Multiple Microelectrode Recordings in STN-DBS Surgery for Parkinson’s Disease: A Randomized Study

Silje Bjerknes, MD,1,2* Mathias Toft, MD, PhD,1,2 Ane E. Konglund, MD, PhD,3 Uyen Pham, MD,4 Trine Rygvold Waage, PsyD,5 Lena Pedersen,1 Mona Skjelland, MD, PhD,1 Ira Haraldsen, MD, PhD,4 Stein Andersson, PsyD, PhD,5 Espen Dietrichs, MD, PhD,1,2 and Inger Marie Skogseid, MD, PhD1

ABSTRACT: Background: Subthalamic nucleus deep brain stimulation improves motor symptoms and fluctuations in advanced Parkinson’s disease, but the degree of clinical improvement depends on accurate anatomical electrode placement. Methods used to localize the sensory-motor part of the nucleus vary substantially. Using microelectrode recordings, at least three inserted microelectrodes are needed to obtain a three-dimensional map. Therefore, multiple simultaneously inserted microelectrodes should provide better guidance than single sequential microelectrodes. We aimed to compare the use of multiple simultaneous versus single sequential microelectrode recordings on efficacy and safety of subthalamic nucleus stimulation. Methods: Sixty patients were included in this double-blind, randomized study, 30 in each group. Primary outcome measures were the difference from baseline to 12 months in the MDS-UPDRS motor score (part III) in the off-medication state and quality of life using the Parkinson’s Disease Questionnaire-39 (PDQ-39) scores. Results: The mean reduction of the MDS-UPDRS III off score was 35 (SD 12) in the group investigated with multiple simultaneous microelectrodes compared to 26 (SD 10) in the single sequential microelectrode group (p = 0.004). The PDQ-39 Summary Index did not differ between the groups, but the domain scores activities of daily living and bodily discomfort improved significantly more in the multiple microelectrodes group. The frequency of serious adverse events did not differ significantly.

Conclusions: After 12 months of subthalamic nucleus stimulation, the multiple microelectrodes group had a significantly greater improvement both in MDS-UPDRS III off score and in two PDQ-39 domains. Our results may support the use of multiple simultaneous microelectrode recordings. Trial registration: ClinicalTrials.gov Identifier: NCT00855621 (first received March 3, 2009).

Introduction

Subthalamic nucleus deep brain stimulation (STN-DBS) is a well-established therapy for Parkinson’s disease (PD) patients with motor fluctuations.1–3 However, the degree of improvement depends on accurate electrode placement in the sensory-motor part of the STN.4 Stimulation near the dorsolateral border of the nucleus is most effective,5,6 whereas stimulation of other parts of STN or nearby structures may cause adverse effects.7,8 Targeting may be based on stereotactic imaging, clinical assessment during test stimulation, and/or microelectrode recordings (MER).
The use of MER has been considered valuable in expert hands, but varies across centers, and randomized studies comparing MER strategies on outcomes have been warranted. Some centers use one MER and adding more if needed, the single sequential approach, usually using the length of STN signals as a criterion. However, there is no certain significant relationship between STN length and UPDRS improvement. Also, with this approach, it may be difficult to recognize too medially placed electrodes. Additional trajectories should be separated by at least 2mm, due to a risk of entering the same tract. Therefore, many centers use a simultaneous insertion of multiple microelectrodes that can reduce these problems and has shown superiority in clinical outcomes in non-randomized studies.

No randomized trial comparing multiple simultaneous MER to single sequential MER guidance during STN-DBS surgery for PD has been reported. Our objective was to perform a controlled randomized study, to assess the value of three-dimensional mapping of the STN on the motor outcome and health-related quality of life.

### Methods

#### Trial Design and Participants

In a single-center study, patients were randomized 1:1 to receive intraoperative investigation primarily with single MER (sMER group), or five MERs (mMER group) on each side. In the sMER group, the protocol allowed for introducing additional MERs sequentially if the first trajectory was deemed electrophysiologically or clinically unsatisfactory. In the mMER group, only anatomical limitations allowed a reduction of MERs.

The inclusion and exclusion criteria for the participants are shown in Table 1. The investigation included cognitive screening, using the Mattis Dementia Rating Scale and assessment of self-reported emotional symptoms by the Hospital Anxiety and Depression Scale (HADS), which yields sub-scores for anxiety and depression.

