

Compact ergodic groups of automorphisms

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Abstract. It is shown that if  $G$  is a compact ergodic group of  $*$ -automorphisms on a unital  $C^*$ -algebra  $A$  then the unique  $G$ -invariant state is a trace. Hence if  $A$  is a von Neumann algebra then it is finite.

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1. Introduction. Let  $A$  be a unital  $C^*$ -algebra,  $G$  a compact group and  $\alpha$  a strongly continuous representation of  $G$  as an ergodic group of  $*$ -automorphisms of  $A$ , i.e.  $\alpha_g(x) = x$  for all  $g \in G$  implies  $x$  is a scalar operator. It was shown in [9] that if  $G$  is abelian and  $A$  a von Neumann algebra then  $A$  is automatically finite and the (necessarily unique)  $G$ -invariant state is a trace. Since then it has been an open problem whether the same is true without the assumption that  $G$  be abelian, see the introduction to [6]. In the present paper we solve this problem to the affirmative by showing that if  $G$  acts ergodically on the unital  $C^*$ -algebra  $A$ , then the  $G$ -invariant state is a trace. In the course of the proof of the theorem it will be shown that if  $D$  is an irreducible representation of  $G$  and  $A(D)$  the corresponding spectral subspace in  $A$ , see below, then the multiplicity of  $D$  in  $A(D)$  is not greater than the dimension of  $D$ . A consequence of this is that if  $G$  is second countable acting on a  $C^*$ -algebra then the action is cyclic if and only if it is ergodic.

The problem solved in this paper immediately raises the problem of classification of compact ergodic actions on  $C^*$ - or von Neumann algebras. If  $G$  is abelian this has been done completely in [1] and [6], and we can from those examples find nonabelian finite extensions of abelian ergodic actions on the hyperfinite  $\Pi_1$ -factor. Another construction is to let for each positive integer  $i$ ,  $G_i$  be

an ergodic compact group of automorphisms on the complex  $n_i \times n_i$  matrices, and then let the product group  $G = \prod_{i=1}^{\infty} G_i$  act on the infinite tensor product of the matrix algebras in the obvious way. Then the GNS-representation due to the trace gives rise to an ergodic action of  $G$  on the hyperfinite factor. This is as far as we can go at present and we leave two basic problems open:  
(1) If a compact group acts ergodically on a  $\Pi_1$ -factor  $M$ , is  $M$  hyperfinite? (2) Find an example of a simple compact group acting ergodically on a  $\Pi_1$ -factor.

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2. Compact ergodic groups. Let  $A$  be a unital  $C^*$ -algebra,  $G$  a compact group, and suppose  $\alpha$  is a strongly continuous representation of  $G$  as  $*$ -automorphisms of  $A$ , so  $g \rightarrow \alpha_g(x)$  is norm continuous for all  $x \in A$ . We assume the action is ergodic on  $A$ , i.e.  $\alpha_g(x) = x$  for all  $g \in G$  only if  $x$  is a scalar operator. Then for each  $x \in A$ ,  $\int \alpha_g(x) dg$  is a scalar operator  $\omega(x)1$ , where  $dg$  is the normalized Haar measure on  $G$ .  $\omega$  so defined is the unique  $G$ -invariant state on  $A$ .

If  $f \in L^1(G)$  we denote by  $\alpha(f)$  the operator on  $A$  defined by

$$\alpha(f)(x) = \int f(g) \alpha_g(x) dg .$$

Let  $D$  be an irreducible unitary representation of  $G$  and  $\chi_D$  its normalized character  $\chi_D(g) = \dim D \operatorname{Tr}(D_g^{-1})$ , where  $\operatorname{Tr}$  is the usual trace on the Hilbert space of dimension  $\dim D$ . Then  $\alpha(\chi_D)$  is a

projection of  $A$  onto a norm closed subspace  $A(D)$  of  $A$  called the spectral subspace of  $D$  in  $A$ , see [3]. By [11, §4.4.2]  $A(D)$  is the set of  $x \in A$  such that the linear span of  $\alpha_g(x)$ ,  $g \in G$ , is finite dimensional and splits into a direct sum of irreducible components all unitarily equivalent to  $D$ .

