

1 **Abstract**

2 **Background** Little is known about how implementation of pneumoperitoneum and head-up
3 tilt position contributes to general anesthesia-induced decrease in cerebral blood flow in
4 humans. We investigated this question in patients undergoing laparoscopic cholecystectomy,
5 hypothesizing that cardiorespiratory changes during this procedure would reduce cerebral
6 perfusion.

7 **Methods** In a non-randomized, observational study of 16 patients (ASA \leq II) undergoing
8 laparoscopic cholecystectomy, internal carotid artery blood velocity was measured by Doppler
9 ultrasound at four timepoints: Awake, after anesthesia induction, after induction of
10 pneumoperitoneum, and after head-up tilt. Vessel diameter was obtained each time, and internal
11 carotid artery blood flow, our main outcome variable, calculated. We recorded pulse contour
12 estimated mean arterial blood pressure (MAP), heart rate (HR), stroke volume (SV) index,
13 cardiac index, end-tidal carbon dioxide (ETCO₂), bispectral index, and ventilator settings.
14 Results are medians (95% CI).

15 **Results** Internal carotid artery blood flow decreased upon anesthesia induction from 350
16 ml min⁻¹ (273-410) to 213 ml min⁻¹ (175-249) (-37%, p<0.001), and tended to decrease further
17 with pneumoperitoneum (178 ml min⁻¹ (127-208), -15%, p= 0.026). Tilt induced no further
18 change [171 ml min⁻¹ (134-205)]. ETCO₂ and bispectral index were unchanged after induction.
19 MAP decreased with anesthesia, from 102 (91-108) to 72 (65-76) mmHg, and then remained
20 unchanged [Pneumoperitoneum: 70 (63-75); Tilt: 74 (66-78)]. Cardiac index decreased with
21 anesthesia and with pneumoperitoneum [overall from 3.2 (2.7-3.5) to 2.3 (1.9-2.5) l min⁻¹ m⁻²];
22 tilt induced no further change [2.1 (1.8-2.3)]. Multiple regression analysis attributed the fall in

1 internal carotid artery blood flow to reduced cardiac index (both HR and SV index contributing)
2 and MAP ($p < 0.001$). Vessel diameter also declined ($p < 0.01$).

3 **Conclusions** During laparoscopic cholecystectomy, internal carotid artery blood flow
4 declined with anesthesia and with pneumoperitoneum, in close association with reductions in
5 cardiac index and MAP. Head-up tilt caused no further reduction. Cardiac output independently
6 affects human cerebral blood flow.

7 **Key words:** Carotid artery, internal; Cerebrovascular circulation; Cholecystectomy;
8 Laparoscopy; Pneumoperitoneum, Artificial

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1 Cerebral blood flow is regulated by an interplay of neurovascular coupling, oxygen and carbon
2 dioxide reactivity, sympathetic innervation, and autoregulation that buffers variations in mean
3 arterial pressure (MAP).¹ Numerous cardiorespiratory changes induced by anesthesia and
4 mechanical ventilation may therefore affect cerebral flow, and surgical techniques may
5 compound the problems. Little is known about how implementation of pneumoperitoneum with
6 carbon dioxide and head-up tilt positioning contributes to general anesthesia-induced decrease
7 in cerebral blood flow in humans. Here, we investigated this question in patients undergoing
8 laparoscopic cholecystectomy.

9 Although artificial pneumoperitoneum during laparoscopic cholecystectomy is usually well-
10 tolerated, the method alters the patient's cardiovascular^{2 3} and respiratory physiology,^{4 5}
11 challenging the control of cerebral blood flow. General anesthesia, mechanical ventilation, the
12 reverse Trendelenburg position and pneumoperitoneum may together lead to hemodynamic
13 compromise. Insufflation of carbon dioxide increases systemic vascular resistance (SVR),²
14 while increased intraperitoneal and intrathoracic pressures reduce venous return to the right
15 heart, and hence stroke volume (SV) and cardiac output (CO). Pneumoperitoneum shifts the
16 diaphragm cephalad, causing airway pressures to increase and functional residual capacity and
17 lung compliance to decrease due to formation of basal atelectasis.⁶ Positive end expiratory
18 pressure (PEEP) employed to preserve oxygenation and improve respiratory mechanics may
19 further compromise venous return.⁷ The head-up position improves respiratory mechanics but
20 does not favor venous return to the heart. In the presence of hypovolemia and hypotension,
21 hypocapnia may aggravate cerebral hypoperfusion,⁸ the cerebrovasculature being highly
22 reactive to the arterial partial pressure of carbon dioxide (PaCO₂).⁹⁻¹¹

1 No direct monitoring of cerebral blood flow is in routine clinical use, hence its optimization has
2 customarily rested on indirect measures such as arterial blood pressure and arterial blood gases.