### Neurological and Neuropsychiatric Evaluations

Patients were investigated preoperatively and postoperatively at 3 and 12 months with the Movement Disorder Society revision of the Unified Parkinson’s Disease Rating Scale (UPDRS) to assess non-motor experiences of daily living (Part I), motor experiences of daily living (Part II), motor examination (Part III), and the severity and impact of motor fluctuations (Part IV). The MDS-UPDRS III (range 0 to 132) was scored after overnight withdrawal of dopaminergic drugs (medication-off) and after a levodopa dose approximately 1.5 times the patient’s morning dose (medication-on). Postoperative evaluations were always performed in the stimulation-on state. As five individual raters performed the MDS-UPDRS III examinations, inter-rater reliability was evaluated in 17 patients from scores performed by two separate raters (Pearson correlation coefficient r = 0.98, p < 0.001). The Hoehn & Yahr scale (0 to 5) was scored using the recommendations of the MDS task force. Health-related quality of life was assessed using the Parkinson’s Disease Questionnaire—39 (PDQ-39), covering the eight domains—mobility, activities of daily living (ADL), emotional well-being, stigma, bodily discomfort, social support, cognition, and communication. A score for each domain is calculated, and the mean across the domains to yield the Summary index (SI). Surgical complications, adverse events, and changes in medication and stimulation parameters were documented. Serious adverse events (SAE) were registered as defined by the U.S. Food and Drug Administration.

A neuropsychological assessment was performed before surgery and after 12 months. A battery of validated neuropsychological tests covering attention/working memory, executive functions, verbal and visual memory, visuospatial memory, and processing speed was used. Raw scores were transformed into standardized T-scores based on available normative data provided by the test publisher. A composite global cognitive index score was calculated expressing the mean of individual test T-scores. A complete psychiatric evaluation was performed preoperatively and at 3 and 12 months.

### Randomization and Blinding

Patients were randomly assigned in a 1:1 ratio to the sMER or mMER groups in blocks of 4 or 6, by a computerized randomization generator handled by the Office of Clinical Research, an independent body at Oslo University Hospital. The surgeon obtained the result the day before surgery. Both the patients and the neurologists performing post-operative assessments remained blinded.
Surgical and Radiological Procedure

The patient was withdrawn from dopamine agonist therapy one-week preceding surgery and from levodopa and COMT-inhibitors from midnight before surgery. For surgical planning, T1 and T2 weighted 1.5T MRI series (2 mm slices) were fused with a CT scan (1.25 mm slices) performed after mounting the stereotactic frame and localizer, using the Brainlab iPlan system. The STN target was determined by direct targeting, with guidance from classical coordinates. The entry point was placed to obtain a trajectory that avoided sulci and ventricles, preferably in gyrus F2 anterior to the coronal suture.

In both groups, STar™ microdrive with the Ben-Gun configuration was used, performing MER at 0.5–1 mm steps from 10 mm above the planned target to the ventral border of the STN or identification of substantia nigra signals, and the STN identified by a typical neuronal firing pattern.

sMER Group

A minimum of one central MER was inserted. If typical STN signals were recorded, test stimulation was performed from the macro contact of the microtargeting electrode (FHC microTargeting, 44975L). A neurologist evaluated effects on motor symptoms and side effects, from 1.5 mA and up to 4.5–5 mA (130 Hz, 60 microseconds). If the central trajectory gave unsatisfactory recordings or clinical response, or side effects at thresholds lower than 3.0 mA, an additional MER trajectory 2 mm anterior, posterior, medial, or lateral to the initial one was inserted. This procedure could be repeated with up to five sequentially introduced MERs. The permanent electrode (Lead model 3389, Medtronic) was inserted in the chosen trajectory and fixed to the skull using the Stimloc system (Medtronic).

mMER Group

Five microelectrodes were introduced simultaneously. The trajectory judged to be located in the dorsolateral part of the nucleus and yielding the longest depth of good STN signals was chosen for clinical test stimulation. Test stimulation was then done as described above for the sMER patients. The trajectory with the best therapeutic window was used for the permanent electrode. The neurostimulator (Kinera or Activa PC, Medtronic) and connection cables were implanted under general anesthesia. A postoperative CT was performed the next day.

Initiation and Modulation of Stimulation Parameters

Stimulation was initiated using pulse width 60 microseconds and frequency 130 Hz, monopolar configuration, and amplitudes individually modified for each patient.

Primary and Secondary Endpoints

Primary endpoints were the mean score differences of individual patients from preoperative to one year of STN-DBS, in the medication-off MDS-UPDRS III, and the PDQ-39 scores.

