Using Facial Electromyography to Assess Facial Muscle Reactions to Experienced and Observed Affective Touch in Humans

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Abstract

"Affective" touch is believed to be processed in a manner distinct from discriminatory touch and to involve activation of C-tactile (CT) afferent fibers. Touch that optimally activates CT fibers is consistently rated as hedonically pleasant. Patient groups with impaired social-emotional functioning also show disorderd affective touch ratings. However, relying on self-reported ratings of touch has many limitations, including recall bias and communication barriers. Here, we describe a methodological approach to study affective responses to touch via facial electromyography (EMG) that circumvents the reliance on self-report ratings. Facial EMG is an objective, quantitative, and non-invasive method to measure facial muscle activity indicative of affective responses. Responses can be assessed across healthy and patient populations without the need for verbal communication. Here, we provide two separate datasets demonstrating that CT-optimal and non-optimal touch elicit distinct facial muscle reactions. Moreover, facial EMG responses are consistent across stimulus modalities, e.g. tactile (experienced touch) and visual (observed touch). Finally, the temporal resolution of facial EMG can detect responses on timescales that supersede that of verbal reporting. Together, our data suggest that facial EMG is a suitable methodology for use in affective tactile research that can be used to supplement, or in some cases, supplant, existing measures.

Video Link

The video component of this article can be found at https://www.jove.com/video/59228/

Introduction

C-tactile (CT) afferents are proposed to convey the affective component of touch, which can be distinguished from the discriminative aspects of touch processed via Aβ fibers. CT-mediated affective touch is believed to play an integral role in social affiliative behaviors, leading to the "skin as a social organ" hypothesis. Physical, developmental, and psychiatric factors can influence CT-mediated touch processing. Thus, establishing an objective measure to quantify affective reactions to CT-relevant touch is critical to allow for comparisons across populations.

In recent years, much insight has been gained regarding the characteristics of CT afferents. These unmyelinated afferents demonstrate an inverted U-shaped firing frequency, with velocities of 1-10 cm/s ("CT-optimal") eliciting the greatest frequency and both greater ("fast non-optimal") or lesser ("slow non-optimal") velocities eliciting reduced firing. CT firing frequency correlates with self-reported ratings of touch "pleasantness", producing a similar inverted U-shaped curve in pleasantness ratings. Moreover, CT-afferents also respond most robustly to stimuli close to skin temperature. These fibers also show distinct conduction speeds. The unmyelinated CT afferents are slower and thus the volley of afferent input to the cortex shows a temporal lag when compared to the speed of the faster, myelinated Aβ fibers. Affective and discriminative touch can also be distinguished on a neural level. While both types of touch activate overlapping somatosensory areas, affective touch is more likely to activate the posterior insula, while discriminative touch activates sensorimotor areas. Dysregulation in the CT-based affective touch system in anorexia nervosa has also been reported. Thus, both physical and psychological factors can influence affective touch processing, and as such, it is imperative to establish methodologies that can be applied to all individuals in an equitable and comparable manner.
Insights into normo-typical and dysregulated affective processing have the opportunity to provide a more nuanced picture of many patient groups. However, one potential limitation of affective touch research is the necessity of self-reported ratings. At times, self-report can be unreliable and subject to recall bias. Inquiries of self-report can psychologically remove a participant from the current setting, limiting the ecological validity of the responses and removing them temporally from the experience. Moreover, self-report relies on a firm understanding of language and semantics, making cross-cultural and developmentally diverse (e.g., infant and toddler-aged individuals) comparisons challenging. For instance, individuals with an autism spectrum diagnosis frequently show distinct behavioral responses to touch, but can also have difficulties in communicating verbally. Thus, finding non-invasive methods to measure responses to touch that circumvent a reliance on self-report may translate, at least, to a better understanding of the mechanisms of affective touch, and at most, novel insights into dysregulation of social processing in patient populations.

