10^{23} 595 Pa s. This geodynamic modeling approach is most reliable in the Cenozoic, but less so for earlier times, as 596 backward-advection of mantle density anomalies becomes increasingly unreliable. The gap is now being 597 filled with geodynamic forward models that can capture both the tilt of plume stems in the mantle as well 598 as the motion of the plume generation zone (Hassan et al. 2015; 2016).

599 Box 3: True Polar Wander. Motion of the magnetic north pole as seen from a specific tectonic plate 600 may be caused by plate motion and/or by re-orientation of the entire Earth relative to the poles. The 601 former is termed apparent polar wander, the latter true polar wander. For approximately the last 602 120 million years, plate motion can be determined from hotspot tracks, allowing a "true polar wander 603 path" to be reconstructed by rotating a single plate back to its past locations in the hotspot reference 604 frame, and transferring the corresponding paleomagnetic pole positions with it. The remainder trace of 605 past pole positions then in theory equals the true polar wander path. In practice, true polar wander paths 606 for different plates differ somewhat, due to non-dipolar components of the magnetic field and other 607 uncertainties (e.g. Besse and Courtillot 2002; Torsvik et al. 2012), but they can be combined to devise a 608 global true polar wander path. Two modeled paths with uncertainty ellipses representing 95% confidence 609 by Doubrovine et al. 2012 (blue) and Woodworth and Gordon 2018 (red) are shown for the last 50 610 million years relative to respectively global and Pacific hotspot reference frames, showing only minor 611 true polar wander in this time frame. As true polar wander is expected from significant shifts in Earth's 612 mass distribution (Gold, 1955) on geological timescales, it is likely to result from reconfigurations in 613 large-scale mantle structures (Steinberger and O'Connell 1997). The two LLSVP superstructures are 614 thought to be stable for hundreds of millions of years (Burke and Torsvik 2004; Torsvik et al. 2006; 615 Burke et al. 2008; Dziewonski et al. 2010) and we can infer that the two corresponding antipodal geoid 616 highs are also stable for the same amount of time. Since the spin axis remains aligned with the minimum

point of the spherical harmonic degree two geoid component—in between those two geoid highs—it is
expected that true polar wander occurs in a "ring" around the Earth that runs in between the two LLSVPs
and that it is mostly driven by the sinking of "heavy" lithospheric slabs subducting for a large part near
that ring (Steinberger *et al.* 2017). The largest amount of true polar wander since 120 Ma that can be
determined occurred at speeds in the order of 10 mm/yr, mostly between 100 and 110 million years ago,
and closely following a direction going around that ring. True polar wander in any other period is less
expected and less prominent.



624

6.2 Breaking Up Continents

625 Mantle plumes may play an active role in the breakup of continents and/or oceanic lithosphere, when they 626 impinge those from beneath, providing a starting point for the Wilson Cycle in plate tectonics and a likely 627 important initiation mechanism for far-field major plate reorganizations. Continental breakup occurs 628 when enough extension occurs to split continental lithosphere and form a new ocean basin. Many of the 629 continental flood basalt provinces in the Mesozoic and Cenozoic are closely related in time and space 630 with continental breakup (Morgan 1971; Storey 1995; White and McKenzie 1989; Courtillot et al. 1999). 631 When reconstructed back to their original plate tectonic configuration, flood basalt provinces are often 632 found along continental margins, and dike swarms have more or less radial patterns and terminate at the 633 margins of continental cratons (Burke and Dewey 1973; Fahrig and Schwarz 1973). 634 These observations led to the active continental rift hypothesis, where mantle plumes are thought

to drive continental breakup. In this model, continental rifting is actively driven by mantle plume

636 processes, including arrival of a plume head at the base of the lithosphere, heating and erosion of the 637 lithosphere, and heating, uplift and tensional failure of the mechanical lithosphere (Courtillot *et al.* 1999). 638 Alternatively, continental breakup may be explained by the passive rifting model, where continental 639 rifting is driven by far-field tectonic forces and interaction with an existing plume is co-incidental. In both 640 scenarios, the combination of lithospheric extension and upwelling plume material causes abnormally 641 large volumes of magma to erupt through passive decompression melting (White and McKenzie 1989). It 642 also appears that most continental rifts without a plume failed, with only one third proceeding to break-up 643 (Ziegler and Cloetingh 2004). The fact that continental rifting can extend from only a few million years 644 up to around 100 million years before progressing to continental breakup, therefore, has called for a 645 combination of passive and active plume head forces (Courtillot et al. 1999).