The MDS-UPDRS III medication-off score was also incorporated into the so-called quality index as a secondary endpoint. The individual patient’s stimulation-induced MDS–UPDRS III score reduction (medication-off) from preoperative to one year is divided by the reduction obtained by the preoperative levodopa challenge. Thus it expresses how much of the potential improvement predicted by the levodopa-response that has been achieved with stimulation (where quality index > 1 indicates larger improvement and, < 1 smaller than predicted).

Sample Size and Statistical Analysis

The primary null hypothesis was an outcome of no difference in the score changes of the MDS-UPDRS III medication-off or PDQ-39 between both randomization groups. We estimated that a sample size of 60 patients (30 per group) would power the study at 80% with a 5% probability of a type I error. This design allows detection of a 20% difference between treatment groups concerning the primary outcome criteria assuming SD of 25%, allowing for an overall dropout rate of 10%.

Primary and secondary endpoints were studied using t-tests comparing group means of individual patient’s score differences from baseline to 12 months of stimulation. Paired sample t-test was used to evaluate score differences within each group. For PDQ-39, 7% of the items were missing (no pattern recognized), preventing the calculations of domain scores and SI. Therefore, we performed multiple imputations (20 imputations) of missing items for intention-to-treat analysis, according to the CONSORT guidelines. All statistics were performed using IBM SPSS Statistics version 22.

Ethical Considerations

All participants gave written informed consent before inclusion. The study was approved by the Regional Committee for Medical and Health Research Ethics (REC South East, project no. 6.2009.46), and registered at ClinicalTrials.gov (Identifier NCT00855621, first received March 3, 2009). The study is reported according to the CONSORT guidelines.

Results

Between April 2009 and December 2013, 76 patients underwent STN-DBS surgery at Oslo University Hospital. Sixty patients (15 women, 45 men) participated in the study, and primary endpoint
analysis included 55 patients (sMER group: 29, mMER group: 26; Figure 1). Table 2 shows median and range of the baseline demographic and clinical data for each group.

Table 3 shows the distribution of MER trajectories per hemisphere in the two groups. In the sMER group, 50% were investigated with >1 MER on at least one side. In the mMER group, 10% were investigated with <5 MER. The central trajectory was chosen for the permanent electrode in 65% of sMER and 72% of mMER patients.

For the whole population, the mean improvement from baseline to one year of individual patient MDS-UPDRS III medication-off scores was 30 (p < 0.001, 61%), and the mean quality index was 0.90. For PDQ-39, significant improvements were found in the SI (p < 0.001) and the domains mobility, activities of daily living (ADL), stigma, cognition, and bodily discomfort (all with p < 0.023).

Mean stimulation parameters at one year were similar in both groups; sMER/mMER: 2.8/2.9 Volt, 137/134 Hz and 61/64 microseconds (all with p > 0.301). One of the two middle contacts was used in 96% of electrodes.

Primary Endpoint Analyses
From baseline to one year, the mean improvement of individual patient MDS-UPDRS III medication-off scores was 35 in the mMER group and 26 in the sMER group (p = 0.004, Table 4). Mean PDQ-39 SI improvement was 7.8 for mMER (p < 0.001) and 2.9 for sMER (p = 0.208), but with no significant between-group difference (p = 0.100, Table 4). Of the eight PDQ-39 domains, four improved significantly in the mMER group versus three in the sMER group (Figure 2). ADL and bodily discomfort improved significantly more in the mMER group (p = 0.024 and p = 0.048).

Secondary Endpoint Analyses
The mean quality index was 0.97 in the mMER group and 0.84 in the sMER group (p = 0.091). The total scores of MDS-UPDRS I, II, and IV, and total LEDD, all improved significantly from baseline to 12 months in both randomization groups (p < 0.010), but not significantly different between the groups (Table 4).

Post Hoc and Adjusted Analyses
Despite randomization, the mean preoperative MDS-UPDRS III medication-off score was higher in the mMER group than in the sMER group (not explained by preoperative tremor score differences; sMER mean 7[5] and mMER 8[7]). To adjust for this preoperative difference we performed a one-way analysis of covariance (ANCOVA), with estimated means after adjustment for the preoperative MDS-UPDRS III medication-off difference being 29 for sMER and 32 for mMER (p = 0.24).

