

1. Introduction. Let k be an algebraically closed field of any characteristic. If E is a vector bundle (= locally free sheaf) of rank 2 on $\mathbb{P}^2 = \mathbb{P}_k^2$, E is said to be stable if $\mu \leq -\frac{1}{2}c_1(E) \Rightarrow H^0(\mathbb{P}^2, E(\mu)) = 0$. Here $c_1(E)$ denotes the first Chern class of E (identified with its degree). Maruyama has showed that there exists, for each couple (c_1, c_2) of integers, a coarse moduli space $M(c_1, c_2)$ for stable rank-2 vector bundles on \mathbb{P}^2 with Chern classes c_1 and c_2 [6]. It follows from his construction that each component of $M(c_1, c_2)$ is smooth of dimension $4c_2 - c_1^2 - 3$. He has also shown that $M(c_1, c_2)$ is connected and unirational (and rational in some cases). [7] It is also known that $M(c_1, c_2)$ is a fine moduli space if and only if $4c_2 - c_1^2 \not\equiv 0 \pmod{8}$ [7,5]. Barth [2] (for c_1 even) and Hulek [4] (for c_1 odd) proved irreducibility and rationality, using monads (at least in characteristic 0).

This paper is mainly devoted to the study of $M(-1, n)$. We use the number of "jumping lines" through a fixed point in \mathbb{P}^2 to stratify $M(-1, n)$, and obtain fairly explicit descriptions of the strata. In fact, in a later paper we shall use the stratification to compute the Picard group of $M(-1, n)$. Our construction also gives another proof of the irreducibility and rationality of $M(-1, n)$, valid in all characteristics, using only its equi-dimensionality. In the last section we indicate how similar methods can be used in the study of $M(0, n)$.

2. The standard construction (See also [1]).

Fix, once and for all, a closed point $P \in \mathbb{P}^2(k)$. Let $p: F \rightarrow \mathbb{P}^2$ be the blowing up of \mathbb{P}^2 in P . Then F is a ruled surface with structure morphism $q: F \rightarrow \mathbb{P}^1$, in fact, $F = \mathbb{P}_{\mathbb{P}^1}(O_{\mathbb{P}^1} \oplus O_{\mathbb{P}^1}(-1))$. Denote by s the divisor class of $q^*O_{\mathbb{P}^1}(1)$, and b the divisor class of the exceptional divisor $B = p^{-1}(P) \subseteq F$. Then s and b generate the Chow ring of F over \mathbb{Z} with the relations $s^2 = 0$, $b^2 = -1$, $sb = 1$. For later use we state without proof the following easy

Lemma 2.1: Let $\delta \geq -1$ be an integer.

Then $q_*O_F(\delta b) = \bigoplus_{i=0}^{\delta} O_{\mathbb{P}^1}(-i)$ and $R^1q_*O_F(\delta b) = 0$.

Let E be a rank-2 vector bundle on $\mathbb{P}_{\mathbb{T}}^2 = \mathbb{P}^2 \times T$, where T is a variety. Then $(p \times 1_T)^*E$ is a vector bundle on $F_T = F \times T$, and for each $t \in T(k)$, the fiber $((p \times 1_T)^*E)_t = p^*(E_t)$ is a bundle on F , the restriction of which to B is the trivial bundle $2O_B$. We have the following criterion for a bundle D on F_T to be of the form $(p \times 1_T)^*E$:

Proposition 2.2: Let D be a 2-bundle on F_T , T any variety. Assume that for all $t \in T(k)$, the restriction of D_t to B is trivial. Then $(p \times 1_T)_*D$ is locally free on $\mathbb{P}_{\mathbb{T}}^2$, and the natural map $(p \times 1_T)^*(p \times 1_T)_*D \rightarrow D$ is an isomorphism.