3 Several studies have however found that also within the classic autoregulation plateau region
4 (60–150 mmHg),¹² fluctuations in MAP are associated with fluctuations in cerebral arterial
5 blood velocity.^{13 14} Indeed, the autoregulation plateau seems to be narrow, sloped, less effective
6 during hypotension than hypertension, and with poorer buffering for rapid than for slow MAP
7 changes.^{1 13 15} Many aspects of cerebral autoregulation however remain unresolved.

8 In healthy, awake humans, blood flow in the internal carotid artery (ICA) was found to decline
9 in association with reductions in CO, despite unchanged MAP.¹⁸ Experimental studies using
10 cerebral blood velocity^{16 19-21} or xenon imaging¹⁷ as index for cerebral perfusion support these
11 findings. In surgical populations, the relationship between CO and cerebral blood flow is not
12 well characterized.

13 We wanted to investigate if cerebral blood flow would be affected by pneumoperitoneum, a
14 routine surgical procedure that nevertheless presents a host of physiological challenges. In
15 patients undergoing elective laparoscopic cholecystectomy we assessed the effects of induction
16 of anesthesia, positive pressure ventilation, pneumoperitoneum, and head-up tilt on ICA blood
17 flow. These changes in blood flow were then related to continuous non-invasive MAP and CO
18 measurements. We here demonstrate that ICA blood flow declines significantly in association
19 with reduced CO, independently of depth of anesthesia, MAP, and end-tidal carbon dioxide
20 (ETCO₂). CO is an independent regulator of human cerebral blood flow.

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1 **Methods**

2 *Eligibility criteria*

3 We recruited healthy (American Society of Anesthesiologists' Physical status (ASA) ≤ 2), non-
4 obese (body mass index ≤ 32 kg/m²) adults (≥ 18 years old) scheduled for day case laparoscopic
5 cholecystectomy. All eligible patients in the study period (January 10th to March 10th, 2018)
6 were invited to participate. All patients gave written, informed consent to participate. Our
7 procedures conformed to the Declaration of Helsinki, and the Regional Ethics Committee
8 (ref.no: 2017/1064) and the Institutional Data Protection Officer (ref.no: 2017/163) approved
9 the study protocol and procedures.

10 Patients accepted as day cases are generally healthy, but quite a few take chronic medication
11 for e.g. idiopathic hypertension, hypothyroidism, or diabetes mellitus type II. To obtain a
12 representative population such patients were included if they reported complying with their
13 medication and if their arterial blood pressure and blood sugar measurements were within
14 normal limits on the day of surgery. Patients with known cerebrovascular disease were not
15 included. No formal statistical power calculation was conducted. A sample size of ≥ 15 patients
16 was considered adequate based on our previous experience with measurements of ICA blood
17 flow in healthy humans. The study was by design a non-randomized, prospective, observational
18 study.

19 *Anesthesia procedure*

20 Our study was purely observational. All anesthetic and surgical procedures followed the unit's
21 protocols and were performed by the unit's personnel. Patients were premedicated 30–60
22 minutes before operation with *per os* paracetamol 15 mg kg⁻¹, diclofenac 50–100 mg,
23 dexamethasone 8–16 mg and oxycodone depot formulation 5–10 mg. After 2–3 minutes pre-

1 oxygenation with 100% oxygen, anesthesia was induced with remifentanyl and propofol target
2 controlled infusion based on patient age and ideal body weight. Appropriate conditions for
3 endotracheal intubation were achieved with remifentanyl; neuromuscular blockade was not
4 used. Anesthesia was maintained with fentanyl 3–5 mcg kg⁻¹ and infusions of remifentanyl and
5 propofol at the discretion of the anesthetist. Metoclopramide 10 mg and ondansetron 4 mg were
6 given intravenously towards the end of surgery to prevent postoperative nausea and vomiting.
7 Intermittent doses of ephedrine (10 mg) and phenylephrine (100 mcg) or a phenylephrine
8 infusion were used when appropriate to uphold MAP.