A responder analysis based on the distribution of the quality index in the two randomization groups is shown in Figure 3.
We performed a post hoc analysis to compare the patients who ended up being evaluated with a total of 5 to 10 MER (n = 31), to those evaluated with only 2 to 4 MER (n = 24). The MDS-UPDRS III medication-off difference at 12 months was 34 and 26 (p = 0.004), and the quality index 0.97 and 0.81 (p = 0.039), respectively.

The global cognitive index was significantly reduced from baseline to follow-up in both sMER and mMER groups (t = 3.01, p = 0.006 and t = 4.34, p < 0.001, respectively), but with no significant between-group difference in magnitude of change (t = 1.82, p > 0.05).

### Adverse Events

There were no intracranial hemorrhages, epileptic seizures, or mortality. Serious adverse events (SAE) were registered in 31/60 patients. There were no intracranial hemorrhages, epileptic seizures, or mortality. The incidence did not differ between the groups (p = 0.798, Table 5). Three patients (5%) had surgical site infections (SSI). In one sMER patient, infection over the extension cable spread to an intracerebral abscess, and in the mMER group, two had SSI in relation to the neurostimulator (p = 0.701). The only event registered as life-threatening was the intracerebral abscess, which resolved after hardware removal and long-term antibiotic treatment, and left no permanent sequelae.

The only event registered as a permanent disability was a progressive cognitive deficit at the one-year evaluation in a sMER patient.

### TABLE 2 Baseline Characteristics of the Study Population

<table>
<thead>
<tr>
<th>Gender (n (%))</th>
<th>Total</th>
<th>Single MER</th>
<th>Multiple MER</th>
</tr>
</thead>
<tbody>
<tr>
<td>Male</td>
<td>45 (75)</td>
<td>20 (67)</td>
<td>25 (83)</td>
</tr>
<tr>
<td>Female</td>
<td>15 (25)</td>
<td>10 (33)</td>
<td>5 (17)</td>
</tr>
<tr>
<td>Age</td>
<td>62 (44 to 71)</td>
<td>62 (44 to 71)</td>
<td>63 (49 to 78)</td>
</tr>
<tr>
<td>Disease duration (years)</td>
<td>11.0 (4 to 23)</td>
<td>11.0 (4 to 23)</td>
<td>11.5 (4 to 17)</td>
</tr>
<tr>
<td>HAD * Anxiety</td>
<td>4 (0 to 12)</td>
<td>3 (0 to 11)</td>
<td>4 (0 to 12)</td>
</tr>
<tr>
<td>Depression</td>
<td>3 (0 to 19)</td>
<td>3 (0 to 19)</td>
<td>3 (0 to 19)</td>
</tr>
<tr>
<td>Mattis Dementia Rating Scale*</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>LEDD</td>
<td>142 (131 to 144)</td>
<td>142 (131 to 144)</td>
<td>141 (134 to 144)</td>
</tr>
<tr>
<td>MDS-UPDRS I</td>
<td>16.5 (1 to 25)</td>
<td>15.5 (8 to 31)</td>
<td>15.5 (3 to 24)</td>
</tr>
<tr>
<td>MDS-UPDRS II</td>
<td>16.0 (0 to 32)</td>
<td>15.5 (8 to 31)</td>
<td>16.5 (9 to 32)</td>
</tr>
<tr>
<td>MDS-UPDRS III off</td>
<td>47 (23 to 78)</td>
<td>44 (28 to 66)</td>
<td>51 (23 to 78)</td>
</tr>
<tr>
<td>MDS-UPDRS III on</td>
<td>13 (1 to 45)</td>
<td>13 (3 to 37)</td>
<td>13 (1 to 45)</td>
</tr>
<tr>
<td>MDS-UPDRS IV</td>
<td>18.0 (0 to 16)</td>
<td>18.5 (1 to 15)</td>
<td>9.5 (0 to 16)</td>
</tr>
</tbody>
</table>

### TABLE 3 Number of Patients with Different Combinations of Microelectrode Trajectories Recorded in the Two Randomization Groups

