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# Stable isotope ecology of *terra preta* in Caxiuanã National Forest, Brazil

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The Amazon Basin currently hosts the world's largest pool of terrestrial biodiversity and prior to European colonization of the Americas there were large human communities living in parkland ecosystems. We examine the formation of archaeological sites in the northeast sector of the Caxiuanã National Forest (CNF) using light stable isotopes of nitrogen and carbon, total carbon and nitrogen and Optically Stimulated Luminescence to characterize long-term human landscape management practices. Previous research in the CNF has documented differences in pH, calcium, total organic carbon (TOC) and nitrogen (TN) between terra preta and terra marrom contexts as well as different forest structures based on remote sensing analysis. Therefore, we adopt a comparative approach, examining the formation processes of on-site (terra preta), near-site (terra marrom) and offsite (latosol) contexts. TOC and TN values obtained in our study augment and support previous research demonstrating significantly higher on-site values relative to near-site and offsite. However, the stable isotopes ( $\delta^{13}$ C,  $\delta^{15}$ N) assayed from *terra preta*, *terra* marrom and latosols show statistically overlapping values, indicating the persistence of closed canopy in off-site and near-site contexts and the use of this canopy in the formation of on-site soils (terra preta). Our results corroborate the hypothesis that closed canopy ecosystems and human settlements persisted in the Amazon for thousands of years and formed the foundation of the region's rich biodiversity.

#### KEYWORDS

Amazonian Dark Earth, landscape archaeology, latosol, soil formation, stable C and N isotopes, *terra marrom, terra preta* do Índio

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# **1** Introduction

The relationship between so-called terra preta do Índio (a.k.a. Amazonian Dark Earths) and ancient Amazonian cultures has been one of the lynchpin research topics of the research program of Historical Ecology for more than 30 years (Balée, 2006; Erickson, 2008; Szabó, 2015). While terra preta is specifically associated with archaeological deposits, studies have shown that the effects of soil amendments extend beyond middens into the surrounding areas (Fraser et al., 2011; Glaser and Birk, 2012; Schmidt et al., 2014; Kern et al., 2017; WinklerPrins and Levis, 2021). These contexts have been called terra marrom (a.k.a. terra mulata or Amazon Brown Earth), which are anthrosols generally devoid of artifacts surrounding terra preta sites with a gradient of melanization relative to the soils interpreted as not being directly modified by humans, called terra firme (uplands) and várzea (floodplains) (Sombroek, 1966; Denevan, 2004; Fraser et al., 2011; Alho et al., 2019). Studies have shown that terra marrom formed in areas as a response to deliberate burning and polyculture agroforestry (Costa et al., 2013; Arroyo-Kalin, 2014; Iriarte et al., 2020; Maezumi et al., 2022). Typically, soils that form outside anthropogenic areas are latosols, which are products of deep tropical weathering, iron- and aluminum oxyhydroxides-rich soils corresponding to Oxisols in the United States Department of Agriculture (USDA) classification and Ferralsols in the World Reference Base of the Food and Agriculture Association (Schaefer et al., 2008).

However, there is no concrete, uncontroversial definition of any of these soil or geographic categories-they tend to be applied interpretively in the field although broad geochemical differences among them have been characterized in relation to nutrient availability for plants (Asare, 2022). Questions remain on the degree to which terra preta formation increases net primary productivity (NPP) and sequestration of carbon and nitrogen in affected soils (Downie et al., 2011; Doughty et al., 2014; Clark et al., 2017). Soil phenotype has been shown in at least one case from the Bolivian Lowlands to be spatially non-correlated with the growth of vegetation that is economically useful for humans, which is attributed instead to natural post-abandonment disturbance processes (Paz-Rivera and Putz, 2009; see also; Piperno et al., 2019). On the other hand, a ground-truthed, remote sensing study of Caxiuanã National Forest (CNF) showed marked differences in vegetation structure as measured by a green index in anthropogenic forests correlated with anthropic soils as compared to non-managed areas (Choi et al., 2020). Similar results have also been obtained by Robinson et al. (2021). Söderström et al. (2016) use remote sensing to estimate ADE occurrence in approximately 3% of a 256 km<sup>2</sup> study area on the Belterra Plateau, 30 km south of the city of Santarém, which correlates to McMichael et al.'s (2014) estimate for ADE across the entire Amazon Basin (see also Thayn et al., 2011). Geochemical methods using soil stable isotopes have potential to help discriminate between how soils form within the context of different human manipulated plant communities (Dawson et al., 2002; Crotty et al., 2012; Nordt and Holliday, 2020), yet few studies to date have systematically applied them to studying terra preta formation (cf., Desjardins et al., 1996; Pessenda et al., 1997; Robinson et al., 2021).