Facial electromyography (EMG) is a suitable candidate to objectively assess affective responses to touch. It has been used to measure valence-specific reactions to visual, audio-visual, olfactory, and gustatory stimuli. Facial EMG is a safe and non-invasive method consisting of surface electrodes that adhere to the face. These surface electrodes record facial muscle activity continuously in real-time with time scale sensitivity in the tens of milliseconds. Of particular interest is the corrugator supercilii ("corrugator"), which is activated when furrowing the brow and relaxes during a smile. As a result, corrugator activity has a linear relationship with affective valence, with increased response to negative stimuli and decreased activity in response to positive stimuli. In addition, the zygomaticus major ("zygomatic") is the muscle activated as the corners of the mouth pull up into a smile. The zygomatic displays a "J-shaped" activation pattern with positive stimuli eliciting the greatest response, and the most negative stimuli eliciting a greater response than neutral stimuli. Facial EMG recordings of these muscles can even be observed when stimuli are presented outside conscious awareness or when individuals are explicitly trying to suppress their reactions. Importantly, facial EMG can be used alone or in combination with self-report ratings or other physiological recordings. Thus, it is an ideal methodology to assess affective reactions to tactile stimulation.

In sum, facial EMG can be combined with self-report ratings to determine how CT-optimal tactile stimulation influences facial muscle activity as a potential indicator of affective response. One can take advantage of the velocity-dependent firing frequency of CTs to apply touch at CT-optimal and non-optimal velocities, and touch can be applied both to the CT-rich arm and the putatively CT-lacking palm. Comparisons can be made across modalities to determine whether affective responses to touch require direct stimulation or can be elicited via mere observation, suggestive of shared processing across sensory modalities. Finally, upon establishing facial EMG as a suitable methodology to study affective reactions to affective touch, researchers can then explore how affective touch processing may be influenced by various interventions (e.g., drug administration; stress exposure), how it changes throughout development, how it is influenced by the relationship of the interactants, and whether it is dysregulated in clinical populations.

**Protocol**

This protocol is based on Mayo et al. (Experiment 1) and Ree et al. (Experiment 2). Ethical approval was granted by the Regional Ethical Review Board, Linköping, Sweden (Experiment 1) and the local ethical committee at the Department of Psychology, University of Oslo, Norway (Experiment 2).

1. **Participant Screening and Preparation**
   1. Recruit participants who lack tactile or uncorrected visual disturbances and are free of any neurological or psychiatric disorder, unless a specific patient population is being recruited.
   2. Ensure that participants are fully able to understand task instructions (e.g., fluent in the language that tasks are administered).
   3. If including more than one task (e.g., Experienced, Observed), ensure that task order is counterbalanced across participants, stratifying for gender, age, or other distinguishing factors.

2. **Stimuli and Task Construction**

   **NOTE:** See Table 1 for experimental design.

1. **Experienced touch task (Experiments 1 and 2)**
   1. Create trials such that they consist of a baseline period, touch administration, and self-report ratings, all separated by jittered ITIs.
      1. Baseline periods consist of a blank screen, fixation cross, or other neutral scene prior to tactile stimulation.
      2. Tactile stimulation is followed by a short (e.g., 1-2 s) ITI, then self-report ratings are obtained.
      3. A jittered inter-trial interval (ITI; e.g., 6-7 s) follows self-report ratings to allow muscle activity to return to baseline levels before the next trial begins.
   2. Use either audio (Experiment 1) or visual (Experiment 2) cues to ensure that touch is delivered at the appropriate velocity.
      1. To use audio cues, have cues delivered to headphones worn by the experimenter to track the pace of the stimulation using a metronome. Distinguish velocities using tones of differing pitches (or other distinguishing audio cue, e.g., a cue saying "10 cm/s") that precede the stimulation cues.
      2. To use visual cues, display cues on a tablet only in view of the experimenter. Use a moving bar to track velocity of touch administration.
   3. Prior to the start of the study, practice to ensure that touch is delivered at the appropriate velocity and a consistent pressure. To do so, apply brushstrokes to the scale in a similar manner as to the participant. The scale readout is used to determine if the pressure changes throughout touch administration. For instance, a pressure of 0.4 N would read as 40 g on the scale.

2. **Observed touch task (Experiment 1)**
   1. Ensure that videos of touch administration are of similar length, regardless of velocity.
1. Include both CT-optimal (1-10 cm/s) and non-optimal (less than 1 cm/s or more than 10 cm/s) velocities.