<table>
<thead>
<tr>
<th>Combinations of number of trajectories performed (left + right)</th>
<th>No of patients</th>
</tr>
</thead>
<tbody>
<tr>
<td>sMER</td>
<td></td>
</tr>
<tr>
<td>1+1</td>
<td>15</td>
</tr>
<tr>
<td>1+2</td>
<td>3</td>
</tr>
<tr>
<td>2+1</td>
<td>2</td>
</tr>
<tr>
<td>1+3</td>
<td>1</td>
</tr>
<tr>
<td>3+1</td>
<td>1</td>
</tr>
<tr>
<td>2+2</td>
<td>2</td>
</tr>
<tr>
<td>2+3</td>
<td>1</td>
</tr>
<tr>
<td>3+2</td>
<td>4</td>
</tr>
<tr>
<td>3+3</td>
<td>1</td>
</tr>
<tr>
<td>mMER</td>
<td></td>
</tr>
<tr>
<td>5+5</td>
<td>27</td>
</tr>
<tr>
<td>4+5</td>
<td>1</td>
</tr>
<tr>
<td>5+4</td>
<td>1</td>
</tr>
<tr>
<td>1+1</td>
<td>1</td>
</tr>
</tbody>
</table>

Abbreviations: MER, microelectrode recording; HAD, hospital anxiety and depression scale; LEDD, levodopa-equivalent daily dosage; MDS-UPDRS, Movement Disorder Society – Unified Parkinson’s disease rating scale; HY, Hoehn and Yahr.

Values are medians (min-max). N = 60, except for HAD (*58, 29 in each group) and Mattis dementia rating scale (**50, 23 in the sMER and 27 in the mMER group).
### TABLE 4 Change from Baseline to 12 Months of STN-DBS for Primary and Secondary Endpoints

<table>
<thead>
<tr>
<th>Endpoints</th>
<th>Preoperative</th>
<th>I2 months</th>
<th>Difference (effect size)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Total</td>
<td>Single</td>
<td>Multiple</td>
</tr>
<tr>
<td><strong>Primary endpoints</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>MDS-UPDRS III Off</td>
<td>55 (9)</td>
<td>29 (9)</td>
<td>26 (9)</td>
</tr>
<tr>
<td>PDQ-39 Summary index</td>
<td>28.0</td>
<td>21.1</td>
<td>19.1</td>
</tr>
<tr>
<td>Mobility</td>
<td>35.9</td>
<td>30.4</td>
<td>23.6</td>
</tr>
<tr>
<td>ADL</td>
<td>30.9</td>
<td>22.8</td>
<td>20.8</td>
</tr>
<tr>
<td>Emotional</td>
<td>18.8</td>
<td>22.8</td>
<td>20.8</td>
</tr>
<tr>
<td>Stigma</td>
<td>25.9</td>
<td>22.8</td>
<td>20.8</td>
</tr>
<tr>
<td>Social Support</td>
<td>12.6</td>
<td>16.0</td>
<td>8.9</td>
</tr>
<tr>
<td>Cognition</td>
<td>23.0</td>
<td>20.0</td>
<td>19.6</td>
</tr>
<tr>
<td>Communication</td>
<td>23.0</td>
<td>25.6</td>
<td>26.8</td>
</tr>
<tr>
<td>Bodily Discomfort</td>
<td>45.7</td>
<td>26.3</td>
<td>21.0</td>
</tr>
<tr>
<td><strong>Quality index</strong></td>
<td>0.90 (0.29)</td>
<td>0.84 (0.25)</td>
<td>0.97 (0.32)</td>
</tr>
<tr>
<td>MDS-UPDRS I</td>
<td>10.7 (5.8)</td>
<td>12.0 (6.4)</td>
<td>9.0 (5.7)</td>
</tr>
<tr>
<td>MDS-UPDRS II</td>
<td>18.1 (6.2)</td>
<td>11.5 (6.7)</td>
<td>11.2 (6.8)</td>
</tr>
<tr>
<td>MDS-UPDRS IV</td>
<td>9.3 (3.6)</td>
<td>2.6 (3.7)</td>
<td>2.4 (3.2)</td>
</tr>
<tr>
<td>LEDD</td>
<td>1269 (532)</td>
<td>652 (355)</td>
<td>624 (301)</td>
</tr>
<tr>
<td>MDS-UPDRS III On*</td>
<td>1332 (332)</td>
<td>655 (362)</td>
<td>628 (368)</td>
</tr>
</tbody>
</table>

**Abbreviations:** MDS-UPDRS, Movement Disorder Society – Unified Parkinson’s disease rating scale; PDQ-39, Parkinson’s disease questioned; I2, summary index; ADL, activities of daily living; LEDD, levodopa-equivalent daily dosage. The differences (effect size) are the mean of individual patients score difference from baseline to 12 months of STN-DBS. For PDQ-39, SD is not presented as pooled output on multiple imputation data sets do not include this.