9 Patients were ventilated by volume regulated pressure control ventilation with 40% oxygen in
10 air, tidal volumes 5–6 ml kg⁻¹ of ideal body weight, inspiratory to expiratory ratio 1:2 and PEEP
11 6–8 cm H₂O, targeting maximal airway pressures of 20–24 cm H₂O. Initial respiratory rate was
12 12 breaths per minute. Respiratory rate and tidal volume were adjusted as necessary to preserve
13 eucapnia. Standard anesthesia monitoring included oscillometric arterial blood pressure
14 (systolic, diastolic, mean) every five minutes, pulse oximetry, heart rate (HR), three-lead
15 electrocardiogram, and capnography. A bispectral index was used to monitor depth of
16 anesthesia. After carbon dioxide insufflation the intraperitoneal pressure was kept at 11–14
17 mmHg throughout the procedure. A few minutes after induction of pneumoperitoneum the
18 patients were tilted head-up by 10–20 degrees.

19 *Recordings*

20 Mean ICA blood velocity was measured by Doppler ultrasound (8 MHz probe, insonation angle
21 60°, Mylab Alpha, Esaote, Adcare, Høvik, Norway), approximately 2 cm above the bifurcation
22 of the common carotid artery to avoid turbulent flow.¹¹ Non-invasive finger arterial pressure
23 was recorded continuously from the middle left finger (Finometer® finger pulse contour

1 monitor, Finapres Medical Systems, Enschede, The Netherlands) positioned at heart level, and
2 MAP was calculated. The finger arterial blood pressure curve was calibrated and reconstructed
3 against brachial arterial blood pressure using an upper arm cuff before the recordings²². SV and
4 CO estimates were calculated beat to beat from the finger arterial blood pressure curve using
5 the Modelflow algorithm^{22 23}. Previous work have demonstrated good correspondence between
6 SV calculated by this algorithm and SV measured by Doppler ultrasound during normovolemia
7 and central hypovolemia, the algorithm being particularly accurate in following variations in
8 stroke volume^{24 25}. During cardiac surgery, CO estimated by this method corresponded well
9 with pulmonary artery thermodilution CO measurements²⁶.

10 Measurements of mean ICA blood velocity were recorded for 10–14 cardiac cycles, 4–6 times
11 in each of the following states: 1) Awake patient fully monitored at the operating table, 2)
12 Approximately two minutes after induction of anesthesia and endotracheal intubation, 3)
13 Approximately one minute after induction of pneumoperitoneum, with the patient in the
14 horizontal position, and 4) Approximately one minute after head-up tilt, i.e., reverse
15 Trendelenburg position. The median ICA velocity value (of the 4–6 means obtained over 10–
16 14 cardiac cycles at each state) was used in the subsequent analyses. The ICA diameter at the
17 site of insonation was obtained in each state before recording velocity. ICA beat volume was
18 calculated from the median ICA velocity and vessel diameter. ICA blood flow was calculated
19 from ICA beat volume multiplied by the median HR in that state. HR, MAP, SV, CO, SVR,
20 ETCO₂, peak airway pressure, PEEP, respiratory rate and tidal volume were recorded
21 concurrently with ICA velocity recordings (approximately 2-minute recordings) by obtaining
22 pictures of the anesthesia and pulse contour monitor screens every 3rd second with the use of
23 an iPad. Median values were calculated for each state. Cardiac index, SV index, and SVR index

1 were calculated, thus adjusting for the patients' body surface area. ETCO₂ in awake patients
2 was sampled from the facemask during preoxygenation; during the other states ETCO₂ was
3 sampled from the endotracheal tube.

4 *Statistical analysis*

5 Reported values are medians with 95% CI calculated by Hodges-Lehmann's estimate, unless
6 otherwise noted. The Friedman test for four related samples was used to test the difference
7 across the four states. The Wilcoxon matched-pairs signed-rank test against a two-sided
8 alternative was used to test differences between states for our primary outcome variable, ICA
9 blood flow (StatXact, Cytel Studio 10, Cytel Inc., USA). For this test the level of significance
10 was Bonferroni corrected and set at $p < 0.01$. For ease of comparison with other work, the
11 percentage changes in ICA blood flow, cardiac index, MAP and HR between states were also
12 calculated for each subject; medians and 95% CI of the percentage change were calculated. The
13 ICA blood flow response to changes in cardiorespiratory variables due to anesthesia,
14 pneumoperitoneum and head-up tilt was modelled using linear mixed-effects multiple
15 regression (Fit Model platform, Personality Standard least squares, Method REML, SAS-JMP
16 12 software for Windows, SAS Institute, Cary, NC, USA). The aim of the multivariable analysis
17 was to identify the cardiovascular or respiratory variable(s) that could predict a change in ICA
18 blood flow, while controlling for confounders such as the use of vasopressors and for the
19 correlation between observations from the same subject (repeated measures design). ICA blood
20 flow was the response variable. HR, SV index, cardiac index, MAP and ETCO₂ were
21 continuous predictors (fixed effects). The use of vasopressors at each time point (dichotomous
22 variable: Yes/No) was entered as a categorical predictor (covariate, fixed effect). Subject
23 identity was entered as a random effect to account for the correlation between repeated