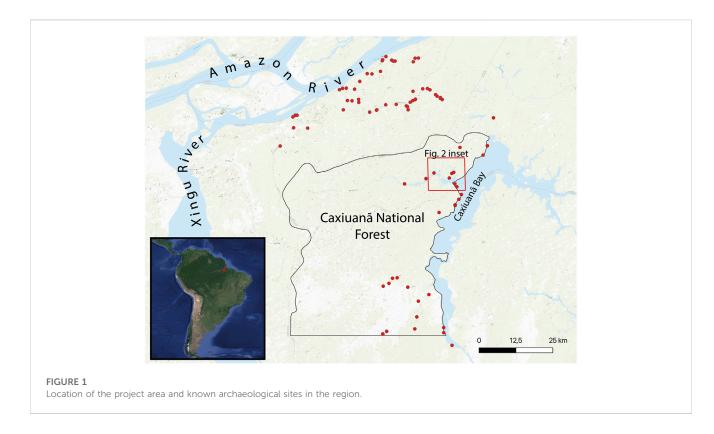
This study adds to the growing body of knowledge of anthropic soil formation in the Amazon by analyzing light stable isotopes of carbon ( $\delta^{13}C)$  and nitrogen ( $\delta^{15}N)$  and associated total concentrations of the elements as well as soil mixing from onsite (terra preta), near-site (terra marrom) and off-site (latosol) areas using optically stimulated luminescence (OSL) surrounding three archaeological sites settled over the last 2000 years in the CNF of northern Brazil (Figure 1). We tested the hypothesis that soil organic matter would possess statistically different properties from these three archaeological and edaphic contexts. Despite differences between on-site and off-site contexts in soil nutrient availability and pH, enrichment of nitrogen within terra preta relative to surrounding areas (Kern, 1996; Lemos et al., 2011; Martins da Silva et al., 2017), there are statistically overlapping  $\delta^{13}$ C and  $\delta^{15}$ N values, indicating the persistence of a closed canopy C3 forest throughout the various contexts tested and limited influence of human land management on the isotopic composition of soils from this study area. We contextualize our study within the scope of previous work done in the CNF and propose methods for developing deeper understandings of soil formation, stable isotopes and vegetation patterns in the Amazon in future research.

### 2 Background

#### 2.1 Physical setting of the project area

The study area is located in CNF in Pará State, Brazil (Figure 1). Precipitation averages 2,300 mm per year with an annual mean temperature of 25.7°C (Sotta et al., 2006). Approximately 75% of the total rainfall occurs between December and June associated with the passage of the Intertropical Convergence Zone, which advects warm equatorial Atlantic moisture inland over regions including the CNF (de Souza et al., 2005; Santos et al., 2015). This portion of Brazil is naturally covered in dense stands of southern neotropical lowland rainforest growing along numerous tributaries of the Amazon River, although deforestation has been pervasive since the 1970s with onand-off efforts to prevent clear cutting for cattle ranching (Moran, 1993; Fearnside, 2005; Fonseca et al., 2022). The CNF has protected status, which has sheltered it from deforestation. In 2020 when deforestation across the Brazilian Amazon was rampant (Silva Junior et al., 2021), the estimated total loss of CNF's forest cover was 0.07% (2.32 km<sup>2</sup>) (Pellin et al., 2022). CNF has been a managed forest landscape for at least 3,000 years, therefore never "pristine" sensu stricto (Clement and Junqueira, 2010), but its status as a protected area has buffered it from clear cutting and significant degrees of disturbance that has beset many of the surrounding areas in recent decades.

Tropical soils of the Amazon Basin have been previously found to be naturally high in iron (Fe), manganese (Mn), aluminum (Al) oxyhydroxide minerals, while low in pH, magnesium (Mg), calcium (Ca), phosphorus (P) and total organic carbon (TOC) (Schmidt et al., 2014; Kern et al., 2017; Macedo et al., 2017; Lombardo et al., 2022). This is due to ancient, weathered iron-rich mineral bedrock substrates that occur in the eastern lowlands and are generally poor in nutrients available for plant metabolism. Within this environment, free metal cations such as  $Fe^3$ + and  $Al^{3+}$  react easily with phosphates, which fix P into the soil, making it insoluble and therefore unavailable for uptake by plant roots