*Not a defined endpoint.
In the mMER group, the proportion of excellent responders was 50% versus 35% in the sMER group, and the proportion of satisfactory responders was 27% versus 45%. The proportions of poor responders were 23% and 21%, respectively.

**FIG. 2.** Mean change from preoperative to 12 months of STN-DBS in the eight PDQ-39 domains, comparing the two randomization groups. Abbreviations: PDQ-39, Parkinson’s disease questionnaire-39; ADL, activities of daily living; sMER, single sequential microelectrode recording(s); mMER, multiple simultaneous microelectrode recordings.

* *p < 0.05 for change in each domain versus baseline.
** **p < 0.05 for between group differences.

**FIG. 3.** Responder analysis of the distribution of the quality index in the two randomization groups. Abbreviations: sMER, single sequential microelectrode recording(s); mMER, multiple simultaneous microelectrode recordings. In the mMER group, the proportion of excellent responders was 50% versus 35% in the sMER group, and the proportion of satisfactory responders 27% versus 45%. The proportions of poor responders were 23% and 21%, respectively.
stimulation can be predicted by the patient’s improvement in the same direction as the crude MDS-UPDRS III difference, being close to 1 in the mMER group (regarded as an optimal treatment effect), versus just above 0.8 in the sMER group. An excellent response (quality index ≥ 0.95; Figure 3) was achieved in 50% of the mMER patients, versus 35% in the sMER group. The quality index is also useful when comparing results across different patient populations with variable severity of disease.1

As much as 50% of the sMER patients were evaluated with > 1 MER in at least one hemisphere, possibly leading to smaller differences in treatment efficacy between the two randomization groups. The permanent electrode was implanted in a different trajectory than the central (MRI based target) in 32% of hemispheres, with no significant group differences (sMER 35% versus mMER 28%). This figure is similar to other studies, reporting this in about one-third of electrodes.4 One could speculate that the benefit of stimulation would be less if the central MRI based trajectory were chosen in all patients. Causes of deviations from planned central trajectory can be errors in the stereotactic procedure or brain-shift. It has been reported that the majority of brain shift occurs before surgery,6,9 improved surgical experience and expertise.8 Also, no between-group differences were found regarding neurropsychiatric adverse events or changes to global cognitive index.

Our patients perform well, also when compared with previous studies.1,28 We underline that we have used the new MDS-UPDRS, used in few of the previously published studies, but Goetz et al. showed that part III is comparable in both versions.30 The safety profile of our cohort is good, with no significant group differences in serious adverse events. Three patients (5%) had SSI, including one rare case of an intracranial abscess, which was the only case of intracranial infection observed in our 10-year cohort of DBS treated patients.33 Also, no between-group differences were found regarding neurropsychiatric adverse events or changes to global cognitive index.

One argument raised against using multiple simultaneous MER has been the risk for hemorrhage. The reported incidence of hemorrhage is varying between 1–20%.33,34 Seijo et al. report decreasing frequency of hemorrhage,7 probably explained by raised awareness on avoiding the ventricles and sulci during lead insertion,34,35 and improved surgical experience and expertise.7 Age and hypertension are also reported as risk factors for hemorrhage.33 No hemorrhages in 120 electrode placements indicate that our hemorrhage frequency is low. In our opinion, the clinical benefits of using multiple MER outweigh the relatively small risk of hemorrhage.

Technical advances in the field have emerged during recent years, where directional current steering and closed-loop devices are promising.36,37 However, these techniques also have limitations and their efficacy still depends on correct localization of the target. Neuroradiating techniques are advancing, but it is not certain that this will lead to more uniform targeting as experts may define the optimal STN target differently.38 One study showed that the final stimulation site, based on MER and intraoperative stimulation, was located more lateral and superior than both atlas and MRI based targets.39 Also, newer studies show discrepancies comparing borders

### Table 5: Serious Adverse Events (SAE)

<table>
<thead>
<tr>
<th>Event</th>
<th>Total</th>
<th>sMER</th>
<th>mMER</th>
</tr>
</thead>
<tbody>
<tr>
<td>Deaths</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Life threatening</td>
<td>1</td>
<td>1</td>
<td>0</td>
</tr>
<tr>
<td>Permanent disability</td>
<td>1</td>
<td>1</td>
<td>0</td>
</tr>
<tr>
<td>Hospital admission</td>
<td>12</td>
<td>4</td>
<td>8</td>
</tr>
<tr>
<td>Intervention required</td>
<td>10</td>
<td>8</td>
<td>2</td>
</tr>
<tr>
<td>Other</td>
<td>7</td>
<td>2</td>
<td>5</td>
</tr>
<tr>
<td>Total SAE</td>
<td>31</td>
<td>16</td>
<td>15</td>
</tr>
</tbody>
</table>