Proof: If $T = \text{Spec } k$, this is proved by Schwarzenberger [9, thm.5]. In the general case, it is therefore sufficient to show that p_T^*D commutes with base change on T , i.e. that for all $t \in T(k)$, the natural map $(p_T^*D)_t \rightarrow p^*(D_t)$ is an isomorphism. Here $p_T = p \times 1_T$. In order to show this, let $\underline{m}_t \subseteq O_T$ be the ideal of t . Apply

$p_{\mathbb{T}^*}$ to the short exact sequence

$$0 \rightarrow D \otimes \underline{m}_t \rightarrow D \rightarrow D_t \rightarrow 0.$$

At the right end, we get

$$R^1 p_{\mathbb{T}^*}(D \otimes \underline{m}_t) \xrightarrow{j^1} R^1 p_{\mathbb{T}^*} D \rightarrow R^1 p_*(D_t) \rightarrow 0$$

The multiplication map $(R^1 p_{\mathbb{T}^*} D) \otimes \underline{m}_t \rightarrow R^1 p_{\mathbb{T}^*} D$ factors naturally through j^1 . Thus the natural map $(R^1 p_{\mathbb{T}^*} D)_t \rightarrow R^1 p_*(D_t)$ is an isomorphism. By our assumption, the latter sheaf is 0. Since $R^1 p_{\mathbb{T}^*} D$ is supported on $\{P\} \times T$, it is coherent on T , so we may apply Nakayama's lemma to get $R^1 p_{\mathbb{T}^*} D = 0$. Since $R^1 p_{\mathbb{T}^*}$ is right exact, also $R^1 p_{\mathbb{T}^*}(D \otimes \underline{m}_t) = 0$, because \underline{m}_t is generated by finitely many local sections. There remains an exact sequence

$$0 \rightarrow p_{\mathbb{T}^*}(D \otimes \underline{m}_t) \xrightarrow{j^0} p_{\mathbb{T}^*} D \rightarrow p_*(D_t) \rightarrow 0.$$

Again, the image of j^0 is $(p_{\mathbb{T}^*} D) \cdot \underline{m}_t$. Thus the natural map $(p_{\mathbb{T}^*} D)_t \rightarrow p_*(D_t)$ is an isomorphism. Q.E.D.

3. The invariant (γ, α) .

Let D be a 2-bundle on F such that $c_1(D) = -s - b$ and $c_2(D) = n$. Assume that $H^0(F, D) = 0$.

Let $\gamma = \gamma(D)$ be the rank of the coherent sheaf $R^1 q_* D$ on \mathbb{P}^1 .

This means that $D_L = O_L(\gamma) \oplus O_L(-\gamma - 1)$, where L is a general fiber of q . Now $q_* D(-\gamma b)$ is torsionfree of rank 1 on \mathbb{P}^1 ,

hence invertible. Let $\alpha = \alpha(D)$ be the integer such that

$q_* D(-\gamma b) = O_{\mathbb{P}^1}(-\alpha)$. Then $q_* D(\alpha s - \gamma b) = O_{\mathbb{P}^1}$. Let $\sigma \in H^0(F, D(\alpha s - \gamma b))$

be the global section induced by the canonical map $q^* q_* D(\alpha s - \gamma b) =$

$O_F \rightarrow D(\alpha s - \gamma b)$. As in [1, lemma 9] one shows that the subscheme $Z = V(\sigma) \subseteq F$ defined by the vanishing of σ has codimension 2 in F , hence is of finite length. There is an exact sequence

$$0 \rightarrow O_F \xrightarrow{\sigma} D(\alpha s - \gamma b) \rightarrow I((2\alpha - 1)s - (2\gamma + 1)b) \rightarrow 0,$$

where $I \subset O_F$ is the ideal of Z .

Proposition 3.1: Let D and Z be as above.

- (i) $\alpha > 0$.
- (ii) $\text{length}(Z) = n - \alpha - 2\gamma\alpha - \gamma^2$.
- (iii) $q_*D = \bigoplus_{i=0}^{\gamma} O_{\mathbb{P}^1}(-i - \alpha)$.