Proposition 2.1. Let  $A$  be a unital  $C^*$ -algebra,  $G$  a compact group and  $\alpha$  a strongly continuous representation of  $G$  as an ergodic group of  $*$ -automorphisms of  $A$ . Let  $D$  be an irreducible unitary representation of  $G$ ,  $A(D)$  the spectral subspace of  $D$  in  $A$  and  $m(D)$  the multiplicity of  $D$  in  $A(D)$ . Then we have

(i)  $m(D) \leq d$ .

(ii)  $\dim A(D) \leq d^2$ .

Proof. If  $E$  is an irreducible unitary representation of  $G$  either  $\alpha$  has no subrepresentation equivalent to  $E$  or there is an irreducible subspace  $V_E$  of  $A$  such that  $\alpha|_{V_E}$  is equivalent to  $E$ . Then  $V_E \subset A(E)$ , as follows from the characterization of  $A(E)$  given above. Let  $D$  be as in the proposition. We may assume  $V_D \neq 0$ .

Consider  $A$  as imbedded in the Hilbert space obtained in the GNS-representation due to the invariant state  $\omega$ . Thus  $(a,b) = \omega(b^*a)$  is the inner product on  $A$ . Let  $d = \dim D$ . Then we can choose  $a_1, \dots, a_d$  in  $V_D$  so they form an orthonormal basis for  $V_D$ . Then the map  $P_D$  defined by

$$P_D(a) = \sum_{i=1}^d (a, a_i) a_i$$

is a projection of  $A$  onto  $V_D$ , and since  $\omega$  is  $G$ -invariant  $\alpha_g(P_D(a)) = P_D(\alpha_g(a))$  for all  $a \in A$ . Thus the subspace

$(1-P_D)(A(D))$  of  $A$ ,  $1$  denoting the identity map, is a closed  $G$ -invariant subspace of  $A$  orthogonal to  $V_D$ . If  $(1-P_D)(A(D)) \neq 0$  it contains an irreducible subspace  $V_E$  [7], and  $E$  is unitarily equivalent to  $D$ . Considering  $P_D + P_E$  we have found a norm continuous projection onto  $V_D + V_E$ , and we can do this for any finite set of irreducible representations  $D_i$  equivalent to  $D$ , such that the spaces  $V_{D_i}$  are pairwise mutually orthogonal.

We fix now a finite set  $J$  of unitarily equivalent irreducible representations  $D_1, \dots, D_N$  such that their irreducible subspaces  $V_{D_k}$  of  $A(D)$  are nonzero and pairwise mutually orthogonal. We shall show  $N \leq d$ , which will prove the proposition.

Choose  $a_{ik} \in V_{D_k}$ ,  $i=1, \dots, d$ , so that they form an orthonormal basis for  $V_{D_k}$ , and such that they have the same action under  $G$ , i.e. there is an irreducible unitary representation  $g \rightarrow (u_{rs}(g))$  of  $G$  into the complex  $d \times d$  matrices  $M_d$  satisfying

$$(2.1) \quad \alpha_g(a_{ik}) = \sum_{j=1}^d u_{ij}(g) a_{jk}, \quad k \in J.$$

For each pair  $j, k \in J$  we have

$$\begin{aligned} \alpha_g \left( \sum_{i=1}^d a_{ij}^* a_{ik} \right) &= \sum_{i=1}^d \alpha_g(a_{ij})^* \alpha_g(a_{ik}) \\ &= \sum_{i,r,s} \overline{u_{ir}(g)} a_{rj}^* u_{is}(g) a_{sk} \\ &= \sum_r a_{rj}^* a_{rk}. \end{aligned}$$

Since  $G$  is ergodic  $\sum_i a_{ij}^* a_{ik}$  is a scalar operator, the scalar being found by the computation

$$\omega \left( \sum_i a_{ij}^* a_{ik} \right) = \sum_i (a_{ik}, a_{ij}) = \sum_i \delta_{jk} = \delta_{jk} d.$$

Thus we have shown

$$(2.2) \quad \sum_{i=1}^d a_{ij}^* a_{ik} = \delta_{jk} d \mathbf{1}, \quad j, k \in J.$$

