Review

Advanced and Emerging Negative Electrodes for Li-Ion Capacitors: Pragmatism vs. Performance

Samson Yuxiu Lai 1,*, Carmen Cavallo 2*, Muhammad E. Abdelhamid 1, Fengliu Lou 3,* and Alexey Y. Koposov 1,2,*

Abstract: Li-ion capacitors (LICs) are designed to achieve high power and energy densities using a carbon-based material as a positive electrode coupled with a negative electrode often adopted from Li-ion batteries. However, such adoption cannot be direct and requires additional materials optimization. Furthermore, for the desired device's performance, a proper design of the electrodes is necessary to balance the different charge storage mechanisms. The negative electrode with an intercalation or alloying active material must provide the high rate performance and long-term cycling ability necessary for LIC functionality—a primary challenge for the design of these energy-storage devices. In addition, the search for new active materials must also consider the need for environmentally friendly chemistry and the sustainable availability of key elements. With these factors in mind, this review evaluates advanced and emerging materials used as high-rate anodes in LICs from the perspective of their practical implementation.

Keywords: Li-ion capacitor; negative electrode; anode; prelithiation; key performance indicators

1. Introduction

Li-ion batteries (LIBs) have attracted worldwide attention and recognition, including a Nobel Prize in 2019, due to their immense impact on our society [1–4]. LIBs can be used in a wide range of applications, including transportation, portable devices and stationary energy storage. The relatively high gravimetric energy density (between 150 to 300 Wh kg\(^{-1}\) in commercial cells) is obtained by the intercalation/insertion of Li\(^+\) ions in the active materials of electrodes. Unfortunately, the use of materials whose operation is based on intercalation/insertion principles is challenging for applications requiring high power densities, due to their slow kinetics [5].

Conversely, supercapacitors (SCs) are devices capable of high-power delivery, high stability at the cost of lower energy density, with commercial devices providing ~5 Wh kg\(^{-1}\). In SCs, the energy storage process is based on the physical separation of charges at the surface of porous electrodes, forming an electrical double layer (EDL). To distinguish the storage mechanisms of LIBs and SCs, intercalation/insertion capacity is used as the performance characteristic of LIBs and their active materials, while the term “capacitance” is used for SCs [6]. Recently, the pursuit of higher power in LIBs and higher energy in SCs have directed these two technologies towards each other, resulting in devices that can offer a compromise between energy and power [7]. Among them is a hybrid asymmetric supercapacitor (HASC), which combines one electrode from a LIB and another from a SC in a single energy-storage device [8].

The terminology for these devices, like for any emerging field, can be varied, ambiguous, and/or prone to redundancy. “Supercapacitor” or “ultracapacitor” are equivalent to
“electrochemical capacitor”, which distinguishes itself from the conventional parallel plate capacitor by utilizing high surface area electrodes and capacitance from the Helmholtz EDL. The terms “hybrid supercapacitor” or “asymmetric supercapacitor” originate from the idea of a supercapacitor electrode being hybridized with a bulk Faradaic battery electrode and tends to be the broadest categorization of devices with “high energy” (i.e., relative to conventional SCs) and “high power” (i.e., relative to conventional, high-energy LIBs). As general terms, they can include aqueous and non-aqueous electrolytes and different cations (Li, Na, K, etc.) for energy storage. Another distinction should be made with “pseudocapacitors”, which utilize at or near-surface redox reactions to store charge while presenting supercapacitor-like electrochemical behavior. The term “hybrid supercapacitor” should also be differentiated from a “hybrid (energy-storage) system”, which is a combination of energy-storage technologies using individually distinct but coordinated devices [9].

Among HASCs, Li-ion capacitors (LICs) can achieve both high power and acceptable energy densities by using a porous EDL material as the positive electrode coupled with a Li-ion intercalation/alloying material as the negative electrode [10]. LICs provide a rational compromise between high energy and high power, making this approach an attractive energy-storage solution for certain applications. “Li-ion capacitor” is a popular term for a more specific kind of the same device and may be leveraging the prevalence of “Li-ion battery” to render familiarity with and trust in the concept. In recent years, LICs have become the most popular title for this approach and, therefore, will be used in the present review. Overall, LICs are also mentioned in the literature as hybrid capacitors, hybrid Li-ion capacitors, supercabattery or supercapattery [11].

While there are already a substantial number of published reviews covering the wide range of materials for Li-ion and Na-ion capacitors [12–19] as well as main principles of functionality [20,21], the focus of the present review is primarily devoted to the materials which have demonstrated the potential for commercial utilization in the nearest future, with exception to a few promising material classes that are on the horizon but rapidly developing. From such a perspective, the selection of practical cathode materials at the present moment is limited to activated carbon [13,15,22]. However, a wider selection of potential pragmatic anode materials could be adopted from the well-developed field of LIBs. In this review, LIC technology is first discussed in the context of the landscape of electrochemical energy-storage technologies, followed by universal considerations for prelithiation. Next, anodes based on commercially relevant metal oxides, silicon- and carbon-based materials and promising emerging materials are presented, with each section ending with an assessment of the commercialization challenges associated with enabling a practical LIC technology.

2. Li-Ion Capacitors (LICs) as an Electrochemical Energy-Storage Technology
2.1. Comparative Performance

The comparative performance of LICs in the context of LIBs and SCs is typically represented in a Ragone plot as shown in Figure 1, which demonstrates the relationship between power and energy density for a given technological approach. Ragone plots are often used in literature to compare the performance of different electrochemical energy storage technologies; however, they could be used for comparison of other energy storage technologies as well [23,24]. Ragone plots are frequently used to highlight the compromises between energy and power, particularly emphasizing the benefits of LICs compared to LIBs and SCs in the majority of LIC-related publications. Ragone plots can also be useful for studying trends over time, such as the aforementioned converging trends of a higher power in LIBs and higher energy in SCs.
However, the Ragone plot can be only used for qualitative comparisons of technological approaches and research results. While the anticipated principle of the Ragone plot was to provide a platform for qualitative comparison, particularly for the uninitiated in the field of energy storage, a variety of results reported over the past decades have led to substantial complications. Specifically, the information presented on the Ragone plot often does not account for the complete set of factors determining the position of a device on the plot. While the data presented for commercial cell and industrial prototypes always account for the total weight of the components, energy-storage devices fabricated and assessed in the research environment often consider only the weight of the active materials. Such differences in weight assessment results in differences in power and energy densities and, as a result, different positions of data on the Ragone plot. Furthermore, additional factors, such as cycling profile, testing temperature and others, also influence performance but are not well represented in Ragone plots.