Proof: (i) $H^0(F, D) = 0 \Rightarrow H^0(F, D(-\gamma b)) = 0$
 $\Rightarrow H^0(\mathbb{P}^1, O_{\mathbb{P}^1}(-\alpha)) = 0 \Rightarrow \alpha > 0$.

(ii) The length of Z is the second Chern class of $D(\alpha s - \gamma b)$, which is $c_2(D) + c_1(D)(\alpha s - \gamma b) + (\alpha s - \gamma b)^2 = n - \alpha - 2\gamma\alpha - \gamma^2$.

(iii) Apply q_* to the exact sequence

$$0 \rightarrow O_F(\gamma b - \alpha s) \rightarrow D \rightarrow I((\alpha - 1)s - (\gamma + 1)b) \rightarrow 0.$$

For L a general fiber of q , $I_L((\alpha - 1)s - (\gamma + 1)b) = O_L(-\gamma - 1)$, so $q_*I((\alpha - 1)s - (\gamma + 1)b) = 0$, and $q_*O_F(\gamma b - \alpha s) \rightarrow q_*D$ is an isomorphism. Now apply lemma 1. Q.E.D.

Definition. Let D be a 2-bundle on F such that $c_1(D) = -s - b$, $c_2(D) = n$, and $H^0(F, D) = 0$. We say that D is of type (γ, α) if $\gamma(D) = \gamma$, $\alpha(D) = \alpha$.

Remark. For $\mu \in \mathbb{Z}$, the Leray spectral sequence for q yields an equality of numerical polynomials:

$$\chi_{\mathbb{F}}(D(\mu s)) = \chi_{\mathbb{P}^1}(q_*D(\mu)) - \chi_{\mathbb{P}^1}(R^1q_*D(\mu)).$$

By proposition 3.1 (iii), the type of D determines and is determined by $\chi_{\mathbb{P}^1}(q_*D(\mu))$. Since $\chi_{\mathbb{F}}(D(\mu s))$ depends only on the Chern classes of D (by Riemann-Roch), we get that the type of D also determines and is determined by $\chi_{\mathbb{P}^1}(R^1q_*D(\mu))$, the Chern classes of D being fixed.

4. The stratification

Let $M = M(-1, n)$ be the fine moduli space for stable rank-2 bundles on \mathbb{P}^2 with Chern classes -1 and n , and let \mathcal{E} be the universal bundle on \mathbb{P}_M^2 . Let $\tilde{p} = p \times 1_M$, $\tilde{q} = q \times 1_M$, and put $\mathcal{D} = \tilde{p}^*\mathcal{E}$.

Then $R^1\tilde{q}_*\mathcal{D}$ is a coherent sheaf on \mathbb{P}_M^1 . In this situation there is a flattening stratification $\{M_p\}_{p \in \mathcal{P}}$ of M for $R^1\tilde{q}_*\mathcal{D}$ [8, lecture 8], indexed by a finite set \mathcal{P} of numerical polynomials.

In particular, if $m \in M(k)$, then $m \in M_p(k)$ if and only if $(R^1\tilde{q}_*\mathcal{D})_m = R^1q_*(\mathcal{D}_m)$ has Hilbert polynomial P . By the remark of section 3 we may take the set of possible types (γ, α) as the indexing set. So there is a stratification $M = \bigcup_{(\gamma, \alpha)} M_{(\gamma, \alpha)}$ of M

into locally closed subschemes $M_{(\gamma, \alpha)}$ parametrizing bundles of type (γ, α) . Equip $M_{(\gamma, \alpha)}$ with the reduced scheme structure.

Necessary conditions for $M_{(\gamma, \alpha)}$ to be nonempty are by proposition 3.1 the inequalities $\gamma \geq 0$, $\alpha > 0$, and $n - \alpha - 2\gamma\alpha - \gamma^2 \geq 0$.

We shall prove later that these conditions are also sufficient.