**Causes of Serious adverse events:**

- **Infections:** 10 (5) 5 5
  - Surgical site infection: 5 (2) 3 2
  - Other infections: 5 (3) 3 2
- **Falls:** 10 (6) 4
  - Without injury: 2 (2) 0 0
  - With fracture: 5 (3) 2 2
  - With brain concussion: 2 (0) 2 0
  - With scalp wound: 1 (1) 0 0
- **Neuropsychiatry:** 19 (10) 9
  - Hypomanic episode: 5 (3) 2 2
  - Depressive episode: 5 (3) 2 2
  - Anxiety: 1 (0) 1 0
  - Confusion: 4 (3) 1 1
  - Increased irritability: 1 (0) 0 1
  - Hallucinations/delusions: 1 (0) 1 0
  - Cognitive decline: 2 (1) 1 1
- **Other:** 2 (0) 0
  - TIA: 1 (0) 0 1
  - Hemorrhage (extracranial): 1 (0) 1 0

**Abbreviations:** sMER, single sequential microelectrode recording(s); mMER, multiple simultaneous microelectrode recordings.

The PDQ-39 Summary Index improved significantly from baseline to 12 months was significantly larger in the mMER group. The quality index, first used by Benabid et al.25 This is an individualized quality marker of stimulation efficacy on motor symptoms, reducing the impact of interpersonal variability in preoperative disease severity. In our study the mean quality index points in the same direction as the crude MDS-UPDRS III difference, being close to 1 in the mMER group (regarded as an optimal treatment effect), versus just above 0.8 in the sMER group. An excellent response (quality index ≥ 0.95; Figure 3) was achieved in 50% of the mMER patients, versus 35% in the sMER group. The quality index is also useful when comparing results across different patient populations with variable severity of disease.1

**Discussion**

This randomized trial is the first to evaluate the clinical impact of using multiple simultaneous MER versus single sequential MER to guide correct placement of permanent STN electrodes in PD patients. We found that the improvement in MDS-UPDRS III medication-off from baseline to 12 months was significantly larger in the mMER group. The PDQ-39 domains ADL and Bodily discomfort also improved significantly more in the mMER group. The PDQ-39 Summary Index improved significantly from baseline only in the mMER group.

The significantly larger difference in the MDS-UPDRS III medication-off scores found in the mMER group may partly be explained by the higher mean preoperative score in that group, despite randomization. These findings concur with a meta-analysis, which found that higher UPDRS III at baseline predicted lower absolute UPDRS III scores postoperatively.1

The potential improvement of PD motor symptoms by STN-stimulation can be predicted by the patient’s improvement in the preoperative levodopa-challenge.13,27 How much of this potential improvement each patient has achieved, can be expressed by the quality index, first used by Benabid et al.25 This is an individualized quality marker of stimulation efficacy on motor symptoms, reducing the impact of interpersonal variability in preoperative disease severity. In our study the mean quality index points in the same direction as the crude MDS-UPDRS III difference, being close to 1 in the mMER group (regarded as an optimal treatment effect), versus just above 0.8 in the sMER group. An excellent response (quality index ≥ 0.95; Figure 3) was achieved in 50% of the mMER patients, versus 35% in the sMER group. The quality index is also useful when comparing results across different patient populations with variable severity of disease.1

As much as 50% of the sMER patients were evaluated with > 1 MER in at least one hemisphere, possibly leading to smaller differences in treatment efficacy between the two randomization groups. The permanent electrode was implanted in a different trajectory than the central (MRI based target) in 32% of hemispheres, with no significant group differences (sMER 35% versus mMER 28%). This figure is similar to other studies, reporting this in about one-third of electrodes.4 One could speculate that the benefit of stimulation would be less if the central MRI based trajectory were chosen in all patients. Causes of deviations from planned central trajectory can be errors in the stereotactic procedure or brain-shift. It has been reported that the majority of brain shift occurs before surgery,6,9 improved surgical experience and expertise.8 Also, no between-group differences were found regarding neurropsychiatric adverse events or changes to global cognitive index.