Thus, while a Ragone plot can be used here to visualize the energy and power performance of LICs in relation to LIBs and SCs, it can unfortunately only address a limited number of aspects. It also omits important information which is extremely valuable for modern energy technologies, such as safety, natural abundance of the elements and materials, environmental side effects, cost, etc. The performance information is further limited by the caveats on calculating based on the weight of the device or active materials. Therefore, we conclude that Ragone plots are good for educational outreach to the public or for end-users who are not familiar with the breadth of energy storage technology solutions available to them. However, for researchers or companies involved in the production of energy storage materials or cells composed thereof, Ragone plots obscure important information when making comparisons between materials and technologies of interest. In addition to Ragone plots, complementary charts such as spider web diagrams and/or a list of key performance indicators (KPIs) should be used for evaluating and comparing specific materials. Special attention should be given to the reporting of new materials according to recently suggested good practices [25,26]. At the end of the present review, the KPIs for a few of the most promising materials are tabulated as an example.
2.2. Prelithiation

Due to the LIC’s hybrid nature, it often requires a supply of Li prior to cell assembly to ensure proper functionality: unlike LIBs, neither positive nor negative electrodes of LICs typically contain Li in their structure. Therefore, Li needs to be supplied to the cell through the process of prelithiation, i.e., a process of delivering Li into the active material prior to cell assembly. All materials described in the present review except for a few specific examples were subjected to prelithiation. Several prelithiation pathways have been proposed in the literature [27,28] and can be briefly summarized as follows:

1. Electrochemical (in situ and ex situ);
2. Short circuit (in situ and ex situ);
3. Stabilized Li metal powder;
4. Chemical.

One of the most common methods for prelithiation in laboratory conditions mentioned in the literature is the ex situ electrochemical method, when the material of study is electrochemically lithiated against metallic Li prior to cell assembly—this versatile method could be conveniently used for any material. However, such approach is generally not scalable as it requires cell disassembly after the prelithiation and reassembly for further electrochemical function. Therefore, only in situ methods should be considered as scalable and industrially relevant. However, despite the promising results reported, the practical application of such prelithiation strategies is still questionable. For instance, in situ electrochemical prelithiation requires a sacrificial Li electrode to be incorporated into the pouch cell [29], which may complicate the assembly process at the industrial level.

Short circuit (in situ and ex situ) methods are based on forming a direct contact between anode and Li metal and can be implemented by a variety of methods [27]. However, the control of the prelithiation degree achieved with such methods is still questionable on any scale beyond the laboratory. Furthermore, the side effects of this rapid influx of Li would need study to avoid any detrimental consequences. Stabilized Li metal powder is similar to an in situ short circuit method, except the Li is encapsulated by a coating to improve its handling stability in dry-room assembly and this coating is later broken to expose the inner Li.

While methods 1–3 were covered in a recent review [27] and are widely explored for their scalability, chemical prelithiation is a rather new process and, therefore, only a few recent research works cover this topic [28,30]. This process uses reactive species for the lithiation of an active material, delivering the simplicity and theoretical scalability of the chemical prelithiation which makes this process appealing for further development and potential implementation. Therefore, the authors believe that while the chemical background for prelithiation already exists at the large scale, it still remains an engineering feat yet to be completed.

3. Materials Based on Metal Oxides

Based on the early and comprehensive development of LIB materials, transition metal oxides have gained significant attention as potential anode candidates for LICs due to their low cost, high stability and simplicity of preparation [17]. The readiness and availability of these materials potentially make them materials of choice for efficient LICs. However, their low electrical conductivity, uncontrolled volumetric expansion and sluggish ion diffusion in the bulk phase have hindered their practical applications [31]. Therefore, tailoring their composition and morphology to overcome these undesired drawbacks is a key requirement for the successful implementation of these materials in practical LICs. Furthermore, it should be noted that unless stated otherwise, all metal oxides covered herein were electrochemically prelithiated prior to LIC assembly.

3.1. Titanium-Based Oxides

Due to their availability, fast surface redox reactions and high capacity retention, titanium-based oxides are the most studied insertion materials for LICs [32]. For instance,
Titanium dioxide (TiO$_2$) polymorphs, particularly anatase and bronze polymorphs, were also examined as potential anode materials for LICs as they benefit from low cost, abundance, environmental benignity, and improved stability [41]. However, the initial irreversible capacity of TiO$_2$ limits its practical implementation. To address this issue, Calcagno et al. reported a tailored hybrid morphology for anatase TiO$_2$ [32]. Mesoporous beads of anatase TiO$_2$ were used as a negative electrode in a fast-charging LIC, with commercial activated carbon (AC) as the positive electrode with a conventional LIB electrolyte (LP$_30$: 1 M LiPF$_6$ in ethylene carbonate/dimethyl carbonate (EC: DMC, 50:50 v/v)). By wisely limiting the charging cutoff voltage of the TiO$_2$ electrode, they could avoid initial capacity loss and improved cycling stability. The resulting device delivered 37 mWh g$^{-1}$ at 0.5 A g$^{-1}$ (100 mW g$^{-1}$) and almost 10 mWh g$^{-1}$ at a rather high current of 20 A g$^{-1}$ (3 W g$^{-1}$). Furthermore, such a LIC showed remarkably stable performance with no de-
crease in specific energy over 10,000 cycles, which was achieved by a gradual shift to higher potentials counterbalancing a gradual decrease in capacity. Notably, the formation of cubic LiTiO\(_2\) nanocrystals on the surface of the mesoporous TiO\(_2\) beads as a result of cycling was confirmed by transmission electron microscopy (TEM) analysis of the post-cycled electrodes. The formation of the thin layer of LiTiO\(_2\) (which essentially participates in the electrochemical activity) allows in situ lithiation of the anatase beads, avoiding the prelithiation step conventionally used in LICs.