5. The structure of $M_{(\gamma, \alpha)}$.

Having fixed (γ, α) throughout this section (satisfying the inequalities above), put $N = M_{(\gamma, \alpha)}$, $\bar{p} = p \times 1_N$, $\bar{q} = q \times 1_N$, $\pi_N : F_N \rightarrow N$ the projection.

Lemma 5.0: $\bar{q}_* \mathcal{D}_N(\alpha s - \gamma b)$ commutes with base change on N .

Proof: For any closed point $n \in N$, the fiber \mathcal{D}_n is of type (γ, α) . Thus the Hilbert polynomial of $R^1 q_* \mathcal{D}_n(\alpha s - \gamma b)$ is independent of n . Since N is reduced, it follows that $R^1 \bar{q}_* \mathcal{D}_N(\alpha s - \gamma b)$ is N -flat and that $\bar{q}_* \mathcal{D}_N(\alpha s - \gamma b)$ commutes with base change. Q.E.D.

It follows that $\bar{q}^* \bar{q}_* \mathcal{D}_N(\alpha s - \gamma b)$ is a linebundle on F_N of the form $\pi_N^* \mathcal{L}_1$, for some linebundle \mathcal{L}_1 on N . Let $\tilde{Z} \subseteq F_N$ be the zero-set of the canonical map $\bar{q}^* \bar{q}_* \mathcal{D}_N(\alpha s - \gamma b) \rightarrow \mathcal{D}_N(\alpha s - \gamma b)$.

By (5.0) and (3.1), \tilde{Z} is finite and flat over N of rank $n - \alpha - 2\gamma\alpha - \gamma^2$. Thus there is induced a morphism $\phi : N \rightarrow H$ where H denotes the Hilbert scheme parametrizing closed subschemes of F of length $n - \alpha - 2\gamma\alpha - \gamma^2$.

Let $Z \subseteq F_H$ be the universal subscheme, and $I \subseteq \mathcal{O}_{F_H}$ the ideal of Z .

Put $A = \pi^* \mathcal{O}_F((2\gamma + 1)b - (2\alpha - 1)s)$, where $\pi : F_H \rightarrow H$ is the projection. Note that, with $T = H$, all the assumptions (i)-(vi) of the appendix are satisfied. (To verify (ii) and (iii), use (2.1) and Leray spectral sequence for q .) Let \mathcal{L}_2 be the linebundle on N such that $c_1(\mathcal{D}_N) = \pi_N^* \mathcal{L}_2(-s - b)$. Now there is an exact sequence

$$0 \rightarrow \mathcal{O}_{F_N} \rightarrow \mathcal{D}_N(\alpha s - \gamma b) \otimes \pi_N^* \mathcal{L}_1^{-1} \rightarrow I_N \otimes A_N^{-1} \otimes \pi_N^* (\mathcal{L}_2 \otimes \mathcal{L}_1^{-2}) \rightarrow 0,$$

where I_N is the ideal of \tilde{Z} . Suitably twisted, this becomes

$0 \rightarrow A_N \otimes \pi_N^* \mathcal{L} \rightarrow X \rightarrow I_N \rightarrow 0$, where $\mathcal{L} = \mathcal{L}_1^2 \otimes \mathcal{L}_2^{-1}$ and $X = \mathcal{O}_N((\gamma + 1)b - (\alpha - 1)s) \otimes \pi_N^*(\mathcal{L}_1 \otimes \mathcal{L}_2^{-1})$. This extension splits nowhere on N , as is seen by restricting to $B \subseteq F$. Now apply proposition A.2 of the appendix to get a commutative diagram:

$$\begin{array}{ccc}
 N & \xrightarrow{\psi} & \mathbb{P}_H(\text{Ext}_{\pi}^1(I, A)^{\vee}) \\
 & \searrow \phi & \downarrow f \\
 & & H
 \end{array}$$

Proposition 5.1. ψ is an open dense embedding.