646 For continental rifts that do proceed to breakup, plumes appear to play a triggering, but not an 647 essential role. The role for plumes is particularly striking when the timing of continental rifting, flood 648 basalt eruption, and continental break up are compared, with continental rifting often extending for tens of 649 millions of years and—after this prolonged period—ending with the voluminous eruption of flood basalts 650 that are coevally, or closely followed by, continental breakup (Buiter and Torsvik 2014). Brune et al. 651 (2013) tested the role of plumes as a trigger for continental breakup by modelling lithosphere under far-652 field extension, investigating the role of plume-related lithospheric erosion, finding that plume erosion 653 decreases lithospheric strength and controls the timing or even occurrence of continental breakup.

654 Finally, mantle plumes, along with changes in plate boundary forces (Whittaker et al. 2016, 655 Gaina et al. 2009) and "wrench" tectonics (Nemcok et al. 2016) also are thought to drive the formation of 656 microcontinents, small continental fragments that become separated from their parent continental margin 657 and eventually surrounded by oceanic lithosphere. In these smaller scale manifestations of plate tectonics, 658 mantle plumes have been implicated in the rifting and separating of microcontinents from relatively 659 young continental margins, less than 25 million years old, through one or multiple mid-ocean ridge 660 relocations that are centred around the mantle plume, resulting in ocean spreading asymmetries and ridge 661 propagation towards the locus of the plume (Müller et al. 2001).

7. Mantle Plumes Impacting Earth's Environment

Mantle plumes are the source of a phenomenal volume of volcanic products on the Earth's surface (Coffin and Eldholm 1994). When mantle plumes cause hotspot volcanism, outputs are particularly high during plume head volcanism that causes a rapid outpouring of voluminous LIPs, in the oceans referred to as oceanic plateaus and on land as flood basalts. Emplacement of these LIPs is hypothesized to cause extreme global environmental perturbations and mass extinctions (Courtillot *et al.* 1988; Duncan and Pyle 1988; Renne *et al.* 2013). According to these hypotheses, flood basalt volcanism introduces large 668 quantities of volatiles such as sulfuric acid into the Earth's atmosphere (with SO_2 forming H_2SO_4) and 669 carbon dioxide (CO_2) release may be increased significantly, resulting in greenhouse scenarios (Kerr 670 2005; Self 2006; Kerr 2014). In contrast, introduction of volcanic ash and aerosols in the Earth's 671 atmosphere may cause shielding from solar radiation, leading to the cooling of global climate (Self 2006). 672 Each of these processes may induce strong perturbations in the environment and trigger tipping points in 673 Earth's climate state, with the increased extinction of species as one of the major outcomes. Geodynamic 674 simulations also show that mantle plumes may have accumulated oxidized materials in the upper mantle 675 and with that may have influenced the evolution of atmospheric oxygen (Gu et al. 2016).

676 However, it remains hard to undeniably link the causes and chronologies associated with global 677 extinction events to mantle plume-induced eruptions. For example, emplacement of the Deccan Traps 678 flood basalt seems to have started a few tens of thousands of years prior to the extinction at the K-Pg 679 boundary around 66 million years ago, but it is now also apparent that more than 75% of Deccan Trap 680 volcanism occurred following the Chicxulub meteorite impact (Renne et al. 2013; Schoene et al. 2019; 681 Sprain et al. 2019). Similarly, emplacement of the Siberian Traps was extremely rapid, causing massive 682 volcanism over a period of less than ten thousand years, but geochronological techniques lack resolution 683 to definitely tie this flood basalt to the largest Earth extinction event at the Permo-Triassic boundary 684 around 250 million years ago (Courtillot and Renne 2003; Reichow et al. 2009; Sobolev et al. 2011; 685 Courtillot and Fluteau 2014). Even though plume-related volcanism resulting in voluminous flood basalts 686 are likely to have direct impacts on global climate, from the Chicxulub impact event it appears that there 687 are additional primary drivers that could tip over Earth's climate system and cause massive extinctions 688 (Hull et al. 2020).