TiO\(_2\) forms a benign interface with LTO, offering grain boundaries as locations to further increase Li\(^+\) storage. The benefits of such LTO-TiO\(_2\) combination resulted in improved electrochemical performance, which has been demonstrated by several research groups. For instance, Tian et al. prepared LTO/anatase TiO\(_2\) nanosheets anchored on reduced graphene oxide (RGO) as self-supporting electrodes to store Li\(^+\) ions with a capacity of 86 mAh g\(^{-1}\) at 100 °C [42]. Furthermore, Zhu et al. reported a nanocrystalline composite, LTO/TiO\(_2\)/C, which was capable of delivering a discharge capacity of 88 mAh g\(^{-1}\) at 30 °C [43]. Using similar principles, Zouh et al. utilized a carbon foam as a conductive matrix for a LTO-TiO\(_2\) composite (CF@LTO-TO), studying the performance of the device and self-discharge at the same time [44]. The electrodes with high mass loading of LTO-TiO\(_2\) composite (25 and 45 wt%) exhibited substantially improved rate performance compared to those with lower mass loadings. The slowest self-discharge was achieved in LICs having electrodes with 45 wt% of LTO-TO, which showed a low leakage current of 5.75 mA g\(^{-1}\) and an open circuit voltage (OCV) drop from 2.5 to 1.1 V after one week.

### 3.2. Niobium-Based Oxides

Niobium oxide (Nb\(_2\)O\(_5\)) has recently become a material of interest for high-rate anodes in LIBs [45], and therefore represents a particular interest for LICs. Niobium oxide in its orthorhombic form (T-Nb\(_2\)O\(_5\)) possesses a layered structure and received substantial attention due to its unique (001) crystal plane, which provides a two-dimensional Li-ion transport channel [46]. Thus, the intercalation of Li\(^+\) into T-Nb\(_2\)O\(_5\) is a pseudocapacitive-type process with rapid diffusion kinetics in the bulk without any phase transitions during lithiation/delithiation [47]. However, similarly to most transition metal oxides, T-Nb\(_2\)O\(_3\) exhibits a low electronic conductivity of ~3·10\(^{-6}\) S cm\(^{-1}\) at room temperature. The combination of carbon materials with T-Nb\(_2\)O\(_3\) is considered to be the most effective way to overcome the poor electronic conductivity [48–50]. Therefore, Li et al. synthesized porous Nb\(_2\)O\(_3@C\) hollow nanospheres (3-D Nb\(_2\)O\(_3@C\)), where the hollow spheres allowed shortening of the ion diffusion distance and effectively buffered volume expansion [51]. The final LIC with the electrode comprising GNC (graphitic N-doped carbon)/Nb\(_2\)O\(_3@C\) demonstrated an energy density of 96.8 Wh kg\(^{-1}\) at 435.5 W kg\(^{-1}\), a power density of 41 kW kg\(^{-1}\) at 12.6 Wh kg\(^{-1}\) and a high-capacity retention of 75% after 3000 cycles.

The challenging synthetic route for the preparation of T-Nb\(_2\)O\(_3\) is a major roadblock preventing widespread adoption of this promising material, which resulted in a search for alternatives. For instance, Quin et al. used few-layered Nb\(_2\)CT\(_x\) (f-Nb\(_2\)CT\(_x\)) MXene nanosheets (NSs) as the Nb-based precursor [52]. The resultant single crystalline T-Nb\(_2\)O\(_3\) nanorods (NRs) grew along [001] crystal orientation, which possesses the minimum Li\(^+\) transport barrier. Such T-Nb\(_2\)O\(_3\) showed superior electrochemical performance unlike the pseudohexagonal phase (TT-Nb\(_2\)O\(_3\)) with the same NR morphology as demonstrated in Figure 3.
In the aforementioned niobium-based oxides, Nb$^{5+}$ undergoes a single-electron reduction and becomes Nb$^{4+}$ within the voltage range of 1.2–3.0 V (vs Li/Li$^+$) [53]. To further improve this material, the findings from LIB could be applied [54] and the Nb atoms could be partially replaced with Ti atoms forming titanium niobium oxides (TNO)—a material that benefits from the presence of multiple redox couples. As a result, TNO can provide theoretical capacities up to 388–397 mAh g$^{-1}$ [55]. High Li$^+$ storage capacity and excellent
Li$^+$ diffusivity make TNO an excellent choice for LICs. Like other oxides, they suffer from poor electronic conductivity, which is currently a major focus for improving TNO. Recently, Yuan et al. synthesized a hierarchical Ti$_2$Nb$_{10}$O$_{29}$ structure wrapped with N-rich carbon conductive layer (TNO@NC) through polypyrrole-chemical vapor deposition (PPy-CVD). Such a material shows a remarkable performance in LICs, as it enables a high capacity of 300 mAh g$^{-1}$ when measured at a 1C cycling rate, and 211 mAh g$^{-1}$ at 100 C [56]. The AC||TNO@NC-based hybrid LIC exhibits high energy (116.1 Wh kg$^{-1}$) and power density (3171.9 W kg$^{-1}$), which are attributed to the synergistic effect of the N-rich carbon coating, TiN-doping, formation of oxygen vacancies, and the micro-nano hierarchical architecture of the electrode, as confirmed by experimental measurements and density functional theory (DFT) based calculations” [56].

Budak et al. further improved the performance of this anode material through a composite of Ti$_2$Nb$_{10}$O$_{29}$ with carbon [57]. Preliminary results in half cells (vs. Li metal) demonstrated a 350 mAh g$^{-1}$ at a rate of 0.01 A g$^{-1}$ (144 mAh g$^{-1}$ at 1 A g$^{-1}$), illustrating the potential of this material for LICs. However, its stability is still below the requirements set by LIC’s applications.

3.3. Conversion Oxides

A different subclass of oxide materials known as the conversion-type materials can deliver a high theoretical specific capacity (500–1000 mAh g$^{-1}$), as the reduction of transition metals in oxides to their metallic state is a multiple-electron transfer process. Moreover, the lithiation potentials of metal oxides are generally lower than that of insertion compounds, which is favorable for LIC applications. As a consequence, several conversion-type metal oxides (MnO [58], MoO$_2$ [59], Fe$_3$O$_4$ [60], Fe$_2$O$_3$ [61], V$_2$O$_5$ [62], RuO$_2$ [63]) are considered as suitable candidates for anodes of LICs. However, similar to the insertion-type oxides described earlier, the conversion-type oxides exhibit low electronic conductivity. In addition, substantial volumetric change occurring during lithiation/delithiation is generally observed for this type of material, creating problems commonly associated with pulverization of the active material during cycling [64].