Proof: Let Y be the sheaf on F_Q corresponding to the identity map of $Q = \mathbb{P}_H(\text{Ext}_{\pi}^1(I, A)^{\vee})$ (see prop. A.2). Let $T \subseteq Q$ be the open subvariety such that, for $t \in Q(k)$, t is in $T(k)$ if and only if Y_t is locally free on F and $Y_t|_B = 2\mathcal{O}_B(-\gamma - \alpha)$. (Both conditions are easily seen to be open in any family of sheaves on F .) Now the sheaf $Y((\alpha - 1)s + (\gamma + 1)b) = D$ on F_T satisfies the conditions of prop. 2.2, and hence defines a morphism $T \rightarrow M(-1, n)$ which is obviously an inverse to ψ .

It remains only to show that T is nonempty. To do this, fix a point $h \in H(k)$ such that the corresponding subscheme $Z_h = V(I_h) \subseteq F$ is reduced and does not meet the exceptional curve B . Recall the exact sequence

$$(**) \quad 0 \rightarrow H^1(\underline{\text{Hom}}(A_h, I_h)) \rightarrow \text{Ext}_{\mathbb{F}}^1(A_h, I_h) \rightarrow H^0(\underline{\text{Ext}}^1(A_h, I_h)) \rightarrow 0.$$

An element $\xi \in \text{Ext}_{\mathbb{F}}^1(A_h, I_h)$ corresponds to a locally free sheaf if and only if the induced global section of $\underline{\text{Ext}}^1(A_h, I_h)$ generates this sheaf, i.e. is nontrivial at each point of Z_h . In particular, the general extension is locally free.

Next we prove that the general extension restricts to $2O_B(-\gamma-\alpha)$ on B . It is sufficient to show that the "restriction" map $\text{Ext}_F^1(I_n, A_n) \rightarrow \text{Ext}_B^1(I_{nB}, A_{nB})$ is surjective, since $I_{nB} = O_B$, $A_{nB} = O_B(-2(\gamma+\alpha))$. But $\text{Ext}_B^1(I_{nB}, A_{nB}) = H^1(O_B(-2(\gamma+\alpha)))$, and since $H^2(F, A_n(-b)) = 0$ by Lemma 2.1, already the map $H^1(A_n) \rightarrow H^1(A_{nB})$ is surjective. Q.E.D.

Theorem 5.2. Suppose $\gamma \geq 0$, $\alpha > 0$, and $n - \alpha - 2\gamma\alpha - \gamma^2 \geq 0$.

Then $M(\gamma, \alpha)$ is an irreducible, rational, smooth and quasiprojective variety of dimension $(4n - 4) - (n - \alpha + \gamma^2 + 2\gamma\alpha + \gamma)$.

The subvariety $M_{(0,n)} \subseteq M(-1,n)$ parametrizing bundles without jumping lines through P is dense in $M(-1,n)$. In particular, M is irreducible and rational.

Proof: H is irreducible, rational, smooth and quasiprojective of dimension $2(n - \alpha - 2\gamma\alpha - \gamma^2)$. [3]. An easy computation, using the sequence (**) above and Lemma 2.1, shows that $\text{rank Ext}_\pi^1(I, A) = n + \gamma^2 + 2\gamma\alpha + 3\alpha - \gamma - 3$. Thus the theorem follows from 5.1 and the equidimensionality of $M(-1,n)$. Q.E.D.

6. Even first Chern class.

We sketch in this section how similar methods can be used to stratify the moduli space $M(0,n)$ for stable rank-2 vector bundles on \mathbb{P}^2 with Chern classes $c_1 = 0$ and $c_2 = n$. For such a bundle E , we define its type as follows: Let $\gamma \geq 0$ be the integer such that $E_L = O_L(\gamma) \oplus O_L(-\gamma)$ for the general line through P ($P \in \mathbb{P}^2(k)$ is always fixed). If $\gamma > 0$, we proceed as before, and let α be the integer such that $q_* p^* E(-\gamma b + \alpha s) = 0$ \mathbb{P}^1 .