Similarly we can find complex numbers  $c_{jk}$  such that

$$(2.3) \quad \sum_{i=1}^d a_{ij} a_{ik}^* = c_{jk} d1, \quad j, k \in J.$$

The  $N \times N$  matrix  $(c_{jk})$  is clearly self-adjoint, so we can find a unitary  $N \times N$  matrix  $(\alpha_{rs})$  such that

$$\sum_{l,m=1}^N \alpha_{kl} c_{lm} \overline{\alpha_{jm}} = \delta_{jk} \lambda_j, \quad j, k \in J$$

with  $\lambda_j \in \mathbb{R}$ . Let  $a'_{ij} = \sum_{k=1}^N \alpha_{jk} a_{ik}$ . Then  $a'_{ij} \in \sum_{k=1}^N V_{D_k}$ , and they form an orthonormal basis for  $\sum_{k=1}^N V_{D_k}$ . Note that

$$\alpha_g(a'_{ij}) = \sum_{r=1}^d u_{ir}(g) a'_{rj},$$

as is easily computed, hence we may replace  $a_{ij}$  by  $a'_{ij}$ ,  $i=1, \dots, d$ ,  $j \in J$ , and still have that (2.1) is satisfied. We shall therefore do this and thus assume (2.1), (2.2), and the diagonal form of (2.3)

$$(2.4) \quad \sum_{i=1}^d a_{ij} a_{ik}^* = \delta_{jk} \lambda_j d1, \quad j, k \in J,$$

where  $\lambda_j \in \mathbb{R}$ . From (2.4) it is clear that  $\lambda_j > 0$ .

Denote by  $e$  the  $d \times d$  matrix operator

$$e = \left\{ \sum_{k=1}^N a_{ik} a_{jk}^* \right\} \in A \otimes M_d, \quad i, j \in \{1, \dots, d\}.$$

Clearly  $e$  is self-adjoint, and by (2.2) it satisfies

$$e^2 = \left\{ \sum_{k,l=1}^N \sum_{s=1}^d a_{ik} a_{sk}^* a_{sl} a_{jl}^* \right\} = \left\{ \sum_{k=1}^N a_{ik} a_{jk}^* d \right\} = de.$$

Hence  $e = dp$  with  $p$  a projection, in particular  $0 \leq e \leq d1$ .

Let  $\tau$  denote the normalized trace on  $M_d$ . Then  $\omega \otimes \tau$  is a state on  $A \otimes M_d$ , so by (2.4) we have

$$(2.5) \quad d \geq \omega \otimes \tau(e) = d^{-1} \sum_{k=1}^N \omega \left( \sum_{i=1}^d a_{ik} a_{ik}^* \right) = \sum_{k=1}^N \lambda_k.$$

We next assert that

$$(2.6) \quad \omega(a_{ik} a_{jl}^*) = \delta_{ij} \delta_{kl} \lambda_k, \quad i, j \in \{1, \dots, d\}, k, l \in J.$$

Indeed, fix  $k, l \in J$ , and let  $\beta_{ij} = \omega(a_{ik} a_{jl}^*)$ . Then  $(\beta_{ij})$  is a  $d \times d$  matrix which by (2.1) satisfies

$$\begin{aligned} \sum_{s=1}^d u_{is}(g) \beta_{sj} &= \omega(\sum_{s=1}^d u_{is}(g) a_{sk} a_{jl}^*) \\ &= \omega(\alpha_g(a_{ik}) a_{jl}^*) \\ &= \omega(a_{ik} \alpha_{g^{-1}}(a_{jl})^*) \\ &= \sum_{s=1}^d \beta_{is} u_{sj}(g). \end{aligned}$$

Therefore the matrix  $(\beta_{ij})$  commutes with  $(u_{is}(g))$  for all  $g \in G$ . Since the representation  $g \rightarrow (u_{is}(g))$  is irreducible  $(\beta_{ij})$  is a scalar operator, so (2.6) follows from (2.4).

Now consider the conjugate representation  $\bar{D}$  to  $D$ . Since  $a \in A(E)$  if and only if  $\alpha(\chi_E)(a) = a$  for  $E$  an irreducible representation, it is immediate from the definition of  $\alpha(\chi_E)$  that  $a \in A(D)$  if and only if  $a^* \in A(\bar{D})$ . Thus by (2.6) if  $b_{ij} = \lambda_j^{-1/2} a_{ij}^*$  then  $\{b_{ij} : i = 1, \dots, d, j \in J\}$  form an orthonormal set in  $A(\bar{D})$  for which (2.1) is replaced by