Among these materials, manganese oxide (MnO$_2$), has drawn considerable interest as one of the most promising electrode materials for hybrid supercapacitors owing to its ultra-high theoretical energy density (308 Wh kg$^{-1}$ for a single-electron reaction), elemental abundance in the Earth’s crust, and environmental friendliness [65]. Combining MnO$_2$ with carbonaceous materials can help overcome the common problem of low conductivity. Qi et al. recently reported a mulberry-like core-shell structured C@MnO$_2$. The material shows high capacitance up to 274.44 F g$^{-1}$ under 1 A g$^{-1}$ in 6 M KOH and the capacity retention is 90.2% after 5000 cycles [66].

Binary transition metal oxides (BTMOs), such as NiCo$_2$O$_4$ [67], ZnMn$_2$O$_4$ [68], MnFeO$_4$ [69] and others, benefit from the coexistence of two different cations in a single crystal structure, which leads to improvement in the electrical conductivity and simplicity of preparation. For instance, spinel NiCo$_2$O$_4$ possesses electrical conductivity several orders of magnitude higher than that of the corresponding single metal oxides such as NiO or Co$_3$O$_4$ [67]. An additional benefit of BTMOs compared to single metal oxides is the compositional tuning of the metal cation ratio, which can enhance the electrical conductivity of the active material [70]. Wang et al. pushed the limitations of NiCo$_2$O$_4$ by using a core/shell structure of NiCo$_2$O$_4$/NiCoON nanowires [71]. The binder-free negative electrode was synthesized on a carbon cloth as shown in Figure 4a and demonstrated high activity for redox reactions. Owing to the synergistic effects of oxygen vacancies and massive multivalent metal cations, the optimized NiCoON electrode displayed substantially enhanced electronic conductivity, rate performance, and capacity (1855 mAh g$^{-1}$ at 200 mA g$^{-1}$), as shown in Figure 4b,c.
Figure 4. (a) Schematic of the fabrication procedure of the NiCo$_2$O$_4$/NiCoON core–shell nanowires and it as electrodes for redox reaction; (b) transmission electron microscopy (TEM) images in the first to panels (from left to right) and high-resolution TEM image of NiCoON in the third. The inset shows the corresponding selected area electron diffraction (SAED) pattern. (c) Electrochemical performance of Ni–Co-based nanocomposites: CV curves at 0.1 mV s$^{-1}$. (i) Top panel: Galvanostatic Charge/Discharge (GCD) curves at 200 mA g$^{-1}$; (ii) top panel: cycling performance at 0.2 and 1.0 A g$^{-1}$ for 500 cycles; (iii) top panel: relationship between the logarithm of the cathodic peak current and the logarithm of the scan rate; (i) bottom panel: separation of capacitive and diffusion current at 0.8 mV s$^{-1}$; (ii) bottom panel: and charge storage contributions at different scan rates; (iii) bottom panel: CV curves of the NiCo$_2$O$_4$/NiCoON core–shell nanowires at increasing scan rate. Adapted from [71].
In summary, titanium and niobium-based oxides are the most promising insertion anodes for LIC. Among them, T-Nb$_2$O$_5$ and TNO showed the highest capacity and capacity retention at rather extreme conditions (up to 100°C). In particular, TNO demonstrates a very high capacity (up to 300 mAh g$^{-1}$) coupled with remarkable stability. However, considering the total weight of the final device, toxicity and availability of the materials, titanium-based oxides are still highly competitive with the niobium counterparts. To utilize the full potential of these materials in commercial applications, the electrical conductivity of these materials is must be addressed.

Conversion materials offer a theoretical specific capacity (500~1000 mAh g$^{-1}$) which is even higher than that for insertion oxides. MnO$_2$, V$_2$O$_5$ and RuO$_2$ are the rational choices considering their remarkable performance in supercapacitors. However, as mentioned above, they also suffer from low electronic conductivity in addition to fast capacity fading, which originates from substantial volume change during the conversion reaction. Their performances have been improved through the addition of various carbonaceous materials and their use is not limited to LICs [72]. More promising within this class are functional structures of BMTOs, like NiCo$_2$O$_4$, which have shown a good specific capacitance at high rate, paving the way for high power density LICs.

4. Silicon-Based Materials

Silicon (Si) has been long viewed as a promising material for LIBs due to its high gravimetric capacity, high elemental abundance, and low delithiation potential [73]. Therefore, in the search for advanced materials for LICs, Si has become a popular choice as an active material for LICs. However, the large capacity of Si results in enormous volumetric changes associated with lithiation/delithiation processes restricting its wide deployment in LIBs. Such processes are also accompanied with constant solid electrolyte interphase (SEI) formation consuming available lithium and electrolyte [74]. In LICs however, the full capacity of Si is not required, thus shallow cycling of Si provides significant benefits in terms of its cycle life; however, prelithiation is required to enable this function. Furthermore, to mitigate the stability issues of Si and enable simple processing of the electrodes, nanostructuring has been introduced for amorphous and crystalline Si [75,76]. Various examples of nanostructured Si—nanoparticles, core/shell structures, nanowires, nanotubes, and nanoporous structures—have been reported over the years to mitigate the volume expansion-caused capacity fading [77]. Binder chemistry is also of critical importance for the performance of Si-based anodes [78,79]. Another challenge of Si is its low electronic conductivity and poor Li$^+$ diffusion which affect high rate cycling; however, in LICs, Si operates in its lithiated state, partially mitigating such problems. Prelithiation plays an important role in the utilization of Si in LIC technology and could be performed through several pathways as described above.

4.1. Pure Silicon and Silicon Nanostructures

In 2016, Saito et al. investigated prelithiation of crystalline Si nanoparticles, demonstrating benefits of LICs over traditional electric double-layer capacitor (EDLCs) and the advantage of Si over conventional graphite anodes [80]. Prelithiation was achieved by direct chemical contact with a 50 µm Li metal foil immersed into a solution of 1.0 M LiBF$_4$ in propylene carbonate (PC). However, these laboratory-scale methods remain primitive and the cells, although having much higher capacity than EDLCs of activated carbon, suffer from high irreversible first cycle capacity loss and poor cyclability due to unstable SEI formation on the Si, particularly during the chemical prelithiation process. In response to these remaining challenges, fluoroethylene carbonate (FEC), an electrolyte additive which has shown effectiveness for extending Si anode cycle life in LIBs, was identified as a chemical solution while vacuum pressure impregnation of the Si electrode was offered as a processing solution. Combined, these approaches delivered 114 Wh kg$^{-1}$ electrodes (utilizing 700 mAh g$^{-1}$ vs. 224 mAh g$^{-1}$ in the control sample) at 1.0 mA cm$^{-2}$ after 50 cycles, demonstrating the advantages delivered by the prelithiated Si. In a following
work, the group managed to extend the cyclability to 800 cycles by replacing sodium carboxymethyl cellulose binder with polyimide [81].