2. Start trials with a fixation cross or other neutral condition followed by video.

   NOTE: Videos contain touch delivered to CT-rich hairy skin (arm), CT-lacking glabrous skin (palm), and a non-social condition in which touch is delivered to a fake wooden arm (Fig. 2; see supplemental videos).

   1. After a 1-2 s ITI, obtain self-report ratings.
   2. Allow another 6-7 ITI following ratings to precede the next trial to allow EMG activity to return to baseline.

3. Facial Electromyography

1. Data acquisition and filtering guidelines (based on previous protocols27,34)

   1. Use software to apply filtering steps either in real-time or offline. Typical filtering steps include a comb band stop filter to filter out potential noise from AC power (50/60 Hz), followed by smoothing and rectification.

   NOTE: Initial basic filtering steps may be set on EMG amplifiers (e.g., a high pass filter of 10 Hz and a low pass filter of 500 Hz or 1,000 Hz).

2. Electrode application (based on previous protocols27,34)

   1. Briefly describe the application process to the participant. Use neutral words (“sensor”) instead of potentially anxiety-evoking words (“electrode”).

   1. Decide what information to tell the participants regarding the purpose of the sensors.

   NOTE: In the current studies, participants were told sensors would measure muscle and sweat activity during the session.

   1. Clean the participants’ skin prior to electrode application.

   1. Use water to wipe clean the areas in which sensors will be applied.
   2. Use an exfoliant scrub to lightly abrade the same areas. Use caution to prevent major skin irritation, though minor irritation is likely to occur.

   3. Use electrode pairs consisting of two 4 mm shielded bipolar recording electrodes plus one monopolar reference electrode.

   1. Apply adhesive collars to the electrodes such that they adhere to the skin.
   2. Once collars adhere to the outer rim of the electrodes, fill sensors with a conductive electrode gel, taking care to prevent the formation of air bubbles.

   4. Place electrode pairs parallel to the muscle(s) of interest and perpendicular to potential sources of noise, such as other muscles34.

   1. Corrugator: Affix one electrode directly above the eyebrow along an imaginary vertical line that traverses the inner corner of the eye. Place the second electrode 1 cm lateral and slightly superior to the first, along the border of the eyebrow.

   2. Zygomatic: Place the first sensor midway along an imaginary line that connects the upper ear (where the ear meets the skull) and the corner of the mouth. Place the second electrode 1 cm medial (towards the mouth). Take care to avoid the masseter muscle.

   3. Use an 8 mm unshielded, monopolar recording electrode as a reference electrode. Place the electrode in the middle of the forehead, equidistant (above) the inner brows and (below) the hairline.

   4. Ensure that electrode wires are placed such that they do not impede vision. Use medical tape to ensure long-term adherence of the electrodes to skin and reduce noise/artifacts due to cord movement.

   5. Determine the quality of electrode application with an impedance monitor. Acceptable impedance levels are below 20 kΩ. If electrodes need to be reapplied to reach appropriate impedance levels, use a clean pair of electrodes.

4. Task Procedure

1. General order

   1. Following sensor application, complete task(s). If using more than one task, counterbalance order across participants.

   2. Ensure that participants are seated comfortably to minimize extraneous movement that may introduce movement artifacts34.

2. Experienced touch task

   1. Seat participants in front of computer with the to-be-touched arm extended laterally, resting comfortably (e.g., on a cushion).

   NOTE: It is recommended to apply touch to the arm that is not being used for self-reported ratings in order to minimize movement artifacts in the EMG signal.

   2. Occlude view of the arm from the participant either using a curtain separator31 or goggles that occlude lateral vision (Figure 132, 35.

   3. Instruct the participant to focus on how the touch makes them feel.

   4. Vary touch location to avoid CT fatigue35.

   5. Administer touch using a 75 mm goat hair brush applied to designated section(s) marked on the arm (and palm). Alternatively, apply touch using a force-controlled robot37.

   6. Use consistent touch administration direction, e.g., back-and-forth (distal-to-proximal, then proximal to distal) or single direction (proximal-to-distal only)

3. Observed touch task

   1. Seat participant in front of the computer that will display the videos.

   2. Instruct the participant that they will have to rate how the video made them feel.
5. Data Cleaning and Analysis

1. To assess the mean EMG activation to a specific touch stimulus type, compare the response to the touch stimulus to the preceding baseline, i.e. [mean activation during 6 s touch stimulation] - [mean activation during 1 s prestimulus “baseline”], as suggested by Fridlund and Cacioppo.
   