Then (γ, α) is called the type of E . The locally closed subset $M_{(\gamma, \alpha)} \subseteq M(0, n)$ is described in the same way as before, with only trivial modifications. (The fact that $M(0, n)$ is not a fine moduli space if n is even [5] does not really matter.) If, on the other hand, $\gamma = 0$, $q_* p^* E$ is torsionfree of rank 2 on \mathbb{P}^1 , hence of the form $O_{\mathbb{P}^1}(-\alpha) \oplus O_{\mathbb{P}^1}(-\beta)$, $0 < \alpha \leq \beta$. Then E has type $(0, \alpha, \beta)$.

To describe the corresponding subset $M_{(0, \alpha, \beta)} \subseteq M(0, n)$, we distinguish two cases:

Case 1: $\alpha < \beta$. Then there is a unique minimal section of $p^* E(\alpha s)$ defining a subscheme $Z \subseteq F$ of length n . (Corresponding to the n jumping lines through P .) We thus get all such bundles as extensions of I by $O_F(-2\alpha s)$, where I is the ideal of some length- n subscheme $Z \subseteq F$.

Let $\delta = n - \alpha - \beta = \text{length } R^1 q_* p^* E = \text{number of } \geq 2\text{-jumping lines for } E \text{ through } P$. Then the length- n subscheme Z considered is contained in $n - \delta = \alpha + \beta$ fibers of q . The subset of $\text{Hilb}_{\mathbb{F}}^n$ of such Z has dimension $2n - \delta$. We eventually obtain $\dim M_{(0, \alpha, \beta)} = (4n - 3) - (n - 2\alpha - 1) - (n - \alpha - \beta)$.

Case 2: $\alpha = \beta$. In this case, there is a whole \mathbb{P}^1 -family of minimal sections of $p^* E(\alpha s)$, allowing us to describe a certain \mathbb{P}^1 -bundle over $M_{(0, \alpha, \alpha)}$. Again, this has dimension $(4n - 3) - 2(n - 2\alpha) + 1$.

Note that, in both cases, the maximal dimension is obtained only if $\alpha + \beta = n$, $\beta - \alpha \leq 1$.

To sum up the most interesting results, we state

Theorem 6.1. If $n = 2\alpha + 1$ is odd, the variety $M_{(0,\alpha,\alpha+1)} \subseteq M(0,n)$ is dense, and it is irreducible and rational.

If $n = 2\alpha$ is even, the variety $M_{(0,\alpha,\alpha)} \subseteq M(0,n)$ is dense, and there exists a \mathbb{P}^1 -bundle over $M_{(0,\alpha,\alpha)}$ which is irreducible and rational (and carries a family).

Appendix Let T be any k -scheme, $\pi: F_T \rightarrow T$ the projection, and let I and A denote \mathcal{O}_{F_T} -modules. Let $\text{Hom}_\pi(I,A) = \pi_* \underline{\text{Hom}}_{F_T}(I,A)$.

The functor $A \mapsto \text{Hom}_\pi(I,A)$ is then left exact; let $\text{Ext}_\pi^i(I,-)$ denote its i -th right derived functor. The relations $\text{Hom}_\pi = \pi_* \circ \underline{\text{Hom}}_{F_T}$ and $\text{Hom}_{F_T} = \Gamma(T, \text{Hom}_\pi)$ give spectral sequences of composite functors:

$$E_2^{p,q} = R^p \pi_* (\underline{\text{Ext}}_{F_T}^q(I,A)) \Rightarrow \text{Ext}_\pi^*(I,A), \text{ and}$$

$${}'E_2^{p,q} = H^p(T, \text{Ext}_\pi^q(I,A)) \Rightarrow \text{Ext}_{F_T}^*(I,A).$$

Throughout this section we shall make the following assumptions:

- (i) I and A are coherent on F_T and flat over T .
- (ii) For all $t \in T(k)$ we have

$$\begin{aligned} H^2(F, \underline{\text{Hom}}_F(I_t, A_t)) &= H^1(F, \underline{\text{Ext}}_F^1(I_t, A_t)) \\ &= \underline{\text{Ext}}_F^2(I_t, A_t) = 0. \end{aligned}$$

In this situation, $\text{Ext}_\pi^1(I,A)$ "commutes with base change":

Proposition A.1: Let $f: S \rightarrow T$ be any morphism, denote by I_S, A_S the pullback to F_S of I and A , and let $\pi_S: F_S \rightarrow S$ be the projection. Then the natural map $f^* \text{Ext}_\pi^1(I,A) \rightarrow \text{Ext}_{\pi_S}^1(I_S, A_S)$ is an isomorphism.

Proof: Consider the exact sequence of low degree terms of the spectral sequence $E_2^{p,q}$:

$$\begin{aligned} 0 \rightarrow R^1 \pi_* \underline{\text{Hom}}(I, A) \rightarrow \text{Ext}_{\pi}^1(I, A) \rightarrow \pi_* \underline{\text{Ext}}^1(I, A) \rightarrow \\ \rightarrow R^2 \pi_* \underline{\text{Hom}}(I, A), \quad (\text{and the same for } S). \end{aligned}$$

By the assumptions (i) and (ii) above and the usual base change theorem, $R^2 \pi_* (\underline{\text{Hom}}(I, A)) = R^2 \pi_{S*} \underline{\text{Hom}}(I_S, A_S) = 0$. Thus there is a commutative diagram with exact rows:

$$\begin{array}{ccccccc} f^* R^1 \pi_* \underline{\text{Hom}}(I, A) & \rightarrow & f^* \text{Ext}_{\pi}^1(I, A) & \rightarrow & f^* \pi_* \underline{\text{Ext}}^1(I, A) & \rightarrow & 0 \\ \alpha \downarrow & & \beta \downarrow & & \gamma \downarrow & & \\ 0 \rightarrow R^1 \pi_{S*} \underline{\text{Hom}}(I_S, A_S) & \rightarrow & \text{Ext}_{\pi_S}^1(I_S, A_S) & \rightarrow & \pi_{S*} \underline{\text{Ext}}^1(I_S, A_S) & \rightarrow & 0 \end{array}$$

By the assumptions (i) and (ii) above, α and γ are isomorphisms. Then β is an isomorphism by the five lemma. Q.E.D.

Note that for any linebundle \mathcal{L} on T , there are canonical isomorphisms $\text{Ext}_{\pi}^i(I, A) \otimes \mathcal{L} \rightarrow \text{Ext}_{\pi}^i(I, A \otimes \pi^* \mathcal{L})$.

For the rest of this appendix we will make the additional assumptions:

- (iii) $\dim_k \text{Ext}_{\mathbb{F}}^1(I_t, A_t)$ is independent of $t \in T(k)$.
- (iv) T is reduced.
- (v) $\text{Hom}_{\mathbb{F}}(I_t, A_t) = 0$ for all $t \in T(k)$.

Then (iii), (iv) and the proposition implies that $\text{Ext}_{\pi}^1(I, A)$ is a locally free \mathcal{O}_T -module. By (v), $\text{Hom}_{\pi_S} (I_S, A_S) = 0$ for all $S \rightarrow T$. Therefore the spectral sequence $E^{p,q}$ (for S) yields an isomorphism $\text{Ext}_{\mathbb{F}_S}^1(I_S, A_S \otimes \pi_S^* \mathcal{L}) \rightarrow H^0(S, \text{Ext}_{\pi_S}^1(I_S, A_S) \otimes \mathcal{L})$ for any linebundle \mathcal{L} on S .