Similar to its behavior in LIBs, volume expansion of pure Si-based electrodes remains a challenge at high capacity utilization. Liu et al. have also demonstrated the performance-enhancing properties of a Si-based LIC over conventional EDLCs, using copper dome micro-patterning via transfer of a self-assembled polylactic acid thin film template and subsequent vapor deposition of the Si [82]. The study suggested that the larger surface area helps maintain electronic contact and binding to the electrode. For 15,000 cycles, the 1.5 µm electrode paired with activated carbon, a 1 M LiPF₆ salt, and electrochemical prelithiation achieved 90 Wh kg⁻¹ at 90 W kg⁻¹ and 55 Wh kg⁻¹ at 900 W kg⁻¹. However, such configuration, while it demonstrates the potential performance of the material, is far from practical. Likewise, the use of Si can be improved through the engineering of other components of electrodes such as binders. For instance, the use of gelatin-derived carbon as a binder and as conductive additive substantially improved the performance of LICs primarily due to the provided elasticity which delivered more structural stability to the Si-based electrode [83].

Nanowires are another type of morphology-driven innovation to handle the lifecycle challenges of Si and their benefits were originally demonstrated in a symmetrical cell, where Si nanowires were coated with poly(3,4-ethylenedioxythiophene) [84]. This approach was extended further by combining the nanowire structure with the flexibility of a fabric-based device [85]. Such a LIC device could deliver 210 Wh kg⁻¹ at 193 W kg⁻¹ and 43 Wh kg⁻¹ at 99 kW kg⁻¹. Similar to other Si studies, a 1 M LiPF₆ carbonate-based electrolyte and electrochemical prelithiation were used. Using a combination of Si and Cu nanowires to make a bilayer fabric can lead to significantly greater stability during full Si utilization in a half cell. However, the AC/Si ratio tuning during electrode optimization can also have a significant impact: reducing the ratio from 2 to 0.32 led to a 90% capacity retention for 30,000 cycles at 10 A g⁻¹. However, scalability always presents itself as an issue for nanowire systems. Likewise, the prelithiation methods here are electrochemically driven with pre-cell assembly.

The performance of LICs strongly depend on the surface area of active material: Si could be easily etched to form a porous structure and, therefore, Si represents an excellent platform for such experimentation. A variety of scalable methods for etching Si have been developed over the years [86,87]. Thus, these techniques were evaluated for improving the performance of LICs. For instance, to demonstrate the feasibility of the approach, Oakes et al. demonstrated the use of porous Si obtained from highly doped Si wafers in a symmetrical device [88]. To improve the stability and conductivity of the porous structure, coating with graphene was performed and the symmetrical device was evaluated using 1-ethyl-3-methylimidazolium tetrafluoroborate (EMIBF₄) ionic liquid electrolytes. Despite the attractiveness of the approach, the use of ionic liquids is most likely responsible for the long term cyclability of the device. This work was further extended into more complex structures combining nanoscaling in a form of hollow spheres with controlled porosity and carbon coating [89]. Such porous Si/C ball-in-ball hollow spheres (HSs) demonstrated a discharge capacity of 586 mAh g⁻¹ at a current density of 32.0 A g⁻¹. As a result, LICs based on such materials and rice husks-based AC (RAC) were capable of providing energy densities of 239 and 154 W h kg⁻¹ at power densities of 1376 and ~69,600 W kg⁻¹, respectively, and stable cycling performance (15,000 cycles) at 6.4 A g⁻¹ as illustrated in Figure 5.
Figure 5. Performance of porous Si/C ball-in-ball HSs: (a) CV curves at different scan rates; (b) voltage profile; (c) rate performance; (d) Ragone plot, and (e) long cycling performance at 6.4 A g$^{-1}$ of a Si@C ball-in-ball HS∥RAC LIC full cell. Adapted from [89].

A combination of Si and carbon (also often mentioned in the literature as Si/carbon composites) have been initially created for improving stability and conductivity of Si for LIBs. This approach has been deployed in LICs as well. For instance, Si-carbon composites of several compositions were fabricated to investigate their beneficial influences on the performance of LICs [90]. The results showed that the specific capacities of the anodes increased significantly by 16.6 mAh g$^{-1}$ with 1.0 wt% carbon–Si composite, while the initial discharge efficiency barely changed. The specific capacity of the anode with a 10.0 wt% carbon–Si composite reached 513.1 mAh g$^{-1}$, and the initial discharge efficiency was 83.79%. Furthermore, the anodes with 7.5 wt% or lower amounts of carbon–Si composite demonstrated reduced charge transfer resistances, which caused an improvement in the rate performance of the LICs. Moreover, the use of an optimized amount (7.5 wt%) of
Si/carbon composite in anode could significantly improve the cyclability of the LIC by compensating for the consumption of active Li. The capacity retention of such a LIC device reached 95.14% at 20 C after 10,000 cycles, while the anode potential remained below 0.412 V, which is much lower than that of a soft carbon anode. Such approach could be considered as the most promising for the industrially practical development of Si-based materials for LICs.

4.2. Silicon-Based Composites

Similar to the development in LIBs, Si-based materials have become a popular choice for anodes as they allow to mitigate degradation mechanisms common for pure Si [91–93]. Yi et al. designed and developed a high-rate performing anode for LIC use by combining the approaches of using size reduction by planetary milling of commercial crystalline Si nanoparticles, boron-doping by solid-state reaction, and carbon-coating via acetylene thermal pyrolysis [94]. These additions enhanced the performance to 128 Wh kg$^{-1}$ at 1229 W kg$^{-1}$, retaining 70% of capacity after 6000 cycles. Even at power demands necessary for supercapacitors of 9704 W kg$^{-1}$, the hybrid supercapacitor using porous spherical carbon as the positive electrode still delivered 89 Wh kg$^{-1}$ [91–93].