$$\alpha_g(b_{ik}) = \sum_{j=1}^d \overline{u_{ij}(g)} b_{jk}.$$

Since  $g \rightarrow (\overline{u_{ij}(g)})$  is irreducible the space spanned by  $\{b_{ik} : i = 1, \dots, d\}$  is irreducible in  $A(\bar{D})$  for each  $k \in J$ . Thus our previous discussion for  $D$  and the  $a_{ij}$  is valid for  $\bar{D}$  and the  $b_{ij}$ . We have in particular by the equations (2.2) - (2.5)

$$(2.7) \quad \sum_{i=1}^d b_{ij} b_{ik}^* = \delta_{jk} \mu_j d^{-1}, \quad j, k \in J,$$

where  $\mu_j > 0$  and  $\sum_{j=1}^N \mu_j \leq d$ . Computing we find by (2.2)

$$\omega\left(\sum_{i=1}^d b_{ij} b_{ij}^*\right) = \lambda_j^{-1} \omega\left(\sum_i a_{ij}^* a_{ij}\right) = \lambda_j^{-1} d,$$

so that  $\mu_j = \lambda_j^{-1}$  and therefore

$$(2.8) \quad \sum_{j=1}^N \lambda_j^{-1} \leq d.$$

Since  $x+x^{-1} \geq 2$  whenever  $x > 0$  we have by (2.5) and (2.8) that  $2N \leq \sum_{j=1}^N (\lambda_j + \lambda_j^{-1}) \leq 2d$ , so that  $N \leq d$ , as we wanted to show. Q.E.D.

Let  $A$ ,  $G$ , and  $\alpha$  be as in Proposition 2.1. Representing  $A$  in the GNS-representation defined by the  $G$ -invariant state  $\omega$  we may assume  $\omega(a) = (a\xi_0, \xi_0)$  for some cyclic vector  $\xi_0$  for  $A$  in the Hilbert space. Furthermore there is a continuous unitary representation  $g \rightarrow u_g$  of  $G$  on  $H$  such that  $\alpha_g(a) = u_g a u_g^{-1}$  and  $u_g \xi_0 = \xi_0$  for all  $g \in G$ ,  $a \in A$ . Since  $\omega$  is the unique  $G$ -invariant state on  $A$ ,  $\omega$  is the unique normal  $G$ -invariant state on the weak closure  $A^-$  of  $A$ , hence by [5],  $G$  is ergodic on  $A^-$  as well as  $A$ . Since the support projection for  $\omega$  is a  $G$ -invariant projection in  $A^-$ , it is 1, hence  $\omega$  is faithful on  $A^-$ , and  $\xi_0$  is a separating vector for  $A^-$ . Let  $\Delta$  denote the modular operator for  $\xi_0$  with respect to  $A^-$ , and  $J$  the corresponding conjugation, so  $a^* \xi_0 = J \Delta^{\frac{1}{2}} a \xi_0$  for all  $a \in A^-$ , see [10]. By [8]  $u_g \Delta = \Delta u_g$  and  $J u_g = u_g J$ ,  $g \in G$ , hence in particular the finite dimensional subspace  $A(D)\xi_0$  is invariant under the action of  $\Delta^{\frac{1}{2}}$ , so under  $\Delta$ , recall  $A(D)\xi_0 = \{\int \chi_D(g) u_g a d g \xi_0 : a \in A\}$ . By equation (2.6) we have with  $N = m(D)$ , so  $\sum_{k=1}^N V_{D_k} = A(D)$ ,

$$(\Delta a_{ij} \xi_0, a_{kl} \xi_0) = (a_{kl}^* \xi_0, a_{ij}^* \xi_0) = \delta_{ik} \delta_{jl} \lambda_j = \lambda_j (a_{ij} \xi_0, a_{kl} \xi_0).$$



Hence  $a_{ij}\xi_0$  is an eigenvector for  $\Delta$  with eigenvalue  $\lambda_j$ . Hence we have from (2.5) and (2.8)

Corollary 2.2. Let  $A, G, \alpha, D$  be as in Proposition 2.1. Let  $\xi_0$  be the cyclic vector in the GNS-representation defined by the  $G$ -invariant state  $\omega$ . Then  $\xi_0$  is separating for  $A^-$ , and if  $\Delta$  is its modular operator then  $\Delta$  leaves the finite dimensional vector space  $A(D)\xi_0$  invariant. If  $\lambda$  is an eigenvalue for  $\Delta|_{A(D)\xi_0}$  then both  $\lambda \leq \dim D$  and  $\lambda^{-1} \leq \dim D$ .