(Johnson and Loeppert, 2006). Such edaphic conditions have been hypothesized as promoting the growth of tall-canopy forests, which have low metabolic requirements compared to grassdominated landscapes that thrive in soils with more available nutrients (Bell, 1982). On the other hand, *terra preta* soils are rich in TOC, P, N, Ca, Mg and potassium (K) from human excrement and faunal diagenetic derivatives as well as biochar non-or partially combusted ash (Glaser, 2007). Previous comparative studies of on-site and off-site contexts in Caxiuanã have similarly demonstrated significant differences in bulk geochemistry with *terra preta* sites hosting soils with higher TOC, P, C, Ca, Mg, Mn, Zn and bioavailable microminerals than surrounding areas (Kern, 1996; Lemos et al., 2011; Martins da Silva et al., 2017).

#### 2.2 Archaeological setting of the CNF

The ceramics found in the Caxiuanã region include different styles and complexes that relate to other areas of Amazonia, signifying that this region was connected to extensive networks during pre-colonial times, although most production was *cerca situ* (Hofman et al., 2021). Inhabitation of the Caxiuanã region is previously known to extend >2000 years based on thermoluminescence dating of pottery (Behling and da Costa, 2000; Coirolo and D'Aquino, 2005). A sediment core from the Rio Curuá within Caxiuanã has an increase in charcoal content postdating 2,500 years BP, indicative of a human presence in the region from this time on due to the fact that concentrations are between 3-15x higher after this date than in the previous 5,300 years (Behling and da Costa, 2000).

Evans and Meggers. (1960) identified four Amazonian ceramic horizons-Zone-Hachured, Incised Rim, Amazonian Polychrome, Incised-Punctate. The Incised Rim is associated with variably named complexes, such as incised, modelled and bi-chrome, such as the Saladoid-Barrancoid series, as well as similar developments in the central Amazon (Neves, 2022). The polychrome tradition is said to last from 1,500 to 500 BP. The most notable site are associated with this ceramic horizon is Marajó Island at the mouth of the Amazon (Schaan, 2004). The polychrome stylistic elements are currently known to date earliest in Marajó Island and expand westward and continue for over a millennium and into the historic contact period in many areas. Finally, the most recent in ceramic horizons are the Incised Punctate tradition, which includes Santarem and Konduri along the Amazon and Koriabo in the Lower Amazon and Guianas. This ceramic type extended beyond contact period (1,000 to 250 BP) (Rocha, 2020). The Incised Rim Tradition is related to the first largescale population spread into, sedentism within and anthropization of Amazonia before 2000 cal years BP (Lima, 2008; Neves et al., 2014) (de Souza et al., 2020). Incised Rim origins are posited as the Central Amazon dating to 3,000-3500 BP until 400BP, in some cases. However, Lima (2008) suggests 2,500-2000 BP. More recent sites contain Koriabo tradition ceramics, which are associated with a cultural complex that probably originated in the Guianas approximately 750 cal years BP (Rostain, 2008). These finds connect the Guianas with the Caribbean and lower Amazon during the late pre-Columbian period (Barreto and Lima, 2021). Finally, a cemetery site excavated in the 1990s featured urns buried in an earth mound (teso) with characteristics of the Marajoara style (Lisboa et al., 2013). The presence of diverse ceramic style and complexes that relate to other areas of Amazonia, signify this region was connected to extensive networks during pre-colonial times.

A total of 32 archaeological sites in Caxiuanã have been registered as of 2023, the majority of which are located within terra preta. Sites are located in higher bluffs adjacent to large waterways. Although there are many sites in the area, only a small number have undergone extensive research. Current investigations in CNF are uncovering pottery of the Incised Rim Tradition, also known as Amazonian Barrancoid, that is related to the first large-scale population spread into, sedentism within and anthropization of Amazonia before 2000 cal years BP (Lima, 2008; Neves et al., 2014; de Souza et al., 2020). Ceramic griddles recovered in excavations demonstrate that a tuber-based diet was prevalent in CNF around 2000 cal years BP (McDaniel, 2023). More recent sites contain Koriabo tradition ceramics, which are associated with a cultural complex that probably originated in the Guianas approximately 750 cal years BP (Rostain, 2008). These finds connect the Guianas with the Caribbean and lower Amazon during the late pre-Columbian period (Barreto et al., 2021). Finally, a cemetery site excavated in the 1990s featured urns buried in an earth mound (teso) with characteristics of the Marajoara style (Lisboa et al., 2013). In general, CNF archaeological sites are found near seasonally inundated forest terrain (igapó) (Figure 1). In these igapó settings there are two important native Amazonian alimentary palms species-açai (Euterpe oleracea) and buriti (Mauritia flexuosa) as well as a host of other trees consumed by human communities (Lisboa et al., 2013).

