

## INTRODUCTION

Let  $G$  be a Lie group,  $\hat{G}$  its unitary dual space consisting of the set of all equivalence classes of continuous irreducible unitary representations of  $G$  endowed with the hull-kernel (Fell) topology. The orbit space  $\mathfrak{g}^*/G$ , under the coadjoint action of  $G$  on the real dual space  $\mathfrak{g}^*$  of the Lie algebra  $\mathfrak{g}$  of  $G$ , is given the quotient topology from  $\mathfrak{g}^*$ . If  $G$  is an exponential Lie group, i.e. the roots of the adjoint representation of  $\mathfrak{g}$  are of the form  $(1+it)f$ ,  $t \in \mathbb{R}$ ,  $f \in \mathfrak{g}^*$ ; the Kirillov correspondence is a bijection of  $\mathfrak{g}^*/G$  onto  $\hat{G}$ , and Pukanszky has shown that the map  $\mathfrak{g}^*/G \rightarrow \hat{G}$  is continuous, [11; Proposition 1]. It is still an open question if the inverse map  $\Omega: \hat{G} \rightarrow \mathfrak{g}^*/G$  is continuous. For nilpotent  $G$  this was settled in [4], and for  $\ast$ -regular exponential groups in [3]. See also [6].

In the present article we show that the restriction of  $\Omega$  to the subspace  $\hat{G}_\infty$  of  $\hat{G}$ , consisting of all equivalence classes of infinite dimensional representations, is in fact continuous.

NOTATIONS. Throughout the paper we shall use basic results from the theory of induced representations of Mackey, [9], which we assume known to the reader. If  $K$  is a closed subgroup of the Lie group  $G$ , and  $S$  a unitary representation of  $K$ ,  $\text{ind}_K^G(S)$  denotes the unitary representation of  $G$  induced from  $S$ .

CONTINUITY ON  $\hat{G}_\infty$ .

THEOREM. Let  $G$  be an exponential Lie group,  $\mathfrak{g}^*$  the dual of its Lie algebra. Then the restriction of the Kirillov map to the subspace  $(\mathfrak{g}^*/G)_\infty$  of the coadjoint orbit space, consisting of the set of all orbits that correspond to elements of  $\hat{G}_\infty$ , is bi-continuous, where these subspaces are assumed to be equipped with the relativized quotient topology and hull-kernel topology, respectively.

PROOF. Continuity of the map  $\mathfrak{g}^*/G \rightarrow \hat{G}$  was shown in [11; Proposition 2]. Let  $\mathcal{Q}: \hat{G} \rightarrow \mathfrak{g}^*/G$  denote the inverse map. We proceed to show  $\mathcal{Q}|_{\hat{G}_\infty}$  is continuous, assuming inductively that the result is true for all exponential Lie groups of dimension smaller than  $\dim(G)$ .

Let  $\{T_m\}_{m=1}^\infty$  denote a sequence of elements in  $\hat{G}_\infty$  that converges to  $T_0$ ,  $T_0 \in \hat{G}_\infty$ . We are going to prove that a subsequence of  $\{\mathcal{Q}(T_m)\}_{m=1}^\infty$  converges to the orbit  $\mathcal{Q}(T_0)$  in the topology of  $\mathfrak{g}^*/G$  relativized to  $(\mathfrak{g}^*/G)_\infty$ . We fix a closed normal connected subgroup  $N$  of codimension one in  $G$ . Applying the Mackey machine to  $N$  and  $G$ , [9; Theorem 8.1], we shall partition the proof according to the following four cases, taking a subsequence of  $\{T_m\}_{m=1}^\infty$  (also denoted by  $\{T_m\}_{m=1}^\infty$ ) if necessary.

(I) The restriction  $S_m = T_m|N$  is irreducible for each  $m=0,1,2,\dots$ . In this case  $T_m$  is an extension of  $S_m$  for every  $m$ .