Porous silicon carbide spheres developed by Kim et al. can also serve as high capacitance electrode materials [95]. Here, utilization of both mesopores and micropores, the latter supposedly generated by partial vaporization of Si atoms, increased the specific surface area, defined through Brunauer Emmet and Teller (BET) method, to as much as 1713 m$^2$ g$^{-1}$ and 259.9 F g$^{-1}$ at a scan rate of 5 mV s$^{-1}$. However, the hydrofluoric acid rinse after the aerosol spray drying and carbonization synthesis seems to also be a plausible cause for the porosity. For a similar purpose, low carbon silicon oxycarbide (LC-SiOC) was prepared through pyrolysis of silicone oil [96]. For activation purposes, LC-SiOC needs to be prelithiated, which was performed through contact with Li foil in the presence of electrolyte (1 M LiPF$_6$ in a solution of ethylene carbonate/dimethyl carbonate/ethyl methyl carbonate, 1 : 1 : 1, vol %). Combining LC-SiOC with a high-surface-area alternating current (AC) electrode yielded a LIC capable of delivering a power density of up to 156 kW kg$^{-1}$ while maintaining a relatively high energy density of 25 Wh kg$^{-1}$.

When considering the aspect of practical implementation, the competitive advantages of Si are abundantly clear: low cost, widely available, low toxicity, and with a strong working knowledge and experience from other industries like semiconductors and metallurgy. These competitive advantages with its high capacity have already led it to being industrially produced and incorporated for LIBs, and soon, LICs. However, these practical examples still only contain a minor fraction of Si and the challenge yet remains to enhance Si through surface modification, composition, morphology, and/or nanoengineering to overcome the main challenges in electronic conductivity and cyclability. These necessary additional processes will dull the competitive edge of Si with respect to other anode materials.

5. Organic-Based Materials

Similar to LIB electrodes, active materials for LICs are typically inorganic as illustrated in the sections above. However, often such materials come with financial, ethical and environmental penalties that counteract the purpose of these energy-storage devices [97]. These drawbacks were the motivation for intensive research to find better alternatives. Materials for organic-based electrodes (OBEs) appeared in the early 2000s, emerging as potential contenders to replace inorganic materials in both the positive and negative electrodes. OBEs are based on naturally abundant elements, including carbon, hydrogen, oxygen, nitrogen, and sulfur, which deliver an economic immunity to resource-related challenges [98–100]. Thus, it is always possible to optimize and engineer the materials to target specific properties at low production costs. Moreover, they have several advantages, such as high specific capacities, negligible swelling upon cycling, and mechanical flexibility [101].
Nature gives multiple examples of suitable electrochemically active organic molecules: for example, quinones play a key role in the photosynthesis process [102]. Although the reactions responsible for their activity involve H⁺ and electron exchange, the chemistry of these compounds is not very sensitive to the nature of the cation. Therefore, H⁺ can be substituted with metal cations (such as Li⁺ and Na⁺) to yield organic materials for electrodes of metal-ion energy storage devices. An overall blueprint of the redox-active organic molecules and their charge transfer steps adapted from Hünig’s classification [103] is reported (Figure 6) [104], where X/Y are N, O, S, P, π-systems, carboxylate, anhydride, or amide functional groups. System B has been shown to be more relevant for LIBs and capacitors [105–107].

Figure 6. (a–c) 3 general key redox-active organic systems and their related charge transfer steps (adapted from Hünig’s classification [104]).

Quinones are a class of organic compounds that are derived from aromatic compounds by the conversion of an even number of –CH= groups into –C(=O)– groups, resulting in a fully conjugated cyclic dione structure (Figure 7a, inset and Figure 7b, inset). A remarkable result with a naphthoquinone (NQ)-derivative was obtained by adding amino groups at the 2- and 3-positions of the NQ ring to form 2,3-diamino-1,4-naphthoquinone (DANQ) [108]. This material was tested in a cell containing a Li metal counter electrode, in 1 M LiTFSI in 2:1 v/v 1,3-dioxolane and dimethoxy-ethane. The initial capacity at a slow rate of 0.2 C was 250 mAh g⁻¹ (170 mAh cm⁻³) and was still retained at 20 C. This performance is attributable to the fact that DANQ is highly crystallized and is a semiconductor with a low bandgap of 2.74 eV, which increases the conductivity, and a Li⁺ diffusion coefficient in the range of $1–6 \times 10^{-7} \text{ cm}^2 \text{s}^{-1}$. This behavior is in part due to the strong electron-donating amino groups in the 2- and 3-positions of NQ, which significantly destabilize the HOMO energy, thereby decreasing the HOMO–LUMO gap. The high Li diffusion values of DANQ can be attributed to the low bandgap, facilitating fast electron/Li⁺ transfer in the electrode. The cyclization of amino groups into imidazole yielded 1H-naphtho[2,3-d]imidazole-4,9-dione (IMNQ) which led to enhanced HOMO energy and higher redox potentials compared to DANQ (Figure 7b).
the electrode. The cyclization of amino groups into imidazole yielded 1H-naphtho[2,3-d]imidazole-4,9-dione (IMNQ) which led to enhanced HOMO energy and higher redox potentials compared to DANQ (Figure 7b).

Figure 7. (a, b) show two-electron electrochemical lithiation and delithiation of 2,3-diamino-1,4-naphthoquinone (DANQ) and 1H-naphtho[2,3-d]imidazole-4,9-dione (IMNQ), respectively; (c) rate performance of the Li-DANQ cell compared to Li-NQ cell. Adapted from [108].