We shall also need the probably well known observation

Lemma 2.3. Let  $M$  be a von Neumann algebra and  $G$  an ergodic group of  $*$ -automorphisms of  $M$ . Suppose  $V$  is a nonzero globally  $G$ -invariant linear subspace of  $M$ . If  $x \in M$ , denote by  $r(x)$  and  $s(x)$  respectively the range and support projections of  $x$ . Then we have

$$\bigvee_{x \in V} r(x) = \bigvee_{x \in V} s(x) = 1.$$

Proof. If  $\alpha$  is a  $*$ -automorphism of  $M$  then  $\alpha$  is ultraweakly continuous, so by the construction of  $r(x)$  by spectral theory on the positive operator  $xx^*$ , we see that  $\alpha(r(x)) = r(\alpha(x))$  for  $x \in M$ . Thus  $\bigvee_{x \in V} r(x)$  and  $\bigvee_{x \in V} s(x)$  are nonzero  $G$ -invariant projections in  $M$ , hence are equal to 1 by ergodicity.

Q.E.D.

3. Tensor representations. In this section we shall apply Herman Weyl's classical theory for representations of groups, to obtain estimates for the dimensions of irreducible subspaces of powers of G-invariant subspaces of an ergodic group G .

If V is a finite dimensional complex vector space we denote by  $V^{(m)}$  the tensor product  $V \otimes \dots \otimes V$  (m times). If  $\pi$  is a representation of a group G on V,  $\pi$  has a corresponding representation  $\pi^m$  of G on  $V^{(m)}$  defined by  $\pi^m(g) = \pi(g) \otimes \dots \otimes \pi(g)$  .

Lemma 3.1. Let V be a finite dimensional complex vector space with  $\dim V = n$ . Consider  $Gl(n, \mathbb{C})$  as acting on V and consider the corresponding representation of  $Gl(n, \mathbb{C})$  on  $V^{(m)} = V \otimes \dots \otimes V$ . Then any irreducible subspace U of  $V^{(m)}$  satisfies

$$\dim U \leq (1+m) \frac{n(n-1)}{2} .$$

Proof. Let  $\pi$  denote the representation of  $Gl(n, \mathbb{C})$  on V. By [2, p. 192] we can decompose the representation  $\pi^m$  of  $Gl(n, \mathbb{C})$  on  $V^{(m)}$  into irreducible components as follows:

$$V^{(m)} = \sum_{\lambda_1 + \dots + \lambda_n = m} l_\lambda D_\lambda$$

where  $\lambda = (\lambda_1, \dots, \lambda_n)$ ,  $\lambda_i$  is a nonnegative integer for each  $i \in \{1, \dots, n\}$ ,

$$l_\lambda = \frac{m!}{\prod_{i,j} h_{ij}} , \quad h_{ij} = 1 + \lambda_i + \bar{\lambda}_j - (i+j) ,$$

and  $\bar{\lambda}_j$  is the number of boxes in the  $j^{\text{th}}$  column in the Young tableau corresponding to  $\lambda$  [2, p. 192, eq. (23)].  $l_\lambda D_\lambda$  means that the irreducible representation  $D_\lambda$  is repeated  $l_\lambda$  times, and  $D_\lambda$  is the irreducible representation of  $Gl(n, \mathbb{C})$  with highest weight  $\lambda = (\lambda_1, \dots, \lambda_n)$  .

Set now  $l_j = \lambda_j + n - j$  and  $l_j^0 = n - j$ . Then the Weyl formula, see [2, p. 283, eq. (32)] gives that

$$\dim D_\lambda = \frac{\prod_{i < j} (l_i - l_j)}{\prod_{i < j} (l_i^0 - l_j^0)}.$$

Hence

$$\dim D_\lambda = \prod_{1 \leq i < j \leq n} \left(1 + \frac{\lambda_i - \lambda_j}{i - j}\right) \leq (1+m)^{\frac{n(n-1)}{2}}$$

Q.E.D.