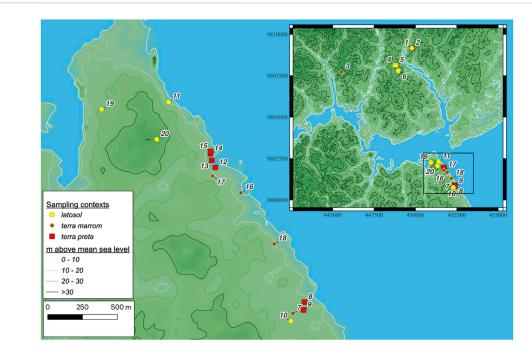
Based on population modeling of radiocarbon ages, the wetter lower Amazon River basin is thought to have been more sparsely populated than other regions prior to the introduction of formal cultivation techniques although data points in this region of their study are comparably low (McMichael and Bush, 2019). In general, relatively sparse quantities of charcoal found in the coring sample obtained from the Curuá River, located 300 km to the west of CNF, have provided the basis for inferring low ancient population densities in places like Caxiuanã relative to other regions (Behling and da Costa, 2000). Smaller tributaries such as the Curuá are thought to host smaller settlements relative to larger waterways in the Amazon (Levis et al., 2014). However, a predictive spatial model of the occurrence of terra preta based on highresolution (15-m) remote sensing strongly suggests undersampling of archaeological sites in Caxiuanã (Choi et al., 2020), which may be the product of the relative remoteness of the location to modern settlements and infrastructure.

Today, the CNF is managed by the Serviço Florestal Brasileiro (Brazilian Forest Service) and hosts riberinhos (riverine peoples) who practice agroforestry to manage stands of açai, Brazil nuts (Bertholletia excelsa) and other palm and fruit trees and utilize slashand-burn agriculture to raise bitter manioc (Manihot esculenta) and other starches. According to the Unidades de Conservação there were 452 residents in 2018 within the 200 km<sup>2</sup> reserve (https://uc. socioambiental.org/arp/640, accessed 13 February 2023). Since the study region is a sparsely populated, protected area, anthropic pressures such as deforestation, mining and cattle grazing are relatively low. One of the focal sites of this study, Ibama, is the location of a research station of the Instituto Brasileiro do Meio Ambiente e dos Recursos Naturais Renováveis and has been cleared and planted with turf. The study of anthropogenic impacts to landscape formation are therefore processually linked to both ancient and modern land use and extensive forms of modern-day land use are considered as features that potentially overprint precolonial features (Choi et al., 2020).

#### 2.3 Stable isotope reconstruction in soils

The application of light stable isotopes for understanding the formation of soils can inform past land cover conditions, providing additional data to compliment other geochemical analyses. Photosynthesis is the process in plants whereby CO<sub>2</sub>, H<sub>2</sub>O and sunlight are converted into sucrose and glucose (carbohydrates). In tropical plants, photosynthesis follows one of two pathways: trees in closed canopy environments reduce and fix atmospheric CO<sub>2</sub> to a three-carbon molecule (C<sub>3</sub>) to create carbohydrates whereas some grasses and sedges follow a more complicated (Hatch-Slack) pathway that fixes a four-carbon molecule (C<sub>4</sub>) to create the sugar. C<sub>3</sub> plants discriminate more heavily than C<sub>4</sub> plants against <sup>13</sup>C (which generally occurs at a ratio of 1:99 relative to the more abundant <sup>12</sup>C isotope) during carbon fixation. On average, C<sub>3</sub> plants in the pre-Industrial era had a<sup>12</sup>CO<sub>2</sub>:<sup>13</sup>CO<sub>2</sub> ratio of -26‰ relative to the Vienna Pee Dee Belemnite (VPDB) standard, whereas C<sub>4</sub> plants had a ratio of -12‰ (Kohn, 2010). In situ decomposition of plant organic matter enriches <sup>13</sup>C on average of ~1‰ (Natelhoffer and Fry, 1988), so the <sup>13</sup>C isotopic composition of soils with C3 and C4 plant cover averages -25‰ and -11‰, respectively (Ambrose and Sikes, 1991). It is estimated that approximately 60% of the grasses from Brazil follow the C4 photosynthetic pathway (Medina et al., 1999), although there is great spatial variance to their distribution. A longitudinal study of <sup>13</sup>C from the Triunfo site in the Iténez Forest of the Bolivian Amazon shows relative isotopic enrichment of on-site (terra preta) soils compared to off-site settings, which is interpreted as a higher contribution of C<sub>4</sub> vegetation in the formation of the soils (Robinson et al., 2021). However, isotopically depleted CO<sub>2</sub> is susceptible to "re-fixation" by plants under closed forest canopies (Sternberg et al., 1997), providing an additional mechanistic causal link between low <sup>13</sup>C values and denser stands of tree cover. Additionally, aquatic fauna have more strongly negative <sup>13</sup>C values than terrestrial fauna (Villagran, 2014; Hermenegildo et al., 2017), so if the soil is amended with the decaying remains of aquatic animals or their byproducts (including feces of people eating aquatic animals), the <sup>13</sup>C values of the soils may be lower than in soils where only terrestrial matter occurs.