Carboxylates are another class of organic material which contain carbonyl groups with a second oxygen atom that is bonded to the carbon atom in the carbonyl group by a single bond. The conjugated dicarboxylates e.g., dilithium terephthalate, dilithium trans-trans-muconate, and dilithium 2,6-naphthalene dicarboxylate are studied for potential anodes for Li-ion anodes [105,109]. A remarkable example is dilithium malate due to its small volume effect and unique Li-ion storage mechanism, divided into five steps, as illustrated in Figure 8 [110]. It was tested in a half cell composed of Li metal counter electrode and 1 M LiPF₆ in ethylene carbonate:diethyl carbonate:dimethyl carbonate (EC : DEC : DMC, 1 : 1 : 1 by v/v) electrolyte. As Wang et al. explain: “First, two carboxyl hydrogen atoms composed of maleic acid are irreversibly replaced by two lithium ions. Subsequently, a two-electron reduction reaction occurs on the two carbonyl groups. Afterwards, another two-electron reduction occurs. In the fourth step, two-electron reduction continues on the two carbonyl groups. The last step is another two-electron reduction. In all, eight electrons are involved in this scheme, while the experimental value of the capacity, 1500 mAh g⁻¹ at 46.2 mA g⁻¹, corresponds to 12 electrons that are involved in the reduction process. The difference was attributed to the surface adsorption of lithium. The rate capability is also astonishing where at 4.62, 23.1, and 46.2 A g⁻¹ discharge rate, the electrode is still able to deliver 919.6, 607.2, and 457.2 mAh g⁻¹, respectively, which demonstrates the superior performance of the carboxyl-based anode.”
Figure 8. (a) Structure & proposed lithiation mechanism of the maleic acid as an anode; (b) cyclic voltammograms and (c) voltage profiles and charge and discharge profiles at 46.2 mA g\(^{-1}\) in the voltage range of 0.01–3.0 V; (d) rate capability at room temperature (red and blue line correspond to charge and discharge, respectively). Adapted from [110].

In another example, a 2D hexaazatriphenylene-based (HAT) polymer was not only reported as an LIC anode by Troshin et al. but also for sodium and potassium ion capacitors [111]. The HAT-based polymers are less specific to the nature of the cation, which facil-
irates the diversification to other alternatives to the currently dominating lithium chemistry. They achieved a specific capacity of 99 mAh g$^{-1}$ using LiTFSI in dimethoxyethane (DME) as an electrolyte at a very high current density of 10 A g$^{-1}$ (i.e., charging/discharging in ca. 1 min) after 1000 cycles with 82% capacity retention. The performance was better in the case of sodium and potassium. For sodium cells, a capacity of 117 mAh g$^{-1}$ at high current density of 10 A g$^{-1}$ (which is equivalent to 85C current rate) after 1950 cycles. As for potassium cells, they reported a specific capacity of 169 mA h g$^{-1}$ at current density of 10 A g$^{-1}$ after 4600 cycles. The long cycle life and stability of the electrodes are a result of the supramolecular structure of the polymer, which mitigated the dissolution of the organic electrode in the electrolyte while enhancing the ionic conductivity in the electrode structure.

In essence, organic-based electrodes are a very promising class of materials for energy-storage devices due to reasons discussed above; however, they are not commercially ready yet. This is due to their reactivity and solubility in the electrolytes—if they are based on single molecules, large molecular size, and high redox potentials as anodes, which in turn result in short cycle life, low energy density and specific energy, respectively. Also, the stability of lithium-containing organic materials in air is a major hurdle. Nonetheless, the potential of organic electrodes is yet to be realized as there is a great scientific and technological interest in doing so. Organic chemistry is versatile and can allow for the tuning of the voltage, solubility, and the reaction kinetics of such materials by careful engineering of chemical functionalities. Also, albeit the capacitive behavior of such organic materials, especially on the anode side as illustrated in Figure 8b, they are not entirely denoted as LIC electrodes. For example, in some work they are referred to as “Fast Charging Electrodes” [111]. This might be due to the infancy of the organic electrodes and the lack of a clear definition of their role in the energy storage field.

6. Emerging Materials

While the present review is focused on the materials which are industrially relevant and could soon be applied in commercial devices, one class of materials is on the rise and could become commercially viable in the near future: two-dimensional materials. Recently, the discovery of two-dimensional materials initiated wide deployment of these materials in energy storage devices. 2D materials demonstrated remarkable conductivity and deliver ionic transport while delivering high surface area. The first ever reported 2D material, graphene, demonstrated an incredible surface-to-mass ratio of ~2600 m$^2$ g$^{-1}$ [112]. Such remarkable properties (high surface area combined with high conductivity) made them materials of choice for supercapacitors [113], and therefore, for LICs as well. Various 2D materials have been evaluated for their electrochemical activity, which includes graphene-based materials, transition metal chalcogenides and transition metal carbides, nitrides and carbonitrides (MXenes) [114,115].

The rapid development of the area of 2D materials and demonstration of their applicability quickly resulted in evaluation of these materials in LICs. Among these materials, MXenes are viewed as particularly promising [116,117]. MXenes are of the class of materials with a general formula of $M_{n+1}X_nT_x$ ($M = Ti, Zr, V, Nb, Mo, etc.; X = C, N; T_x = F, OH, O, Cl, etc.; n = 1∼3$), where Ti-based materials are the most mature, popular and attractive [118]. Those are also the most likely candidates for commercialization. The initial synthesis of MXenes involves the use of concentrated HF to remove Al in the $M_{n+1}AlX_n$ structure [119]. However, later work conducted by Gogotsi et al. proposed more appealing synthetic alternatives not involving the direct use of HF [120,121]. Such routes may potentially open the possibilities for scalable preparation of these promising materials.

An example of such application is a demonstration of titanium carbide ($Ti_3C_2T_x$) combined with carbon nanotubes (CNT) [122]. This combination allowed the fabrication of binder-free composites which were cycled against activated carbon using 1 M LiPF$_6$ in a mixture of ethylene carbonate (EC)/diethyl carbonate (DEC)/dimethyl carbonate (DMC) at a volume ratio of 1 : 1 : 1. Prior to device fabrication, an electrochemical prelithiation of the composite was performed. At a power density of 258 W kg$^{-1}$, the $Ti_3C_2T_x$/CNT // AC
lithium-ion capacitor can achieve a high energy density of 67 Wh kg\(^{-1}\), based on the weights of active materials Ti\(_3\)C\(_2\)Tx//CNTs and AC. When the power density increases to 5797 W kg\(^{-1}\), the Ti\(_3\)C\(_2\)Tx//CNTs // AC corresponding LIC device can deliver 19 Wh kg\(^{-1}\). Furthermore, the Ti\(_3\)C\(_2\)Tx//CNTs // AC LIC exhibits good cycling with a capacity retention of 81.3\% after 5000 cycles at the current density of 2 A g\(^{-1}\), and the Coulombic efficiency is nearly 100\% during the cycling test.

While these materials represent great promise for the growing LIC technology, they still need to be viewed as an emerging generation of LIC materials. The complexity of their preparation and scalability, as a result, raise a major concern which is yet to be solved. Therefore, while the new materials concepts are on the horizon, they would need to be revised for pragmatic implementation.