1 observations from the same subject. The restricted maximum likelihood method²⁷ was used for
2 the estimation of fixed effects coefficients and variance component estimates for random
3 effects. The covariance structure used was the Variance Component structure. A pre-
4 determined forward variable sequence was followed, initially entering MAP, then cardiac index
5 and finally ETCO₂ and the use of vasopressors in the model. If cardiac index turned out to be a
6 significant predictor, substitution with HR and SV index was attempted. Inspection of the
7 residual plots revealed no deviations from the assumptions of normality and homoscedasticity.
8 The statistical significance level was set at $p < 0.05$.

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1 Results

2 Seventeen patients, four males and thirteen females, aged 45.5 years (range 23 to 76), body
3 mass index 28.4 (range 21.1 to 31.5), ASA physical status I or II were recruited. The patients'
4 comorbidities included diabetes mellitus type II (2/17), hypercholesterolemia (2/17), idiopathic
5 hypertension (6/17), bipolar disorder (1/17) and asthma (1/17). One female was excluded from
6 analysis due to an unexpected observation of a possible ICA stenosis. In four of the remaining
7 sixteen patients we were not allowed sufficient time to obtain measurements during
8 pneumoperitoneum in the horizontal position; these patients provided only partial data. All
9 collected data were analyzed and no outliers were observed.

10 Propofol infusion rates [median (quartiles)] were 7.1 (5.9 to 7.9), 6.3 (5.8 to 7.6), and 6.1 (5.8
11 to 7.1) mg kg⁻¹ h⁻¹ after anesthesia induction, pneumoperitoneum, and head-up tilt, respectively.

12 Corresponding remifentanyl infusion rates were 0.28 (0.16 to 0.41), 0.30 (0.25 to 0.36), and
13 0.30 (0.24 to 0.39) mcg kg⁻¹ min⁻¹. Ephedrine was given alone (8/16 patients) or in combination
14 with phenylephrine (2/16 patients) to restore MAP after induction of anesthesia and head-up
15 tilt. Median (quartiles) total doses of ephedrine (10 mg) and/or phenylephrine (0.1 mg) was 1
16 (0 to 2). Measurements of ICA blood velocity were done only after any administered
17 vasopressors had taken effect and MAP was restored.

18 Table 1 presents absolute values of cerebrovascular, cardiovascular, and respiratory variables
19 at each time point. Figure 1 summarizes group mean ICA flow and velocity, ICA diameter,
20 cardiac index, SV index, HR, MAP, SVR index and ETCO₂ in awake patients and after
21 anesthesia, pneumoperitoneum and tilt.

1 *Effects of anesthesia*

2 Induction of anesthesia and tracheal intubation resulted in an 18% reduction in cardiac index (–
3 28% to –9%; $p=0.001$) and a 30% reduction in MAP (–37% to –24%; $p<0.001$) compared to
4 the awake state. The decline in cardiac index resulted from a fall in HR ($p=0.002$); SV index
5 remained unaltered, likely due to a marked decline in SVR index (Figure 1).
6 ICA diameter declined ($p<0.010$) on induction of anesthesia (Table 1), while ICA beat volume
7 was reduced by 27% (–38% to –20%; $p<0.001$) and ICA blood flow was reduced by 37% (–
8 45% to –31%; $p<0.001$).

9 *Effects of pneumoperitoneum*

10 Induction of pneumoperitoneum with the patient in the horizontal position induced a marked
11 increase in SVR index ($p<0.001$) and a fall in SV index ($p<0.005$, Figure 1), causing cardiac
12 index to decrease by a further 16% (–22% to –11%; $p=0.001$). Compared to measurements after
13 anesthesia induction, HR and MAP remained unaltered. ICA beat volume decreased by an
14 additional 16% (–22% to –14%; $p=0.026$) upon insufflation of pneumoperitoneum, causing
15 ICA blood flow to fall by an additional 15% (–29% to –7%; $p=0.026$). The depth of anesthesia
16 (bispectral index, infusion rates of propofol and remifentanyl) did not change after induction of
17 anesthesia, and $ETCO_2$ was kept unchanged by ventilator adjustments.