The second isotope commonly studied to understand an edaphic environment is <sup>15</sup>N. The stable isotopic composition of nitrogen (<sup>14</sup>N:<sup>15</sup>N) in the atmosphere occurs at a ratio of 273:1. During plant decomposition, the heavier <sup>15</sup>N isotope remains in the soil preferentially to the lighter <sup>14</sup>N isotope. Higher precipitation and temperature regimes tend to have lower <sup>15</sup>N values than cool and arid ecosystems (Sachs, 2009). Human-amended (anthropogenic) soils tend to have higher ratios than non-amended soils (Commisso and Nelson, 2006). Within the context of the Amazon Rainforest, which has relatively stable rainfall and temperature regime, higher  $\delta^{15}$ N relative to the mean atmospheric ratio of <sup>14</sup>N:<sup>15</sup>N can be interpreted as evidence of a stronger anthropogenic signature, although this assumption has yet to be longitudinally tested.



#### FIGURE 2

Map of the sampling locations (UTM 22S, WGS 1984). Digital elevation model rendered from MERIT Hydro (Yamazaki et al., 2019). List of collection sites correspond to data keys in Supplementary Material S1: (1) CAX1, (2) CAX2, (3) CAX3, (4) ECFP1, (5) ECFP2, (6) ECFP3, (7) FOR1, (8) FOR2/Forte site/PA-GU-07, (9) FOR2-2FOR2-2, (10) FOR3, (11) IBA1, (12) IBA2/Ibama site/PA-GU-06, (13), IBA2-2, (14) IBA2-3, (15) IBA2-4, (16) IBA3, (17) IBA4, (18) IBA5, (19) IBA6, (20) IBA7. *Terra preta* sites are underlined.

# 3 Materials and methods

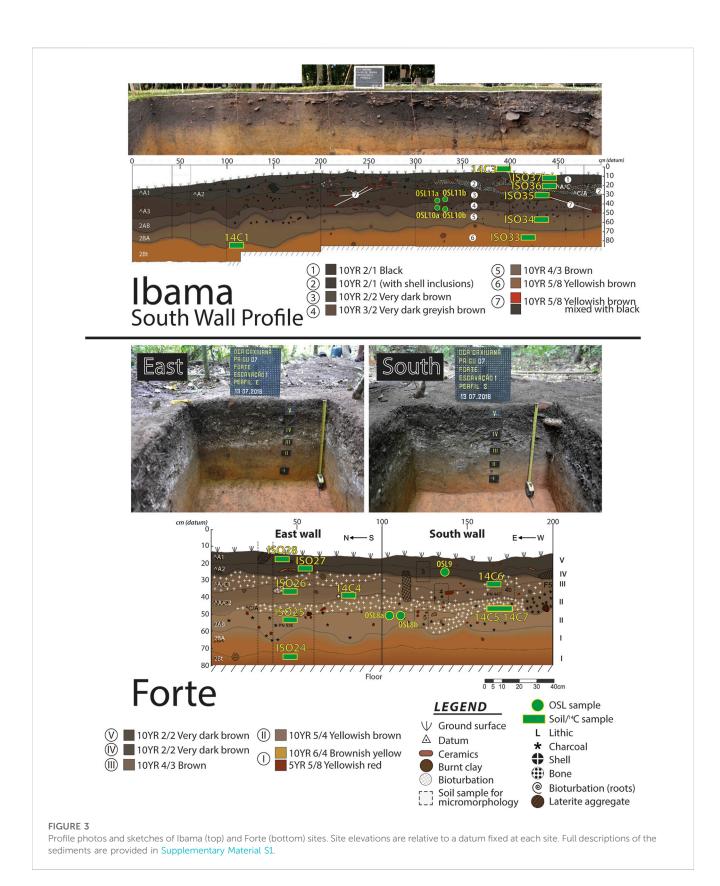
This study analyzes the stable isotopic composition and formation processes of *terra preta* soil relative to surrounding edaphic settings by diachronically examining horizontal-scale ecologies through the soils in CNF. We contextualize datasets within the broader archaeology of the Lower Amazon River and to develop more robust frameworks of ancient indigenous regional land management practices. The specific details of the archaeological investigations are beyond the scope of the present manuscript and will be published later—here, we focus on the recovery and analyses of sediments for the purpose of reconstructing the stable isotope and formation ecology of the areas of CNF under study.