By continuity of the restriction map we have  $S_m \rightarrow S_0$  in  $\hat{N}_\infty$ ; and by our inductive hypothesis the sequence of orbits  $\{\mathcal{Q}(S_m)\}_{m=1}^\infty$  converges to  $\mathcal{Q}(S_0)$  in  $(\mathfrak{N}^*/N)_\infty$ . Hence there exist functionals

$f_m$  in  $\mathcal{Q}(S_m)$ ,  $m=0,1,2,\dots$ , such that  $f_m \rightarrow f_0$ . Let  $V = \text{Re}$  be a complementary subspace to  $\mathcal{N}$  in  $\mathcal{G}$ ,  $\mathcal{G} = \mathcal{N} + V$ , and let  $e^*$  in  $\mathcal{G}^*$  be the element dual to  $e$ . We shall identify  $\mathcal{N}^*$  with a subspace of  $\mathcal{G}^*$  by means of the relation  $\mathcal{G}^* = \text{Re}^* + \mathcal{N}^*$ . Thus, regarding  $f_m$  as an element of  $\mathcal{G}^*$ , let  $\tilde{S}_m$  be the class in  $\hat{G}$  corresponding to the orbit of  $f_m$ ,  $m=0,1,2,\dots$ .  $\tilde{S}_m$  is known to be an extension of  $S_m$  hence, by Mackey, there exists a character  $\chi_m$  of  $G$ , with  $\chi_m|_N = 1$ , such that  $T_m = \tilde{S}_m \cdot \chi_m$ . Now  $\{f_m\}_{m=1}^\infty$  converges to  $f_0$  in  $\mathcal{G}^*$ , and therefore  $\tilde{S}_m \rightarrow \tilde{S}_0$  by continuity of the Kirillov map, [11; Proposition 1]. We fix an infinite dimensional Hilbert space  $H_\infty$  with a countable basis. The space of all irreducible unitary representations of  $G$  on  $H_\infty$ , denoted by  $\text{Irr}_\infty(G)$ , is topologized as in [5; 18.1.9], then the canonical map  $\text{Irr}_\infty(G) \rightarrow \hat{G}$  which assigns to each representation its unitary equivalence class, is continuous and open, [5; 3.5.8]. Therefore we can find representations  $t_m, \tilde{s}_m$  in  $\text{Irr}_\infty(G)$  of classes  $T_m, \tilde{S}_m$  respectively, so that  $t_m \rightarrow t_0$  and  $\tilde{s}_m \rightarrow \tilde{s}_0$ . This means

$$\|t_m(g)v - t_0(g)v\|_m \rightarrow 0$$

and

$$\|\tilde{s}_m(g)v - \tilde{s}_0(g)v\|_m \rightarrow 0,$$

uniformly on compacta in  $G$ , for all  $v$  in  $H_\infty$ , where we let  $\|\cdot\|$  denote the norm in  $H_\infty$ , [5; Proposition 18.1.9]. Let  $v \in H_\infty$ ,  $g \in G$ .

Then

$$\begin{aligned} & |\chi_0(g) - \chi_m(g)| \cdot \|v\| = \|\chi_0(g)\tilde{s}_0(g)v - \chi_m(g)\tilde{s}_0(g)v\| \\ & < \|\chi_0(g)\tilde{s}_0(g)v - \chi_m(g)\tilde{s}_m(g)v\| + \|\chi_m(g)\tilde{s}_m(g)v - \chi_m(g)\tilde{s}_0(g)v\| \\ & = \|\chi_0(g)\tilde{s}_0(g)v - \chi_m(g)\tilde{s}_m(g)v\| + \|\tilde{s}_m(g)v - \tilde{s}_0(g)v\| \xrightarrow{m} 0, \end{aligned}$$

uniformly on compacta in  $G$ . It follows that the sequence of characters  $\{\chi_m\}_{m=1}^\infty$  converges to  $\chi_0$ . Let  $h_m$  be the functional in  $\mathfrak{G}^*$  corresponding to  $\chi_m$ ;  $m=0,1,2,\dots$ . By the above  $f_m+h_m$  lies in the orbit  $\Omega(T_m)$  and  $f_m+h_m \rightarrow f_0+h_0$ . Therefore we have shown that  $\Omega(T_m) \rightarrow \Omega(T_0)$ .

(II) Each  $T_m$ ,  $m=0,1,2,\dots$ , is induced from a representation  $S'_m$  of  $N$ ,  $T_m = \text{ind}_N^G(S'_m)$ .

By the Mackey machine the restrictions  $T_m|_N$  are supported on the orbits  $G \cdot S'_m$  in  $\hat{N}$  under the action of  $G$  by conjugation. Now  $T_m|_N \rightarrow T_0|_N$ , hence we can find a sequence  $\{x_m\}_{m=0}^\infty$  of elements in  $G$  such that  $x_m \cdot S'_m \rightarrow x_0 \cdot S'_0$ . We put  $S_m = x_m \cdot S'_m$ ; then  $T_m = \text{ind}_N^G(S_m)$ ,  $m=0,1,2,\dots$  (the stability groups under  $G$  are all equal to  $N$ ). Applying our inductive hypothesis we obtain  $\Omega(S_m) \rightarrow \Omega(S_0)$  in  $\mathcal{N}^*/N$ ; thus we can find functionals  $f_m$  in  $\Omega(S_m)$  with  $f_m \rightarrow f_0$ . Using the fact that  $f_m + \mathcal{N}^\perp \in \Omega(T_m)$  we conclude that  $\Omega(T_m) \rightarrow \Omega(T_0)$ .