689 In the oceans, the impacts of large-scale mantle plume eruptions on the environment are different, 690 yet allegedly they also cause widespread species extinction. These submarine eruptions may introduce 691 toxic metals that poison marine life, or they may provide high levels of nutrients that cause planktonic 692 blooms that then massively deprive the oceans of oxygen after these organisms die off and decompose 693 (Sinton and Duncan 1997; Kerr 2005). These episodes are recognized as 'oceanic anoxic events' that are 694 chronicled in marine sedimentary records through the deposition of black shales. The timing of ocean 695 plateau formation relative to the formation of those globally dispersed black shales is still highly 696 uncertain. Future scientific ocean drilling and modern-day geochronology is required to uniquely tie the 697 formation of mantle plume-derived oceanic plateaus to these deadly global oceanic anoxic events.

8. Future Mantle Plume Research

Even though mantle plumes are sometimes considered independent of the key plate tectonic processes,such as plate spreading and subduction, mantle plumes in fact are an integral part of a dynamic Earth.

700 Mantle plumes are part of the overall 'rock cycle' in the Earth system, playing a key role in Earth's

- 701 overall convectional regime, and in the continuous recycling of Earth's deep interior and surface
- 702 materials. Many mantle plumes are originating from the deepest regions in Earth's mantle, often in
- association with LLSVPs and ULVZs, and plumes potentially even take in materials from the Earth's core
- itself. On the other end, at Earth's surface, we see clear evidence of plume activity, in intra-plate
- volcanism, continental break-up, and long-term effects on Earth's climate system. Yet, our knowledge of
- mantle plumes remains limited, largely because of the low-resolution view we have of their structures and
- behaviors through seismic mantle tomography studies, and because of the complexity of plume
- 708 expressions in the volcanic structures (seamount trails, oceanic plateaus) on Earth's surface. Below we
- compile a short list of future research themes where we can improve our understanding of mantle plumes
- 710 themselves and their effects on the interconnected Earth system.
- 711 Mantle Plume and LLSVP Imaging: Reconciling current seismic images of "mantle plumes" with the 712 geodynamic modeling and surface observations is THE challenge for the future. Large-scale deployments
- of OBS (ocean bottom seismometers) with wider apertures are required to create detailed views of the
- deep portions of mantle plumes. For example, we need to improve on the geometries and placement of
- 715 OBS instruments and design them to capture specific seismic phases that can better resolve structures at
- the roots of mantle plumes and shed light on their potential generation in the LLSVP and ULVZ regions.
- 717 **Thermal versus Thermochemical Plumes:** What is the nature of the plumes that now start to get
- resolved by tomography in the lower mantle? Are they purely thermal or thermo-chemical and how do
- they relate to a potential basal dense layer? Do we need to explore more complex rheologies to explain
- their morphologies and temporal behaviors? We also need to understand how these plumes may interact
- with mantle flow and get deflected primarily in the 660-1,000 km depth range.
- Dynamic Topography: The upwelling of mantle plumes may affect the dynamic topography of oceanic
 lithosphere (Conrad *et al.* 2004; Li and Zhong 2009; Zhang *et al.* 2010; Poore *et al.* 2011; Parnell-Turner
- *et al.* 2014; Steinberger *et al.* 2019a). Where the presence of strong or weak mantle plumes may cause
- 125 large or small hotspots swells (King and Adam 2014) and regional modifications in mantle viscosity
- profiles, the 'pulsating' behavior of mantle plumes may cause significant variations in local sea level that
- may change ocean circulation patterns and deep-sea sedimentation accumulation rates (Parnell-Turner *et*
- *al.* 2014). Gaps in understanding mantle viscosity profiles, in particular in the proximity of larger plume
- swells, are causing major uncertainties in modeling future sea level rise across the world (Müller 2010;
- 730 Petersen *et al.* 2010; Rovere *et al.* 2015).
- Oceanic LIPs: The formation and history of oceanic LIPs are still poorly understood compared to their
 extensively studied onshore counterparts. There is an intriguing association with spreading ridges and

- triple junctions, which now appears to be archetypal for plumes in the ocean basins. The recent work on
- 734 Shatsky Rise (Sager *et al.* 2013; 2019) reinforces the idea that some LIPs might not be caused by plume
- head eruptions, which raises interesting questions whether Shatsky is a headless plume interacting with
- the overriding oceanic lithosphere.