Proposition 3.2. Let  $G$  be a group of  $*$ -automorphisms on a  $C^*$ -algebra  $A$ , and suppose  $V$  is a finite dimensional linear subspace of  $A$  which is globally invariant under  $G$ . Let  $\dim V = n$ , and let for  $m \in \mathbb{N}$ ,  $V^m$  denote the linear subspace of  $A$  generated by products of  $m$  elements in  $V$ . Then  $V^m$  is again globally invariant under  $G$ , and for each subspace  $U \subset V^m$  globally invariant and irreducible under the action of  $G$  we have

$$\dim U \leq (1+m)^{\frac{n(n-1)}{2}}$$

Proof. Let  $\pi$  be the representation of  $G$  on  $V$  and  $\pi^m$  the corresponding representation on  $V^{(m)}$ . Let  $j_m$  be the  $m$ -linear map of  $V^{(m)}$  onto  $V^m$  given by

$$j_m(x_1 \otimes \cdots \otimes x_m) = x_1 \cdots x_m.$$

Then  $j_m$  intertwines the representation  $\pi^m$  and the action of  $G$  on  $V^m$ , i.e.

$$j_m \circ \pi^m(g) = \pi(g) \circ j_m, \quad g \in G.$$

Therefore  $j_m$  takes invariant subspaces of  $V^{(m)}$  onto invariant

subspaces of  $V^m$ . Since the dimension of the image of a subspace is not greater than the dimension of the subspace, it suffices to show that for any invariant subspace  $U$  of  $V^{(m)}$  irreducible under the action of  $\pi^m(G)$  we have  $\dim U \leq (1+m) \frac{n(n-1)}{2}$ .

Denote by  $\iota$  the representation of  $Gl(n, \mathbb{C})$  on  $V$ , and  $\iota^m$  the corresponding representation on  $V^{(m)}$ . Then  $\pi^m(G) \subset \iota^m(Gl(n, \mathbb{C}))$ .

By Lemma 3.1 any irreducible invariant subspace for  $\iota^m(Gl(n, \mathbb{C}))$  has dimension at most  $(1+m) \frac{n(n-1)}{2}$ . Hence any subgroup and especially  $\pi^m(G)$  also has the property that any irreducible invariant subspace has dimension at most  $(1+m) \frac{n(n-1)}{2}$ . Thus  $\dim U \leq (1+m) \frac{n(n-1)}{2}$ .

Q.E.D.

#### 4. The main results.

Theorem 4.1. Let  $A$  be a unital  $C^*$ -algebra,  $G$  a compact group, and  $\alpha$  a strongly continuous representation of  $G$  as an ergodic group of  $*$ -automorphisms of  $A$ . Then the unique  $G$ -invariant state on  $A$  is a trace.

Proof. Since  $G$  is compact  $A$  is generated by the spectral subspaces  $A(D)$ , as  $D$  runs through the irreducible unitary representations of  $G$  [7]. Thus it suffices to show that each  $A(D)$  is

contained in the centralizer of the invariant state, or equivalently by Corollary 2.2 and [10], to show that all the eigenvalues of  $\Delta$  restricted to  $A(D)\xi_0$  are equal to 1,  $\xi_0$  being the  $G$ -invariant separating and cyclic vector in the GNS-representation due to the invariant state. Suppose  $\lambda$  is one of them. By Corollary 2.2 we may assume  $\lambda \geq 1$ . Let  $V$  be a  $G$ -invariant subspace of  $A(D)$  such that  $\Delta a \xi_0 = \lambda a \xi_0$  for all  $a \in V$  and such that  $V$  is irreducible under the action of  $G$ . This is possible since  $\Delta u_g = u_g \Delta$  for all  $g \in G$ . For each  $m \in \mathbb{N}$ , if  $V^m$  is the space generated by products of  $m$  elements in  $V$ , for each  $a \in V^m$ ,  $a \xi_0$  is an eigenvector for  $\Delta$  with eigenvalue  $\lambda^m$ , as is easily seen since  $y \rightarrow \Delta^{it} y \Delta^{-it}$  is an automorphism of the weak closure of  $A$ . Since  $G$  is ergodic an easy induction argument based on Lemma 2.3 shows that  $V^m \neq 0$ , and by Proposition 3.2 each subspace  $U$  of  $V^m$  which is globally invariant and irreducible under the action of  $G$  has dimension  $\dim U \leq (1+m) \frac{n(n-1)}{2}$ , where  $n = \dim V$ . By Corollary 2.2  $\lambda^m \leq \dim U$ , hence  $\lambda^m \leq (1+m) \frac{n(n-1)}{2}$ . Thus