18 *Effects of head-up tilt*

19 Positioning the patient in the reverse Trendelenburg position did not induce further changes in
20 ICA blood flow or ICA beat volume. Also cardiac index remained unaltered, as SV index
21 declined marginally ($p=0.024$) but HR increased (+15%, $p=0.003$) and regained pre-anesthetic
22 values. SVR index, MAP, and $ETCO_2$ did not change (Table 1).

1 *Combined effects of anesthesia, pneumoperitoneum and tilt*

2 Overall, compared to the awake state, ICA blood flow declined by 48% (–55% to –43%,
3 $p<0.001$), ICA beat volume by 47% (–54% to –42%, $p<0.001$), and cardiac index by 36% (–
4 41% to –32%, $p<0.001$) (Figure 1). The Friedman test confirmed that ICA blood flow was
5 significantly different among the states ($p<0.001$).

6 *Mixed effects model*

7 A linear mixed-effects model explained 88% of the variance in ICA blood flow (Adjusted $R^2=$
8 0.88; $p=0.001$). Cardiac index and MAP contributed significantly to ICA blood flow variance
9 (Figure 2). $ETCO_2$ and the use of vasopressors did not contribute and were therefore removed
10 from the model. A drop of $1 \text{ l min}^{-1} \text{ m}^{-2}$ in cardiac index predicted a drop of 88 ml min^{-1} in ICA
11 blood flow ($p<0.001$), whereas a drop of 10 mmHg in MAP predicted a drop of 26 ml min^{-1} in
12 ICA blood flow ($p<0.001$). Of the total random variance, 75% was attributed to variability
13 between subjects. When SV index and HR were introduced as predictors instead of cardiac
14 index, a reduction of 10 ml m^{-2} in SV index predicted a 64 ml min^{-1} reduction in ICA blood
15 flow ($p<0.001$), a reduction of 10 beats/min in HR predicted a reduction of 63 ml min^{-1} in ICA
16 blood flow ($p<0.001$), and a reduction of 10 mmHg in MAP predicted a reduction of 21 ml min^{-1}
17 in ICA blood flow ($p<0.001$).

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1 Discussion

2 We here demonstrate that in ASA I–II patients undergoing elective laparoscopic surgery,
3 internal carotid artery (ICA) blood flow declined by 48% from the awake state to the setting
4 with anesthesia, pneumoperitoneum and head-up tilt. Though reduced cerebral metabolism due
5 to anesthesia likely contributed, we found that cardiac index and MAP were major predictors
6 of the ICA blood flow response. These findings contrast the classical cerebral autoregulation
7 view and introduce cardiac output as an independent effector of cerebral blood flow during
8 laparoscopic surgery.

9 Induction of pneumoperitoneum decreased cardiac index (–16%) and ICA blood flow (–15%)
10 despite unaltered MAP, ETCO₂, bispectral index readings, and infusion rates of anesthetic
11 agents. The observed effect of cardiac index on human cerebral blood flow during general
12 anesthesia is in line with our findings in awake healthy volunteers¹⁸, who experienced a 15%
13 reduction in ICA blood flow during a 30% acute cardiac index reduction, despite preserved
14 MAP. These results may have important implications for treatment strategies.

15 Several previous studies have reported on the relation between cerebral perfusion and acute
16 changes in CO in awake healthy volunteers^{16 18-20 28}, as reviewed.²¹ However, most of these
17 studies measured cerebral blood velocities rather than flow. The novelty of the present study
18 lies in assessing ICA diameter as well as ICA blood velocity at each study condition, thus
19 preventing changes in ICA diameter^{1 29 30} from affecting the results. This method enabled us to
20 estimate the effect of a drop in cardiac index and MAP on cerebral blood flow during general
21 anesthesia in a surgical population.