737 Spherical Shell 3D Earth Modeling: An exciting and growing field of mantle geodynamics involves the
 738 generation of three-dimensional spherical shell numerical models of the Earth that solve the governing

- 739 equations for appropriate physical parameters. These models can evolve through geological time allowing
- visual relation relat
- *et al.* 2000; Zhong 2006; Tackley 2008; Arnould *et al.* 2019; 2020). The simulation output can then be
- visual relation relation of the set of the s
- supercomputing, it is expected that this style of 3D modeling will continue to advance and provide
- 744 important insights into Earth's inner workings.
- 745 **Low-flux Hotspots:** Given the geological importance of linking chemical components observed in ocean
- island lava flows to underlying mantle features, future improvements in our understanding of the variable
- 747 makeup of the mantle require joint geochemistry-geodynamics-geophysical research at various global
- 748 hotspots. It is important to focus on other hotspot systems besides Hawaii, as that plume displays an
- anomalously high buoyancy flux relative to the global average for hotspots. Its high buoyancy and related
- 750 melt flux have the potential to obscure geographic trends in plume-derived lava chemistry, which might
- be more easily discernable at low-flux hotspots (Chauvel *et al.* 2012).
- 752 Enriched and Primordial Mantle Domains: Over the last half a century geochemical studies caused a
- paradigm shift in our understanding of the makeup of the mantle—which represents 84% of Earth's
- volume—from a homogenous material body to a complex mix of mantle domains each characterized by a
- different heritage and geological history. We still have limited ideas on the time and length scales of these
- domains, where they reside, and what is their long-term stability.
- 757 **Coordinating Geochemical Efforts:** In terms of geochemistry, a better effort must be made to
- coordinate geochemical and isotopic and geochronological analyses on the same samples, in particular for
- multiple novel short-lived isotopic systems. For example, we have no idea how ¹²⁹Xe anomalies (which
- 760 track the degassing history of the planet) relate to ¹⁸²W anomalies (which track core formation) because
- 761 exactly one rock has been characterized for both isotopic systems, but this sample was not characterized
- 762 for ¹⁴²Nd (which tracks silicate Earth differentiation). Understanding the earliest history of the planet will
- require a multi-proxy effort with coordination across laboratories.
- 764 Cyclicities in Global Intra-Plate Volcanism and Plume Life Spans: Over the last 200 million years a
 765 waxing and waning of global intra-plate volcanism (Larson 1991b) and ocean crust formation (Müller *et*

al. 2008) is observed, but drivers and potential links between these cyclicities are unknown. Future research
 needs to provide process-based understanding and whether plume formation through time can be linked to
 coupled plate tectonic and mantle evolution, and to cycles of plate aggregation, dispersal, and subduction.
 Related to this is figuring out why plumes have such a long range of life spans, anything from ~30 to 150

- million years and longer, and how their roles changed as a function of a decreasing internal heat production
- 771 over Earth's history.

Transition Zone Plumes: The modes of rising mantle plumes and from which depths they originate is
still under debate. For example, we don't know if "transition zone plumes" exist, and if so, if they form
by ponding of superplumes on 660 km mantle discontinuity (e.g. Tan *et al.* 2002), if they are deflected
deep plumes, or if they are the natural consequence of asthenospheric convection related to the subduction
process and slab induced hydration of the transition zone (e.g. Faccenna *et al.* 2010).

Global Plume Heat Flux: Another enigma relates to figuring out whether the overall global plume flux
is consistent with the balance between the heat flux across the core-mantle boundary, internal heating, and
the thermal evolution of Earth.

780 Solid Earth, Climate and Biosphere Interactions: Mantle plume activity results in extensive volcanism 781 on Earth's surface with impacts for its environment, and all forms of life on this planet. A key challenge 782 is to capture the complete chronologies that record the mantle plume events that produce flood basalts and 783 oceanic plateaus, and to tie those to other geological records—such as sedimentary records on land and in 784 subseafloor scientific ocean drilling cores-that contain information about Earth's environment, species 785 extinction, ocean chemistry, ocean acidification, oxygenation, and more. We need those records to help 786 figure out how relevant plumes are in solid Earth, climate and biosphere interactions, including their 787 impacts on long-term climate change, mass extinctions, and biosystem resiliency.

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