One argument raised against using multiple simultaneous MER has been the risk for hemorrhage. The reported incidence of hemorrhage is varying between 1–20%.33,34 Seijo et al. report decreasing frequency of hemorrhage,7 probably explained by raised awareness on avoiding the ventricles and sulci during lead insertion,34,35 and improved surgical experience and expertise.7 Age and hypertension are also reported as risk factors for hemorrhage.33 No hemorrhages in 120 electrode placements indicate that our hemorrhage frequency is low. In our opinion, the clinical benefits of using multiple MER outweigh the relatively small risk of hemorrhage.

Technical advances in the field have emerged during recent years, where directional current steering and closed-loop devices are promising.36,37 However, these techniques also have limitations and their efficacy still depends on correct localization of the target. Neuroradiating techniques are advancing, but it is not certain that this will lead to more uniform targeting as experts may define the optimal STN target differently.38 One study showed that the final stimulation site, based on MER and intraoperative stimulation, was located more lateral and superior than both atlas and MRI based targets.39 Also, newer studies show discrepancies comparing borders
on T2 and SWI MRI sequences compared to the electrophysiological
result of STN. Further research is needed to evaluate the impact of
of these newer techniques on target accuracy and clinical outcome.

Even though MRI techniques have evolved over the last years,
they will never be able to provide electrophysiological informa-
tion about the target area. We consider that such information is
beneficial for optimal target localization and that our findings
indicate a benefit from using multiple MER introduced simulta-
neously during STN-DBS surgery.

Acknowledgments

We thank neurosurgeons Jon Ramm-Pettersen, Terje Sæhle and
Eivind Ildstad for their contributions by operating some of the
patients in the study. We also thank neurosurgeon Bård Lilleng
and psychiatrist Ulrik F. Malt for their contribution to study design.
For contributions to neuropsychiatric evaluations, we thank psy-
chiatrist Birgittte Boye and neuropsychologists Anne-Kristin Sol-
bakk and Elin Western. Finally, we thank all the patients and their
caregivers for their kind participation and collaboration.

Author Roles

1. Research Project: A. Conception, B. Organization, C. Execu-
and Critique; 3. Manuscript Preparation: A. Writing the First
Draft, B. Review and Critique.

Disclosures

Ethical Compliance Statement: We confirm that we have read
the Journal’s position on issues involved in ethical publication and
affirm that this work is consistent with those guidelines. All partic-
ipants gave written informed consent prior to inclusion.

Funding Sources and Conflict of Interest: This study has been
supported by grants from the South-Eastern Norway Regional
Health Authority. It has not received any financial support from pri-
vate corporations. Mathias Toft, speaking honoraria from AbbVie, Roche, and
Nordic Infocare. Espen Dietrichs, from AbbVie and Global
Kinetics Corp. Inger Marie Skogseid from Medtronic and Desitin
Pharma, for lectures at scientific meetings.

References

depth stimulation: summary and meta-analysis of outcomes. Mov
Disord 2006;21 Suppl 1:S290–304.
355(9):896–908.
368(7):610–622.
Electrophysiological mapping for the implantation of deep brain stimula-
tors for Parkinson’s disease and tremor. Mov Disord 2006;21 Suppl 14:
S259–283.
subthalamic depth brain stimulation for Parkinson’s disease. Mov
6. Wodarg F, Herzog J, Reese R, et al. Stimulation site within the MRI-
defined STN predicts postoperative motor outcome. Mov Disord 2012;
27(7):874–879.
depth brain stimulation failures: a retrospective analysis from 2 movement
754–760; discussion 760–751.
9. Rezaei AR, Kopell BH, Gross RE, et al. Deep brain stimulation for Par-
kinson’s disease: surgical issues. Mov Disord 2006;21 Suppl 14:
S259–283.
10. Umemura A, Oka Y, Yamada K, Oyama G, Shimo Y, Hattori N. Val-
idity of single tract microelectrode recording in subthalamic nucleus
subthalamic nucleus length as a predictor of outcomes in deep brain stimulation
stimulation for Parkinson disease. Stereotact Funct Neurosurg 2017;95(5):
341–347.
subthalamic deep brain stimulation using single track microelectrode
Deep brain stimulation for Parkinson’s disease: surgical technique and
stimulation in Parkinson’s disease is improved by intraoperative multiple
trajectories microelectrode recording. J Neurol Surg A Cent Eur Neurosurg
trode guided electrical stimulation of the subthalamic nucleus in
discussion 355–347.