Samples for stable isotopes, radiocarbon (14C) and Optically Stimulated Luminescence (OSL) were collected from a total of 20 soil pits (Figure 2) in 2016 and 2017 following documentation of each unit's soil profile (Supplementary Material S1). We separated our collection zones into terra preta (on-site), terra marrom (nearsite) and latosol (off-site) contexts (Kern et al., 2017). Stable isotope samples were placed into 133 mL Whirlpaks using a trowel or knife, avoiding contact with human hands. All samples were emptied into aluminum trays within 12 h of collection and dried in a convection oven at 60°C for >12 h prior to repacking. Samples were checked daily to ensure that humidity did not accumulate in the sample bags and were periodically redried if there was moisture spotted in the bags. Following the conclusion of fieldwork, all samples were transported to South Korea for analysis where they were submerged in 1M HCl for >24 h on a shaker table (1000 RPM).

Samples were then rinsed with distilled water, re-agitated then decanted to remove HCl. Samples were then dried in a gravity oven at 70°C overnight after which they were homogenized with a glass rod and mortar after which 10–25 mg of sediment were weighed on a mass balance and loaded into tin capsules for analysis.

Prepared samples were analyzed with a stable isotope ratio mass spectrometer linked to an elemental analyzer (EA-IRMS) (VisION, Isoprime Ltd., Cheadle Hulme, United Kingdom) at National Instrumentation Center for Environmental Management (NICEM), Seoul National University, South Korea. Following combustion of the samples into a gaseous state (CO<sub>2</sub> and N<sub>2</sub>), isotope ratios were determined as differences in parts per mille (‰) from standard materials (VPDB marine limestone for <sup>13</sup>C and atmospheric nitrogen [AIR] for  ${}^{15}N$ ) as follows:  $\delta^{13}C$  or  $\delta^{15}N$  (‰) =  $[(R_{sample} - R_{standard})/R_{standard}] \times 10^3$ , where R is  $({}^{13}C/{}^{12}C)$  or  $({}^{15}N/{}^{12}C)$ <sup>14</sup>N). NICEM reports that the laboratory errors of the mass spectrometer used in this study are <0.1‰ and <0.2‰, respectively.

The use of Bayesian bivariate ellipses to understand distributions of stable isotopes is increasingly common (e.g., Pingram et al., 2020; Rey-Iglesia et al., 2021) and utilizes known data points to project unknown distributions within the sampling context. Therefore, statistical treatment of the data include standard ellipses calculated to the 2- $\sigma$  (95%) level, box and whiskers plots performed in ggplot2 in RStudio 2022.07.1 (Build 554). To put our results into a testable framework, we also conducted t-tests and ANOVA tests of the data from identified edaphic contexts as well as by depth and soil horizonation. Code and data are available at https://doi.org/10.6084/m9.figshare.22094153.



Samples for accelerator mass spectrometry (AMS) radiocarbon dating were taken from soil profiles, preferentially selecting mollusks or charcoal from archaeological features. Samples were analyzed at the Korea Institute of Geoscience and Mineral Resources following a standard acid-base-acid pretreatment for removal of contaminants. All samples are reported in years before present (BP) from AD 1950 calculated using the Libby half-life of 5,568 years and calibrated using the atmospheric correction

TABLE 1 Radiocarbon ages from archaeological sites sampled in the Caxiuanã National Forest (2016). Refer to Figure 2 for mapped locations of FOR2 and IBA2, and Figure 3 shows sampling points within the profiles. Radiocarbon ages calendar corrected for atmospheric production of radiocarbon using Reimer et al. (2020) in OxCal 4.4.