1 Several factors interacted to decrease cardiac index, and thus cerebral flow, during laparoscopic
2 cholecystectomy (Figure 1). Induction of anesthesia and mechanical ventilation reduced cardiac
3 index (–18%) by reducing HR, while SV index was maintained by longer filling times and
4 reduced SVR index. Reduced venous return due to positive-pressure ventilation likely
5 contributed to reduce cardiac index.³¹ Pneumoperitoneum decreased cardiac index further (–
6 16%) through a marked drop in SV index, although HR returned to preanesthetic levels.
7 Sympathetic stimulation and release of hormones such as renin-aldosterone, vasopressin and
8 catecholamines induced by insufflation of the peritoneum^{2 32} probably caused the 21% SVR
9 increase, which maintained MAP despite the decrease in cardiac index.

10 Increases in SVR as large as 60%, and 30–40% increases in MAP, have been reported upon
11 induction of pneumoperitoneum during nitrous oxide and isoflurane anaesthesia.³ Reported
12 effects of pneumoperitoneum on cardiac index vary however, likely due to differing anesthetic
13 protocols. Cardiac index measured by transesophageal echocardiography did not change upon
14 pneumoperitoneum during sevoflurane anesthesia despite large increases in SVR and MAP,³³
15 while other studies found 15–20% reductions in cardiac index upon pneumoperitoneum during
16 intravenous³⁴ or inhalation anesthesia.³ Cerebral blood flow may thus be variably affected by
17 pneumoperitoneum.

18 Our non-invasive study design did not allow us to distinguish the effect of reduced cardiac
19 index on ICA blood flow from possible effects of increased cerebral vascular resistance.
20 Vasopressin induces powerful vasoconstriction in isolated human cerebral arteries.³⁵ Cerebral
21 vasoconstriction mediated by hormone release and by direct sympathetic activation on the
22 cerebral circulation might have contributed to the fall in ICA blood flow during
23 pneumoperitoneum.

1 Targeting a MAP >65 mmHg and preserving eucapnia is a commonly used strategy to preserve
2 cerebral blood flow in a clinical setting, based on the concept of a MAP plateau where
3 autoregulation is supposed to maintain flow. Lassen's classical curve was however composed
4 from mean values of 11 subject groups in 7 studies,¹² and a more pressure-passive relationship
5 between cerebral blood flow and MAP has been indicated.^{1 36} Rapid fluctuations in MAP of
6 10–20 mmHg were associated with fluctuations in cerebral arterial blood velocity,^{13 14} and
7 cerebral autoregulation seems to buffer hypotension less effectively than hypertensive
8 challenges.¹ Though cerebral autoregulation was thought to remain intact during propofol–
9 remifentanyl anesthesia,³⁷⁻⁴² these studies extrapolated cerebral blood flow from velocity
10 recordings and did not control for concomitant cardiovascular changes. In the present study, the
11 initial fall in MAP probably effected the marked drop in ICA blood flow upon induction of
12 anesthesia, though neurovascular coupling and reduced cardiac index contributed. MAP was
13 subsequently kept stable with a fluid bolus and intermittent doses of vasopressors if needed.
14 The continuing decline in ICA blood flow during surgery thus was unlikely to be due to reduced
15 MAP.

16 Reduced cerebral blood flow during propofol anesthesia has been attributed to reductions in
17 cerebral metabolism,^{43 44} i.e., neurovascular coupling.¹ In anesthetized healthy volunteers
18 examined with positron emission tomography, target concentrations of propofol up to 2.5 mcg
19 ml⁻¹ resulted in a 54% reduction in cerebral glucose metabolic rate and a 47% reduction in total
20 cerebral blood flow.⁴³ That study reported unchanged MAP and PaCO₂ during propofol
21 anesthesia, but HR declined and CO was not measured. In the present study, reduced cerebral
22 metabolism probably was an important contributor to the large reduction in ICA blood flow (–
23 37%) following induction of anesthesia, though this effect could not be separated from

1 concurrent effects of reduced MAP and cardiac index. Throughout surgery, bispectral index
2 was unchanged; thus other mechanisms than reduced cerebral metabolism must have acted to
3 further reduce ICA blood flow.

4 Carbon dioxide is a powerful regulator of cerebral blood flow. Laparoscopic surgery is
5 associated with reductions in total lung volume, functional residual capacity and lung
6 compliance,^{5 45} and peritoneal insufflation with carbon dioxide increases PaCO₂. Increased
7 minute ventilation is needed to prevent hypercapnia and avoid impaired cerebral
8 autoregulation.¹ In the present study, ETCO₂ was kept stable after induction of anesthesia by
9 altering ventilator settings; consequently ETCO₂ did not statistically affect cerebral blood flow.