(III)  $T_0|_N = S_0$  is irreducible and each  $T_m$ ,  $m=1,2,3,\dots$ , is induced from a representation  $S'_m$  of  $N$ ,  $T_m = \text{ind}_N^G(S'_m)$ .

Arguing as in (II) we can find representations  $S_m$  in the orbit of  $T_m|_N$ ,  $m=1,2,3,\dots$ , such that  $S_m \rightarrow S_0$ ,  $T_m = \text{ind}_N^G(S_m)$ , and by our inductive hypothesis, functionals  $f_m$  in  $\Omega(S_m)$ ,  $m=0,1,2,\dots$ , with  $f_m \rightarrow f_0$ . As in (I)  $T_0 = \tilde{S}_0 \cdot \chi_0$  where  $\tilde{S}_0$  denotes the element in  $\hat{G}_\infty$  corresponding to  $f_0$ ; and the character  $\chi_0$  is identically one on  $N$ , and is given by a functional  $h_0$ . Using that  $T_m$  is induced from  $S_m$ ,  $m>0$ , we have  $\Omega(T_m) \supseteq f_m + \mathcal{N}^\perp$ , hence  $f_m + h_0 \in \Omega(T_m)$ , and clearly  $f_m + h_0 \rightarrow f_0 + h_0$ . We have shown that  $\Omega(T_m) \rightarrow \Omega(T_0)$ .

(IV)  $T_0 = \text{ind}_N^G(S_0)$  and  $T_m|_N = S_m$  is irreducible,  $m=1,2,3,\dots$ . From the description of the hull-kernel topology it follows that the sequence  $\{S_m\}_{m=1}^\infty$  converges to each element in the  $G$ -orbit of  $T_0|_N$ , in particular  $S_m \rightarrow S_0$ ; and by virtue of the inductive hypothesis we can find functionals  $f_m$  in  $\mathcal{Q}(S_m)$ ,  $m=0,1,2,\dots$ , with  $f_m \rightarrow f_0$ . For each  $m=0,1,2,\dots$ , we let  $t_m$  be an element of  $\text{Irr}_\infty(G)$  in the class of  $T_m$ , so that  $t_m \rightarrow t_0$  in the topology of  $\text{Irr}_\infty(G)$  (the canonical map  $\text{Irr}_\infty(G) \rightarrow \hat{G}_\infty$  is open). In particular  $\{t_m\}_{m=1}^\infty$  is a Cauchy sequence in  $\text{Irr}_\infty(G)$  and, writing  $t_m = \tilde{s}_m \cdot \chi_m$ , we see as in case (I) that for  $v \in H_\infty$ ,  $g \in G$ ,

$$|\chi_m(g) - \chi_n(g)| \cdot \|v\| < \|\chi_m(g)\tilde{s}_m(g)v - \chi_n(g)\tilde{s}_n(g)v\| + \|\tilde{s}_m(g)v - \tilde{s}_n(g)v\|.$$

Using this together with the fact that  $\{\tilde{s}_m\}_{m=1}^\infty$  is a Cauchy sequence in  $\text{Irr}_\infty(G)$  (recall that  $\tilde{s}_m$  corresponds to the functional  $f_m$  of  $\mathcal{G}$ ), we have  $\{\chi_m\}_{m=1}^\infty$  is a Cauchy sequence of characters of  $G$ . Let  $\chi_0$  be its limit character and denote by  $h_m$  the functional of  $\chi_m$ ,  $m > 0$ . Thus  $h_m \rightarrow h_0$ , and therefore

$$f_m + h_m \rightarrow f_0 + h_0.$$

We have proved  $\mathcal{Q}(T_m) \rightarrow \mathcal{Q}(T_0)$ .

QED

For nilpotent Lie groups bicontinuity of the Kirillov map was first proved by Brown, [4]. This result is also a consequence of the above theorem.

COROLLARY. Let  $G$  be a simply connected and connected nilpotent Lie group. Then the Kirillov map is a homeomorphism of the coadjoint orbit space  $\mathcal{G}^*/G$  onto the unitary dual space  $\hat{G}$ .