$$0 \leq \log \lambda \leq \frac{n(n-1)}{2m} \log(1+m),$$

which is arbitrarily small for large  $m$ , so that  $\log \lambda = 0$ , and  $\lambda = 1$ . Since  $\lambda$  was an arbitrary eigenvalue for  $\Delta$  restricted to an arbitrary subspace  $A(D)\xi_0$  with  $D$  an irreducible representation of  $G$ ,  $\Delta = 1$ , and  $\xi_0$  is a trace vector for  $A$ .

Q.E.D.

If  $M$  is a von Neumann algebra,  $G$  a topological group and  $\alpha$  a representation of  $G$  as  $*$ -automorphisms of  $M$ , we say  $\alpha$  is continuous if  $g \rightarrow \rho(\alpha_g(x))$  is continuous on  $G$  for each  $\rho \in M_*$ ,  $x \in M$ .

Corollary 4.2. Let  $M$  be a von Neumann algebra and  $G$  a compact group. If there is a continuous representation of  $G$  as an ergodic group of  $*$ -automorphisms on  $M$  then  $M$  is finite.

Proof. It is well known that the set  $A$  of  $x \in M$  such that the function  $g \rightarrow \alpha_g(x)$  is norm continuous on  $G$  is a  $C^*$ -algebra globally invariant under  $G$  and weakly dense in  $M$ . Let  $\omega$  be a normal  $G$ -invariant state on  $M$ . Then  $\omega|_A$  is  $G$ -invariant, hence is a trace by Theorem 4.1. By density of  $A$  in  $M$ ,  $\omega$  is a trace on  $M$ . Since by ergodicity  $\omega$  is faithful,  $M$  is finite. Q.E.D.

The next result is a generalization of Corollary 4.2 and shows that compact automorphism groups in general have very large fixed point algebras.

Corollary 4.3. Let  $M$  be a von Neumann algebra of type III,  $G$  a compact group, and  $\alpha$  a continuous representation of  $G$  as  $*$ -automorphisms of  $M$ . Then the fixed point algebra  $M^G$  of  $G$  in  $M$  contains no minimal projections.

Proof.  $M^G = \{x \in M : \alpha_g(x) = x, g \in G\}$ . Suppose to the contrary that  $e$  is a nonzero minimal projection in  $M^G$ . Then  $G$  acts ergodically on the reduced algebra  $M_e$  by  $\alpha_g(exe) = e\alpha_g(x)e$ . By Corollary 4.2  $M_e$  is finite contradicting the fact that it is of type III since  $M$  is. Q.E.D.

Let  $A$  be a  $C^*$ -algebra,  $G$  a group, and  $\alpha$  a representation of  $G$  as  $*$ -automorphisms of  $A$ . Suppose  $\omega$  is a  $G$ -invariant state. We say  $\alpha$  is cyclic with respect to  $\omega$  if there is  $x \in A$

such that  $\omega(y\alpha_g(x)) = 0$  for all  $g \in G$  implies  $y = 0$ . We shall see below that if  $G$  is compact and  $\alpha$  is a continuous representation of  $G$  as an ergodic group, then cyclicity of  $G$  means that the orbit of  $x\xi_0$  under  $G$  in the GNS-representation due to the unique  $G$ -invariant trace, is dense in the Hilbert space.

Lemma 4.4. Let  $A$  be a unital  $C^*$ -algebra,  $G$  a compact group and  $\alpha$  a strongly continuous representation of  $G$  as  $*$ -automorphisms of  $A$ . Suppose  $\omega$  is a  $G$ -invariant state such that  $\alpha$  is cyclic with respect to  $\omega$ . Then  $\alpha$  is an ergodic representation, and  $\omega$  is the unique  $G$ -invariant state.

Proof. Let  $A^G$  denote the fixed point algebra of  $G$  in  $A$ . Since  $G$  is compact the adjoint of the map

$$y \rightarrow \int_G \alpha_g(y) dg$$

of  $A$  onto  $A^G$  defines an affine isomorphism between the  $G$ -invariant states of  $A$  and the state space of  $A^G$ . Suppose there is  $x \in A$  such that  $\omega(y\alpha_g(x)) = 0$  for all  $g \in G$  implies  $y = 0$ . Then if  $y \in A^G$  we have  $\omega(y\alpha_g(x)) = \omega(\alpha_g^{-1}(y)x) = \omega(yx)$ , so the functional  $y \rightarrow \omega(yx)$  is injective on  $A^G$ . But this is only possible if  $A^G$  is the scalars. Q.E.D.