**7. Conclusions and Future Prospects**

Despite the great promise of the materials described in the present review, the majority are yet to be evaluated in industrially relevant prototypes. However, for practical application, it is important to compare these materials back-to-back. It is important to note that the differences in reporting (as discussed in Section 2) make the comparison more complicated than the relevant industries would prefer. As mentioned above, the majority of published results are compared to their alternatives through Ragone plots, which only allows a general mapping of the proposed chemistry/approach and can serve only as a general guideline. Thus, for an accurate comparison of the potential materials, the following key performance indicators (KPIs) need to be considered. Such KPIs for the most popular materials which are expected to be commercialized in the nearest future are listed in Table 1.

<table>
<thead>
<tr>
<th>Material 1</th>
<th>Operating Potential (V vs. Li/Li(^+))</th>
<th>Theoretical Capacity (mAh g(^{-1}))</th>
<th>Cycle Life</th>
<th>Relative Abundance (^1)</th>
<th>Relative Affordability (^2)</th>
</tr>
</thead>
<tbody>
<tr>
<td>TiO(_2) polymorphs</td>
<td>1.55 ([19])</td>
<td>335 ([123])</td>
<td>10,000 ([32])</td>
<td>High ()</td>
<td>High ()</td>
</tr>
<tr>
<td>NbO(_2)</td>
<td>1.17 ([124])</td>
<td>385 ([124])</td>
<td>3000 ([51])</td>
<td>Moderate ()</td>
<td>Moderate ()</td>
</tr>
<tr>
<td>TNO</td>
<td>1.64 ([125])</td>
<td>388-397 ([55])</td>
<td>500 ([57])</td>
<td>Moderate ()</td>
<td>Moderate ()</td>
</tr>
<tr>
<td>Si (LIC)</td>
<td>0.4 ([73])</td>
<td>3579 ([73])</td>
<td>800 ([81])</td>
<td>Very high ()</td>
<td>Very high ()</td>
</tr>
</tbody>
</table>

\(^1\) Relative abundance ranks are estimated according to abundance in the Earth’s crust. \(^2\) Relative affordability ranks are based on the authors’ estimates of bulk raw materials. \(^3\) Bulk Si is highly affordable while nanoparticulate Si is substantially less so.

Furthermore, the authors believe that in addition to the Ragone plot for graphical representation of potential approaches, the advancements in materials for LICs are better represented by the spider diagram which accounts for all KPIs described above. Therefore, such a diagram for the most promising materials (TiO\(_2\), NbO\(_2\), TNO and Si) is shown below in Figure 9.

Another major drawback for LICs is the prelithiation step discussed above—a step that is still under development and which complicates the manufacturing of the LICs. The scalability of prelithiation is currently a significant barrier to deployment of the technology. Currently, four major pathways to prelithiation exist (electrochemical, short circuit, stabilized Li metal powder, and chemical), each with their own drawbacks, but implementation at large scale is pragmatically only viable if performed in situ. Notably however, recent advances in this direction may lift the restrictions. Furthermore, the development of the materials which do not require prelithiation is encouraging and is viewed by the authors as a next step for the development of LIC technology.

In conclusion, over the past few years LICs have demonstrated an astonishing growth beyond the research laboratories and have also created substantial industrial momentum. Benefiting from the balance of energy and power described above, LIC technology is currently viewed as an energy-storage solution for grid stabilization.
Figure 9. A “spider web” or “radar” chart can comparatively evaluate the complex performance-determining parameters of different materials with greater detail than a Ragone plot. Cycle life and theoretical capacity are plotted on a log scale for clarity. For ranked parameters, higher values indicate higher rank (e.g., Si is relatively the most abundant material of the four selected here).

Author Contributions: Conceptualization, S.Y.L. and A.Y.K.; formal analysis, C.C., M.E.A., S.Y.L. and A.Y.K.; writing—original draft preparation, C.C., M.E.A., S.Y.L., F.L. and A.Y.K.; writing—review and editing, C.C., M.E.A., S.Y.L. and A.Y.K.; visualization, M.E.A.; supervision, A.Y.K.; funding acquisition, S.Y.L. and A.Y.K. All authors have read and agreed to the published version of the manuscript.

Funding: This research was funded by the Research Council of Norway under grant number 309721. This work was performed within MoZEES, a Norwegian Centre for Environment-friendly Energy Research (FME), co-sponsored by the Research Council of Norway (project number 257653) and 40 partners from research, industry and public sector.

Acknowledgments: The authors thank Giulio Calcagno (Chalmers University of Technology) for helpful discussions of the Ragone plot.

Conflicts of Interest: The authors declare no conflict of interest. The funders had no role in the design of the study; in the collection, analyses, or interpretation of data; in the writing of the manuscript; or in the decision to publish the results.

References

38. Zhou, C.-a.; Xia, X.; Wang, Y.; Yao, Z.; Wu, J.; Wang, X.; Tu, J. Pine-Needle-Like Cu–Co Skeleton Composed with Li4Ti5O12 Forming Core–Branch Arrays for High-Rate Lithium Ion Storage. Small 2018, 14, 1704339. [CrossRef]


44. Zhou, M.; Ma, Q.; Yang, W.; Lu, X. Li4Ti5O12–TiO2 Composite Coated on Carbon Foam as Anode Material for Lithium Ion Capacitors: Evaluation of Rate Performance and Self-Discharge. ChemNanoMat 2020, 6, 280–284. [CrossRef]

45. Liu, Z.; Dong, W.; Wang, J.; Dong, C.; Lin, Y.; Chen, I.W.; Huang, F. Orthorhombic Nb2O5–x for Durable High-Rate Anode of Li-ion Batteries. Science 2020, 23, 100767. [CrossRef]


Energies 2021, 14, 3010


Qi, J.; Zhu, Y.; Zhang, J.; Wang, C. Mulberry-Like Core-Shell Structured C@MnO$_2$ as Electrode Material for Li-Ion Batteries and Pseudo-Capacitors. *ChemistrySelect* 2020, 5, 5657–5664. [CrossRef]


Ge, M.; Fang, X.; Rong, J.; Zhou, C. Review of Porous Silicon Preparation and Its Application for Lithium-ion Battery Anodes. *Nanotechnology* 2013, 24, 422001. [CrossRef] [PubMed]