Sample	Material	Depth below surface/datum	δ <sup>13</sup> C‰	<sup>14</sup> C yr BP	cal. years BP (2-σ)	μ cal. year BP
BRA16-IBA2-14C1	mollusk	93–100 b.s	$-16.40 \pm 1.74$	800 ± 20	730-679	705
BRA16-IBA2-14C2	charcoal	90 b.s	$-32.07 \pm 1.15$	$850~\pm~40$	903-677	758
BRA16-IBA2-14C3	mollusk	20 b.d	$-19.22 \pm 3.44$	580 ± 20	639-540	594
BRA16-FOR2-14C4	mollusk	38 b.d	$-11.38 \pm 0.96$	$1900~\pm~20$	1872-1738	1800
BRA16-FOR2-14C5	mollusk	47 b.d	$-12.71 \pm 1.72$	1980 ± 20	1989-1834	1912
BRA16-FOR2-14C6	mollusk	15 b.d	$-6.92 \pm 1.48$	$1950~\pm~20$	1935-1825	1875
BRA16-FOR2-14C7	mollusk	29 b.s	$-16.93 \pm 3.43$	1880 ± 20	1862-1728	1783

supplied in Reimer et al. (2020) in OxCal4.4 (https://c14.arch.ox. ac.uk/oxcal.html).

Additional geochronometry of sediment deposition of various edaphic contexts was provided using OSL dating at the Korea Basic Science Institute, Ochang. In the field, light-free high-carbon steel pipes measuring  $3 \times 20$  cm were pounded into the profile walls of test pits. For laboratory preparation of OSL samples, approximately 3–4 cm of both ends of the pipes were removed, since they have the possibility of being exposed to sunlight during sample collection. The removed parts were used to determine the dose rate  $(D_r)$ , which was measured by low-level, high-resolution gamma spectrometry. Then 32-250 µm fraction sediment grains were extracted from the sampled sediments by sieving the remaining fraction in a semidarkened room using orange-red light. The separated grains were cleaned in 10% H<sub>2</sub>O<sub>2</sub> to remove organic material and 10% HCl to remove carbonate minerals. After chemical cleansing, grains with a specific gravity between 2.62 g cm<sup>-3</sup> and 2.75 g cm<sup>-3</sup> were separated using sodium polytungstate. Of the collected grains, non-quartz was dissolved, and quartz grains were etched by approximately 10 µm by subjecting the sample to hydrofluoric acid for 45 min. The quartz grains were then made into an aliquot consisting of several thousand grains by fixing them to a stainless-steel disc with silicone spray. The OSL was measured using a Risø TL/OSL-DA-20 series reader using blue LEDs applying energy up to 80 mW/cm<sup>2</sup>.

The dating process followed the Single-Aliquot Regenerative (SAR) dose protocol introduced by Murray and Wintle (2000). The SAR protocol was adopted since it provides an effective way to monitor sensitivity changes in quartz grains during the analysis (Murray and Wintle, 2003; Choi et al., 2004). In the first routine (i=0), which measures the natural OSL signal, laboratory (artificial) dose is not administered. After preheating (step 2; 240°C or 260°C for 10 s), the natural OSL signal,  $L_0$ , was measured (step 3). Then, a fixed test dose,  $D_t$  was given before heating to 220°C (for 0 s), which is a process to empty the luminescence trap. After that, the test dose luminescence signal,  $T_0$ , which is relevant to the natural OSL measurement, was measured (Murray and Wintle, 2003). The cycle was repeated four times with the regeneration doses being increased step by step. Per standard convention, OSL ages are reported in "years" which is the analytical date of deposition prior to the date of measurement, which, in this case was AD 2018. Thus, there is a 68-year offset between radiocarbon and OSL ages in this manuscript.

#### 4 Results

Two archaeological sites, Forte and Ibama, were subject to screened excavations, profile documentation and radiocarbon dating and show aggraded occupation horizons (Figure 3). Radiocarbon dating of the sites in Caxiuanã indicate that the Forte site was occupied ca. 1912-1783 cal years BP (Table 1) and ceramics were from the Incised Rim/Barrancoid tradition. Aggradation of the terra preta occurred vis-à-vis the dumping of mollusk shell and other organic waste in addition to ceramics. Dating and stratigraphic analysis the test pit from the deepest to shallowest units indicates the presence of aggradational sequences as alternating packages of sediments and shells within the ca. 130-year timeframe of occupation. The site of Ibama was occupied between ca. 758 to 594 cal years BP. Ceramics recovered from the archaeological excavations are indicative of continuous settlement without a hiatus (Barreto and Lima, 2021), but alternating lenses of mollusk and organic-rich sediments are indicative of punctuated phases of aggradation of the landform. Shell temper has been found in thin section of the ceramics from both earlier (Forte) and later (Ibama) mounds illustrating the importance of mollusk shell to the production of ceramics, and shells comprised a significant portion of the matrix of the mound. A full report of the archaeological artifacts recovered from the site is in preparation and outside the scope of the present manuscript.