Consider the projective bundle $Q = \mathbb{P}_T(\text{Ext}_\pi^1(I, A)^\vee) \xrightarrow{f} T$. Let S be a T -scheme, then a T -morphism $\lambda: S \rightarrow Q$ is given by a line-bundle \mathcal{L} on S and a surjection $f^*\text{Ext}_\pi^1(I, A)^\vee \rightarrow \mathcal{L}$. This is equivalent to a locally split map $\mathcal{O}_S \rightarrow f^*\text{Ext}_\pi^1(I, A) \otimes \mathcal{L} = \text{Ext}_{\pi_S}^1(I_S, A_S) \otimes \mathcal{L}$, i.e. a nowhere vanishing section $\xi(\lambda) \in H^0(S, \text{Ext}_{\pi_S}^1(I_S, A_S) \otimes \mathcal{L}) = \text{Ext}_{\mathbb{F}_S}^1(I_S, A_S \otimes \pi_S^* \mathcal{L})$. This section gives rise to an extension

$$(*) \quad 0 \rightarrow A_S \otimes \pi_S^* \mathcal{L} \rightarrow X \rightarrow I_S \rightarrow 0 \text{ on } \mathbb{F}_S.$$

The condition " $\xi(\lambda)$ nowhere vanishing" is equivalent to " $(*) \otimes_{\mathcal{O}_S} k(s)$ does not split for any $s \in S(k)$ ".

The section $\xi(\lambda)$ is determined by λ only up to $\text{Aut}(\mathcal{L}) = H^0(S, \mathcal{O}_S)^*$, but the sheaf X itself is uniquely determined, up to isomorphism. Conversely, any extension $(*)$, splitting over no $s \in S(k)$, gives rise to a unique T -morphism $\lambda: S \rightarrow Q$.

Proposition A.2: Assume that

(vi) $\text{Hom}_{\mathbb{F}}(A_t, I_t) = 0$ for all $t \in T(k)$, and that $\underline{\text{Hom}}(I, I) = 0_{\mathbb{F}_T}$. Then the assignment $\lambda \mapsto X$ described above is a 1-1 correspondence from $\text{Mor}_T(S, Q)$ to {isomorphism classes of sheaves X on \mathbb{F}_S such that there exists a linebundle \mathcal{L} on S and an exact, nowhere on S splitting sequence

$$0 \rightarrow A_S \otimes \pi_S^* \mathcal{L} \rightarrow I_S \rightarrow 0 \}.$$

Proof: The assumption (vi) implies that $\text{Hom}_{\mathbb{F}_S}(A_S \otimes \pi_S^* \mathcal{L}) = 0$ for any \mathcal{L} . Therefore, given X , the map $X \rightarrow I_S$, and thus the whole extension, is uniquely determined by X up to automorphisms of I_S . The rest is clear from the discussion above. Q.E.D.

References:

- [1] W. Barth: Some properties of stable rank-2 vector bundles on \mathbb{P}_n , Math. Ann. 226 (1977), 125-150.
- [2] W. Barth: Moduli of vector bundles on the projective plane, Inventiones Math. 42 (1977) 63-91.
- [3] J. Fogarty: Algebraic families on an algebraic surface, Amer. J. Math. 90 (1968) 511-521.
- [4] K. Hulek: Stable rank-2 vector bundles on \mathbb{P}_2 with c_1 odd, Preprint 1978, Erlangen.
- [5] J. Le Potier: Fibrés stables de rang 2 sur $\mathbb{P}_2(\mathbb{C})$, Preprint 1978, Paris VII.
- [6] M. Maruyama: Stable vector bundles on an algebraic surface, Nagoya Math. J. 58 (1975) 25-68.
- [7] M. Maruyama: Moduli of stable sheaves II, J. Math. Kyoto Univ. 18-3 (1978) 557-614.
- [8] D. Mumford: Lectures on Curves on an Algebraic Surface, Ann. of Math. Studies, n° 59.
- [9] R.L.E. Scharzenberger: Vector Bundles on Algebraic Surfaces, Proc. Lond. Math. Soc. 11 (1961) 601-622.