10 The reverse Trendelenburg position did not further decrease cardiac index or ICA blood flow
11 compared to the horizontal position. Both surgical stimulation and ephedrine used to preserve
12 MAP likely contributed to increase cardiac contractility and heart rate, and thus to uphold
13 cardiac index during tilt. The surgical protocol in the present study, i.e., inducing
14 pneumoperitoneum with the patient in the horizontal position, might have contributed to
15 hemodynamic stability by preventing large reductions in venous return.⁴⁶ A previous study
16 where pneumoperitoneum was induced after 10 minutes in the reverse Trendelenburg position
17 reported a 50% decline in cardiac index.³

18 This study was performed in day-surgery patients without known cardiovascular or
19 cerebrovascular pathology apart from controlled idiopathic hypertension. Our findings were
20 uniform, substantial, and statistically significant even in a small study sample. The large total
21 reduction observed in ICA blood flow (48%) had no apparent clinical consequences for our
22 patients, but similar changes could predispose fragile patients to cerebral ischemia during

1 laparoscopic procedures. Marked cardiovascular changes during laparoscopic
2 cholecystectomy, though no immediate adverse events, were observed in patients with severe
3 cardiovascular disease (ASA III– IV).⁴⁷ Studies of ASA I–II patients showed smaller effects.³³
4⁴⁸ A multifaceted approach to managing cerebral flow during surgery is warranted.²¹
5 Optimizing CO in addition to preserving MAP, tailoring doses of intravenous anesthetic agents,
6 and maintaining eucapnia may alleviate reduced cerebral perfusion in patients prone to
7 hemodynamic decompensation.

8 *Strengths and limitations*

9 A strength of our study is that internal carotid artery blood flow was measured, whereas
10 previous studies reported only cerebral blood velocities and assumed arterial diameter to be
11 constant. We found the decrease in ICA blood flow to be partly due to decreased ICA velocity
12 and partly to a decrease in ICA diameter (Table 1). Reduced vessel diameter may have resulted
13 from reduced MAP after induction of anesthesia; experimental lowering of MAP by 20% using
14 lower body negative pressure has been shown to induce a 5% decline in ICA diameter.³⁰ ICA
15 should not be considered a rigid vessel in studies of cerebral perfusion.

16 ETCO₂ monitoring may underestimate PaCO₂ during laparoscopic surgery due to an increase
17 in alveolar-arterial partial pressure difference of carbon dioxide. The marked reduction in
18 cardiac index in the present study may have contributed to PaCO₂ underestimation due to
19 reduced lung perfusion. ETCO₂ in the awake state could not be compared with values during
20 anesthesia, pneumoperitoneum and tilt, as the former was sampled from the humidifier filter
21 connected to a facemask with high-flow oxygen while the latter were sampled from the filter
22 connected to the endotracheal tube. As we could not quantify the reduction in cerebral

1 metabolism, the contribution of cardiac index and MAP to ICA blood flow changes upon
2 induction of anesthesia may be overestimated in the regression analysis.

3 *Conclusion*

4 In day-surgery patients undergoing laparoscopic cholecystectomy under total intravenous
5 anesthesia, internal carotid artery (ICA) blood flow declined markedly following anesthesia,
6 positive pressure ventilation, and pneumoperitoneum. Notably, reduced ICA blood flow was
7 independently associated with reduced cardiac output, despite unchanged MAP, depth of
8 anesthesia, and ETCO₂. Our findings imply that non-invasive cardiac output monitoring could
9 be of use in the operating theatre, to better individualize treatment of perioperative
10 cardiovascular perturbations. Laparoscopic surgery is considered minimally invasive, but
11 studies of its effects on cardiac output, cerebral perfusion, and long-term patient-reported
12 outcomes are warranted, especially in fragile patients predisposed to adverse cerebrovascular
13 events.

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1 **Details of authors contributions**

2 Concept of the study: S.S. Designed the study: S.S, M.E, M.S. Recruited patients, performed
3 ultrasound measurements, collected and organized data: M.S. Performed statistical analyses:
4 M.S. and S.S. Drafted manuscript and tables: M.S. Created figures: M.S, S.S. Evaluated
5 ongoing analyses, reviewed several revisions of the manuscript, and approved the final
6 version for submission: All authors.