   1. Average responses for each touch stimulus type (CT-optimal, non-optimal and, if appropriate, each location (arm/palm).
   2. Do this for each muscle (corrugator, zygomatic) and self-report rating (pleasantness, intensity) individually.

2. To obtain a more sensitive time course, compute mean EMG activation during smaller time intervals (e.g., 700 ms; see Figure 5). Subtract the same 1 s baseline from all intervals to remove baseline EMG activity.

   NOTE: Prior to analysis, it is recommended to have data manually checked by raters blinded to touch conditions to eliminate trials with artifactual activations.

Representative Results

CT-optimal touch elicits distinct EMG responses compared to fast non-optimal touch across modalities

The first experiment addressed whether differential EMG reactivity could be detected in response to CT-optimal (3 cm/s) and fast non-optimal (30 cm/s) tactile stimulation that was directly experienced (Figure 3) or merely observed (Figure 2 and Figure 3).

Experienced CT-optimal touch was rated as more pleasant than non-optimal touch (F(1,28) = 32.2; p < 0.001; Figure 3A) regardless of touch location (p = 0.063; velocity x location: p = 0.32). Similarly, observed CT-optimal touch was rated as more pleasant that non-optimal touch (touch velocity: F(1,28) = 47.5; p < 0.001; touch type: F(2,56) = 6.09, p = 0.004; type x velocity interaction F(2,56) = 5.87, p = 0.005). CT-optimal touch to the arm was rated as more pleasant than touch to the palm (p = 0.024) and non-social touch (e.g., touch to the wooden arm; p = 0.001). Fast non-optimal touch was always rated as more intense (Figure 3B), regardless of whether the touch was experienced (touch velocity: F(1,28) = 34.3, p < 0.001; touch location: p = 0.28; velocity x location interaction: p = 0.64) or observed (touch velocity: F(1,28) = 35.1, p < 0.001; touch type: p = 0.40; velocity x type interaction: p = 0.39).

Experienced fast, non-optimal touch elicited robust corrugator reactivity that was mitigated by recruitment of CT-afferents during CT-optimal touch (effect of touch velocity: F(1,28) = 4.84, p = 0.036; effect of touch location: p = 0.93; touch velocity x location interaction: p = 0.42; Figure 3C). Corrugator response significantly differed between CT-optimal and non-optimal touch for touch to the arm (p = 0.050) but only trend level effects were seen for touch to the palm (p = 0.092). There was no main effect of touch velocity (p = 0.11) or type (p = 0.79) on corrugator reactivity to observed touch, but there was a touch velocity x type interaction (F(2,56) = 3.80, p = 0.028). Post hoc tests revealed that fast non-optimal touch elicited greater corrugator reactivity than CT-optimal touch particularly for videos of touch to the arm (p = 0.007), but not touch to the palm (p = 0.13) or non-social touch (p = 0.25). Zygomatic activity was not significantly affected by experienced touch (effect of touch velocity: p = 0.15; effect of touch type: p = 0.73; touch velocity x type interaction: p = 0.63; Figure 3D), nor observed touch (main effect of touch velocity: p = 0.37; main effect of touch type: p = 0.84; touch velocity x type interaction: p = 0.23).

CT-optimal touch elicits EMG responses distinct from slow non-optimal touch

Experiment 2 assessed whether slow non-optimal (0.3 cm/s) would elicit similar responses as fast non-optimal (30 cm/s). We found that slow non-optimal touch was rated as less pleasant (Figure 4A) and less intense (Figure 4B) than CT-optimal touch. Similar to fast non-optimal touch, slow non-optimal touch elicited robust corrugator activity that was attenuated by CT-optimal touch (effect of touch velocity: F(1,83) = 9.723, p = 0.002; Figure 4C). There was no effect of touch on zygomatic activity (p = 0.35; Figure 4D).