PROOF. The map is clearly a homeomorphism when restricted to the closed subspace of  $\mathcal{Y}^*/G$  consisting of those orbits which correspond to characters of  $G$ . Combining this with the above theorem, all that remains to be proved is continuity of the inverse map  $\hat{G} \rightarrow \mathcal{Y}^*/G$  at the identity representation, and this was shown in [10, Theorem 1]. We give a short argument. Let  $\epsilon > 0$  be given. For  $\delta > 0$  and  $K$  a closed subgroup of  $G$ , we denote by  $V(\delta, K)$  the neighbourhood of 1 in  $\hat{K}$  consisting of all  $S$  such that for  $C \subset K$ ,  $C$  compact, there exists a vector  $v$  in the Hilbert space  $H(S)$  of  $S$  with  $|\langle S(x)v, v \rangle - 1| < \delta$ , for all  $x$  in  $C$ . Now let  $T \in \hat{G}$ , and suppose  $T \in V(\delta, G)$ . Then since  $G$  is nilpotent, there exists a closed normal connected subgroup  $N$  of codimension one in  $G$  such that  $T$  is induced from an irreducible  $S$  of  $N$ . By Mackey,  $T = \text{ind}_N^G(xS)$  for every  $x$  in  $G$ . And by continuity of the restriction map and the fact that  $T|_N$  is supported on the  $G$ -orbit  $G \cdot S$ , we have  $x \cdot S \in V(\delta, N)$  for some  $x$  in  $G$ . Assuming inductively that  $\hat{N} \rightarrow \mathcal{Y}^*/N$  is continuous at the identity representation, we may conclude by proper choice of  $\delta$  that the distance from the orbit  $\mathcal{Q}(x \cdot S)$  to 0 in  $\mathcal{Y}^*$  is less than  $\epsilon$ . Now this distance is greater than the distance from  $\mathcal{Q}(T)$  to 0 in  $\mathcal{Y}^*$ , and it follows that  $\hat{G} \rightarrow \mathcal{Y}^*/G$  is continuous at 1, completing our proof. QED

AN EXAMPLE

Let  $\mathfrak{g} = \mathfrak{g}_{4,9}(0)$  denote the exponential Lie algebra given by the nonzero basis relations  $[e_1, e_2] = e_2$ ,  $[e_1, e_3] = -e_3$ ,  $[e_2, e_3] = e_4$ , and let  $\{e_i^*\}_{i=1}^4$  be a basis for  $\mathfrak{g}^*$  dual to  $\{e_i\}_{i=1}^4$ . The nilradical  $N = \exp(\mathbb{R}e_2 + \mathbb{R}e_3 + \mathbb{R}e_4)$  of the group  $G = \exp \mathfrak{g}$  is isomorphic with the three dimensional Heisenberg group. For  $v \neq 0$ , let  $S_v$  be the element of  $\hat{N}$  associated to the functional  $ve_4^*$  of  $\mathcal{N}$ . The isotropy group of  $\tilde{S}_v$  is all of  $G$ , and  $S_v$  extends to  $G$ . We denote by  $\tilde{S}_v$  the extension of  $S_v$  that corresponds to  $ve_4^*$  in  $\mathfrak{g}^*$ . All the extensions of  $S_v$  are of the form  $T_{\alpha, v} = \tilde{S}_v \cdot \chi_\alpha$  where  $\chi_\alpha$  is the character of  $G$ , equal to 1 on  $N$ , with functional  $\alpha e_1^*$ ,  $\alpha \in \mathbb{R}$ . The orbit  $\Omega_{\alpha, v}$  in  $\mathfrak{g}^*$  of  $T_{\alpha, v}$  is seen to be the hyperboloid

$$x_1 v - x_2 x_3 = \alpha \cdot v, \quad x_4 = v,$$

with a distance  $\sqrt{2\alpha v}$  from zero, whenever  $0 < v < \alpha$ . Hence a sequence  $\{\Omega_{\alpha_n, v_n}\}$  of such orbits may converge to 0 even if  $v_n \rightarrow 0$  and  $\alpha_n \rightarrow \infty$ , let e.g.  $v_n = 1/n^2$ ,  $\alpha_n = n$ . Then the two sequences of representations,  $\{T_{\alpha_n, v_n}\}$  and  $\{\tilde{S}_{v_n}\}$ , both converge to  $1_G$  by continuity of the Kirillov correspondence. Still the sequence  $\{\chi_{\alpha_n}\}$  of characters is unbounded. This answers in the negative a question raised at the end of [6].

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