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1 **Figure legends**

2
3 1. **Cerebrovascular and cardiovascular changes during laparoscopic cholecystectomy**

4 in 16 healthy day-surgery patients in propofol–remifentanil anesthesia. Values measured
5 at four time points: 1) awake, 2) after anesthesia induction and intubation, 3) after
6 pneumoperitoneum, and 4) after head-up tilt. *ICA*: internal carotid artery; non-invasive
7 finger pulse contour estimated *MAP*: mean arterial pressure; *SV index*: stroke volume
8 normalized to body surface area, *HR*: heart rate; *SVR index*: systemic vascular resistance
9 normalized to body surface area; *ETCO₂*: End-tidal carbon dioxide sampled from
10 facemask (awake state) or endotracheal tube. Data are means with 95% confidence bars.

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13 2. **Concomitant effects of cardiac index and blood pressure on internal carotid artery**

14 **(ICA) blood flow.** Upper panels: Individual lines of fit for each of 16 patients. Lower
15 panels: Predicted ICA blood flow response to changes in cardiac index and mean arterial
16 blood pressure (*MAP*) by mixed-model multiple regression. Measurements taken at four
17 time points in 16 patients undergoing day-surgery laparoscopic cholecystectomy.

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Table 1 Cerebrovascular, cardiovascular and respiratory variables at four different time points during laparoscopic cholecystectomy in 16 ASA I–II patients

ICA: internal carotid artery. Finger pulse contour estimated (Finometer®) MAP: mean arterial pressure; HR: heart rate; SV: stroke volume, SVR: systemic vascular resistance; P_{max}: peak airway pressure; PEEP: positive end expiratory pressure. §Sampled from humidifier filter on facemask when awake, else from endotracheal tube. Data are medians with 95% CI calculated by Hodges Lehmann's estimate. *n=12

	Awake	After induction of anaesthesia	After pneumo-peritoneum*	After Head-up tilt
ICA blood flow (ml min⁻¹)	350 (273–410)	213 (175–249)	178 (127–208)	171 (134–205)
ICA beat volume (ml)	5.2 (4.0–5.7)	3.6 (2.9–3.9)	2.8 (2.0–3.3)	2.5 (2.0–3.1)
ICA diameter (mm)	5.9 (5.2–6.2)	5.6 (5.1–5.9)	5.3 (4.8–5.6)	5.5 (5.0–5.7)
MAP (mmHg)	102 (91–108)	72 (65–76)	70 (63–75)	74 (66–78)
Cardiac index (l min⁻¹ m⁻²)	3.2 (2.7–3.5)	2.8 (2.3–3.1)	2.3 (1.9–2.5)	2.1 (1.8–2.3)
SV index (ml m⁻²)	46.2 (37.7–51.1)	47.5 (39.3–50.7)	37.1 (29.4–41.0)	31.7 (27.1–37.2)
HR (beats min⁻¹)	70 (63–75)	60 (55–65)	65 (65–70)	69 (63–73)
SVR index (dyn s cm⁻⁵ m⁻²)	2609 (2342–3208)	2051 (1732–2423)	2522 (2267–3102)	2770 (2294–3469)
P_{max} (cm H₂O)		17 (16–17)	19 (18–19)	19 (18–19)
Tidal volume (ml)		410 (375–435)	380 (355–405)	406 (370–430)
Respiratory rate (breaths min⁻¹)		12 (12–13)	12 (12–13)	13 (12–14)
§End-tidal CO₂ (mmHg)	32 (29–35)	38 (36–40)	38 (35–38)	38 (36–39)
Bispectral Index	94 (94–95)	33 (27–37)	35 (32–37)	32 (28–36)

Figure legends

- 1. Cerebrovascular and cardiovascular changes during laparoscopic cholecystectomy**
in 16 healthy day-surgery patients in propofol–remifentanil anesthesia. Values measured at four time points: 1) awake, 2) after anesthesia induction and intubation, 3) after pneumoperitoneum, and 4) after head-up tilt. *ICA*: internal carotid artery; non-invasive finger pulse contour estimated *MAP*: mean arterial pressure; *SV index*: stroke volume normalized to body surface area, *HR*: heart rate; *SVR index*: systemic vascular resistance normalized to body surface area; *ETCO₂*: End-tidal carbon dioxide sampled from facemask (awake state) or endotracheal tube. Data are means with 95% confidence bars.

- 2. Concomitant effects of cardiac index and blood pressure on internal carotid artery (ICA) blood flow.** Upper panels: Individual lines of fit for each of 16 patients. Lower panels: Predicted ICA blood flow response to changes in cardiac index and mean arterial blood pressure (*MAP*) by mixed-model multiple regression. Measurements taken at four time points in 16 patients undergoing day-surgery laparoscopic cholecystectomy.