We next assessed the time course of EMG responses. During the first 700 ms, a window putatively free of CT input, there was no difference in corrugator reactivity (-0.031 ± 0.06 µV and -0.017 ± 0.49 µV, pBon = 0.98; Figure 5A). However, over the next 5.6 s, corrugator reactivity in response to CT optimal touch decreased gradually, whereas it gradually increased in response to slow non-optimal touch: during interval 2, the corrugator reactivity was marginally lower for CT optimal touch than non-optimal touch (pBon = 0.071). During intervals 3, 5, 6, 7 and 8, the corrugator reactivity was significantly lower during CT optimal touch than during non-optimal touch (pBon < 0.034; Figure 5A). This pattern was absent in analysis of zygomatic reactivity (p = 0.83; Figure 5B).
Figure 1: Example of experimental setup for the Experienced Touch task. Seat the participant in front of the computer with their arm extended laterally, comfortably resting on a cushion. If obtaining self-report ratings, it is recommended to apply touch to the arm that is not used to provide ratings to avoid potential movement artifacts from contaminating the EMG signal. The arm should be occluded from view of the participant\(^35,39\), either with customized glasses, as above, or using a curtain separator. This figure is adapted from Ree et al.\(^32\) Please click here to view a larger version of this figure.

Figure 2: Example of touch stimuli used in the Observed Touch task. The observed touch task included 6 s videos of touch to the (A) CT-rich arm, (B) CT-lacking palm, and (C) non-social touch to a wooden arm. Please click here to view a larger version of this figure.
Figure 3: CT-optimal touch elicits distinct responses compared to fast non-optimal touch across modalities. (A) CT-optimal touch (3 cm/s) is consistently rated as more pleasant than fast non-optimal touch (30 cm/s) across both tasks. Experienced touch is rated as most pleasant, followed by social (arm, palm) observed touch, then non-social touch (e.g. touch to a wooden arm). (B) CT-optimal touch is (3 cm/s) rated as less intense across modalities, regardless of modality or social content. (C) Fast non-optimal touch (30 cm/s) elicits more corrugator reactivity than CT-optimal touch (3 cm/s). This difference is most robust for touch to the CT-rich arm. (D) CT-optimal touch (3 cm/s) marginally increases zygomatic reactivity, though this does not reach significance for any modality or location. Bars and errors bars represent mean and standard error of the mean; \(^*\)\(p\) < 0.05 effect of velocity. This figure is adapted from Mayo et al.\(^{31}\) Please click here to view a larger version of this figure.

Figure 4: CT-optimal touch (3 cm/s) elicits distinct responses as compared to slow non-optimal touch (0.3 cm/s). (A) CT-optimal touch (3 cm/s) is rated as more pleasant than slow non-optimal touch (0.3 cm/s). (B) CT-optimal touch (3 cm/s) is rated as more intense than slow non-optimal touch (0.3 cm/s). (C) Mean corrugator reactivity in response to CT-optimal (3 cm/s) is reduced compared to slow non-optimal (0.3 cm/s). (D) Touch does not significantly influence zygomatic reactivity. Bars and error bars represent means and standard error of the mean; \(^*\)\(p\) < 0.05. This figure is adapted from Ree et al.\(^{32}\) Please click here to view a larger version of this figure.
Discussion

Here, we report on the use of facial electromyography (EMG) as a method to study affective responses to observed and experienced touch. Previously, many studies have focused on the use of self-report ratings to characterize the affective quality of touch. Touch that optimally activates CT afferents (e.g., 1-10 cm/s) is consistently rated as more pleasant than either faster or slower touch velocities. In contrast, ratings of intensity seem to track with velocity, with faster touch velocities rated as more intense, likely mediated via Aβ fibers. Using two separate datasets, we show that both fast and slow non-optimal touch elicit robust corrugator reactivity that is attenuated during CT-optimal touch. Thus, we find that touch that is rated as less pleasant (e.g., non-optimal touch) also increases corrugator activity, suggestive of enhanced negative affect. In addition, we find that responses are similar across modalities. That is, both observed and experienced touch elicit similar facial muscle activity. In both modalities, these effects were only significant for touch to the arm, and not the palm or a wooden arm. Thus, while self-reported ratings of experienced and observed affective touch are similar regardless of location (arm, palm), facial EMG only significantly differentiates between touch velocities applied to the CT-rich arm, and not the CT-fiber-lacking palm.