The next theorem is a direct analogue for representations of compact groups as  $*$ -automorphisms on  $C^*$ -algebras, of a result of Greenleaf and Moskowitz on unitary representations on Hilbert space [4].

Theorem 4.5. Let  $A$  be a unital  $C^*$ -algebra and  $G$  a second countable compact group. Suppose  $\alpha$  is a strongly continuous representation of  $G$  as  $*$ -automorphisms of  $A$ . Then  $\alpha$  is an ergodic representation if and only if  $\alpha$  is cyclic with respect to some  $G$ -invariant state.

Proof. By Lemma 4.4 we only have to show that if  $\alpha$  is ergodic and  $\omega$  is the unique  $G$ -invariant state, then  $\alpha$  is cyclic with respect to  $\omega$ . By Proposition 2.1 if  $D$  is an irreducible representation of  $G$  then its multiplicity in the spectral subspace  $A(D)$  of  $A$  is not greater than  $\dim D$ . Thus there is  $x_D \in A(D)$  of norm one such that the linear span of  $\alpha_g(x_D)$ ,  $g \in G$ , equals  $A(D)$ . Indeed, in the notation of the proof of Proposition 2.1 we may choose  $x_D = c \sum_{i=1}^{m(D)} a_{ii}$  for a suitable scalar  $c > 0$ . Since  $G$  is second countable and compact its dual  $\hat{G}$  is countable, hence there is a countable number of spectral subspaces  $A(D)$ . Number them by  $A(D_k)$ ,  $k \in \mathbb{N}$ . For each  $k$  choose  $x_{D_k} \in A(D_k)$  of norm one as above, and let  $x = \sum_{k=1}^{\infty} 2^{-k} x_{D_k}$  (if  $\hat{G}$  is finite let the sum be finite). Then  $\|x\| \leq 1$  and  $x \in A$ . We show that the linear span of the orbit of  $x\xi_0$ ,  $\xi_0$  being the  $G$ -invariant separating and cyclic vector in the GNS-representation due to  $\omega$ , is dense in the underlying Hilbert space  $H$ , hence in particular that  $\alpha$  is cyclic with respect to  $\omega$ .

Let  $\xi \in H$  satisfy  $(\xi, \alpha_g(x)\xi_0) = 0$  for all  $g \in G$ . Let  $u$  denote the unitary representation of  $G$  on  $H$  such that  $u_g a u_g^{-1} = \alpha_g(a)$  and  $u_g \xi_0 = \xi_0$  for all  $g \in G$ ,  $a \in A$ . Let  $D$  be an irreducible representation of  $G$  and  $\chi_D$  the corresponding normalized character. Then  $u(\chi_D) = \int \chi_D(g) u_g dg$  is the orthogonal projection of  $H$  onto the subspace  $A(D)\xi_0$ . Let  $D = D_k$  be one



of the irreducible representations described above. Then  $\alpha(\chi_D)(x) = 2^{-k} \chi_D$ . Let  $h \in G$ , then  $\alpha(\chi_D)(\alpha_h(x))\xi_0 \in A(D)\xi_0$ , hence we have

$$\begin{aligned} (u(\chi_D)\xi, u_h x_D \xi_0) &= (\xi, u(\chi_D)u_h x_D \xi_0) \\ &= 2^k (\xi, \alpha(\chi_D)\alpha_h(x)\xi_0) \\ &= 2^k \int \chi_D(g) (\xi, \alpha_{gh}(x)\xi_0) dg \\ &= 0 \end{aligned}$$

by assumption on  $\xi$ . Since  $\text{span}\{u_h x_D \xi_0 : h \in G\} = A(D)\xi_0$ ,  $u(\chi_D)\xi = 0$  for each  $D = D_k$ . Since the subspaces  $A(D_k)\xi_0$  are mutually orthogonal and  $\text{span } H$ ,  $\xi = \sum_{k=1}^{\infty} u(\chi_{D_k})\xi = 0$ . Q.E.D.

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