The results further show that the temporal sensitivity of facial EMG yields insight into emotional processing that cannot be obtained solely by self-report. Namely, we found that corrugator reactivity to CT-optimal touch becomes evident at a timescale that coincides with known conduction velocities of CT afferents. Thus, in the initial 700 ms of touch, which are believed to be dominated by Aβ activation, there is no difference in EMG activation between the two touch velocities. However, the distinction between CT-optimal and non-optimal touch becomes evident following the first 700 ms, consistent with the previously reported temporal time lag of CT-afferents. Hence, facial EMG is able to detect changes in affective responses to touch that occur with a temporal specificity that is likely inaccessible via verbal reporting.

Across both studies, we find that CT-optimal and non-optimal touch can be distinguished via corrugator activity. However, we did not find an effect of touch on zygomatic reactivity, which is in contrast to previous reports. One potential reason for the discrepancies between the current data and previous findings include methodological differences such as inclusion of a post-touch period in the analysis. Thus, we stress the importance of methodological considerations such as the length of the touch stimulation and inter-trial intervals when designing these experiments.

There are several factors that should be considered when assessing affective reactions to touch. One potential area of concern is the gender of the experimenter (and thus, toucher) to that of the participant, as well as the relationship, if any, between the two. Moreover, one should ensure that participants are precluded from viewing the experimenter and touch application, as visual processing of touch can influence the perception of touch. There are also concerns to weigh during task design. For instance, it is important to consider the potential for order effects, both in

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Table 1: Summary of Experimental Designs. In the Experienced Touch task of Experiment 1, touch was delivered at CT-optimal (3 cm/s) or fast non-optimal (30 cm/s) velocities to hairy (arm) and glabrous (palm) skin. The Observed Touch instead included videos of touch delivered to the arm, palm, or to a wooden arm (e.g., non-social) at the same touch velocities. The “non-social” condition was included to control for potential responses elicited by low-level periodicity information encoded in the movement, and determine the relevance of social content on ratings and EMG responses. Results were analyzed using repeated measures analysis of variance (ANOVA) with touch velocity and touch type as within-subjects factors. A post-hoc power analysis based on Experiment 1 suggests at least 22 individuals should be included to achieve similar results. Thus, in the initial 700 ms of touch, which are believed to be dominated by Aβ activation, there is no difference in EMG activation between the two touch velocities. However, the distinction between CT-optimal and non-optimal touch becomes evident following the first 700 ms, consistent with the previously reported temporal time lag of CT-afferents. Hence, facial EMG is able to detect changes in affective responses to touch that occur with a temporal specificity that is likely inaccessible via verbal reporting.
regards to touch stimuli presentation (e.g. discussed in) or touch location. If several touch repetitions are used, one may want to vary touch location to avoid CT fatigue. Here, we used a brush to apply touch to compare to previous studies, though it is possible that EMG responses may be different using more ecologically valid methods (e.g., touch by hand).

While we believe the use of facial EMG will be of a great benefit to the field of affective touch, there are limitations to this methodology that warrant consideration. Training is required to learn how to apply the electrodes correctly, producing an increased burden on the experimenter on the outset of experimental planning. Excessive movement, talking, or other environmental factors present during the experiment may cause artifacts in the EMG signal, thus constraining some experimental design features. Moreover, the application of electrodes to the face may elicit an attempt to discern the purpose of the study. As such, one must consider what information to tell the participant regarding not only the purpose of the experiment, but also the use of the electrodes during the experiment. In the current experiments, the participants were told that the purpose of the study was the investigate decision-making and perceptions of various sensations or reactions to social interactions. In both cases, participants were told that the electrodes would measure sweat and muscle activity and were fully debriefed following the conclusion of the experiment. These concerns and others are addressed thoroughly in Fridlund and Cacioppo.

In sum, we demonstrate that facial EMG is a reliable, robust, and informative method to assess the affective valence of tactile stimulation. This method provides a means to implicitly assess responses to tactile stimulation independent of verbal reports, paving the way for studies in infants and young children, cross-cultural comparisons, investigations of clinical conditions, and other situations in which semantics and language may otherwise preclude scientific exploration.

Disclosures

The authors have nothing to disclose

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