OSL ages of on-site contexts (IBA2, FOR2) have statistical overlap with radiocarbon ages demonstrating the efficacy of the method to reconstruct landscape sedimentation in weathered edaphic contexts such as in Caxiuanã (Table 2). Off-site samples (IBA1) and near-site (FOR1, IBA5) samples show alluvial sedimentation at ca. 15,900 years until 900 years with temporal overlap in the latter samples with site occupations at Ibama (IBA2) and Forte (FOR2). Within near- and off-site contexts, overdispersion of the samples was higher than in on-site contexts, which may be attributed to more extensive vertical bioturbation of sand grains (90–250  $\mu$ m).

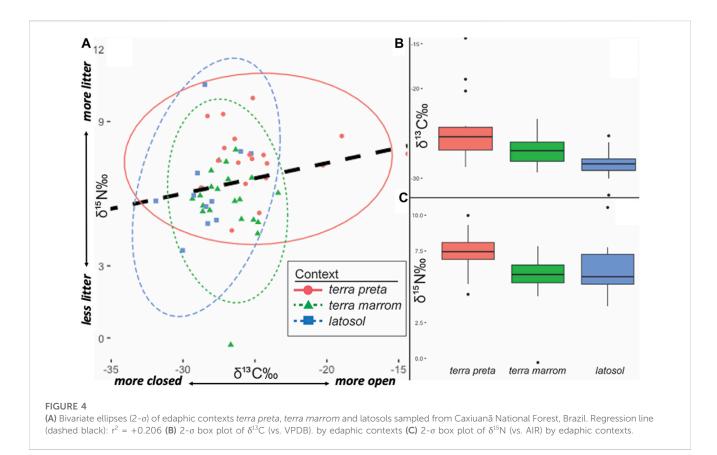
Bivariate plots of soil stable isotopes conducted of landform/ soil classification categories (*terra preta*, *terra marrom* non-ADE anthropogenic forest and offsite *latosol*) show overlapping, but statistically different, isotopic distributions with enriched <sup>13</sup>C (more C<sub>4</sub> or open landscape conditions) and <sup>15</sup>N levels located on *terra preta* relative to *terra marrom* 

TABLE 2 Results of Optically Stimulated Luminescence dating and measures of over-dispersion (OD%) (Galbraith and Roberts, 2012) from Caxiuana National Forest, Brazil. Refer to Figure 2 for sample locations, and Figure 3 shows sampling points within the profiles.

Sample	Depth <sup>†</sup> (cm)	Water	<sup>238</sup> U (Bq⋅kg⁻¹)	<sup>226</sup> Ra (Bq∙kg⁻¹)	<sup>232</sup> Th (Bq⋅kg⁻¹)	<sup>40</sup> K (Bq⋅kg⁻¹)	Dry	Dry	Cosmic	Total	D <sub>e</sub> (Gy)	Ν	Age (ka)	OD (%)
	(cm)	Content <sup>※</sup> (wt. %)					Beta (Gy∙ka⁻¹)	Gamma (Gy∙ka⁻¹)	Ray (Gy∙ka⁻¹	Dose rate (Gy∙ka⁻¹)			(114)	(70)
BRA16-IBA1- OSL1	105	19.7	47.8 ± 4.6	44.0 ± 0.9	97.2 ± 3.6	148.6 ± 9.1	1.42 ± 0.06	1.63 ± 0.05	0.16 ± 0.02	2.63 ± 0.07	41.8 ± 3.9	14	15.9 ± 1.5	33.5
BRA16-IBA1- OSL2	45	19.8	54.0 ± 3.2	41.9 ± 0.5	98.0 ± 3.0	84.0 ± 4.8	$1.04 \pm 0.04$	1.29 ± 0.04	0.18 ± 0.02	2.50 ± 0.06	5.6 ± 0.3	15	2.3 ± 0.1	21.0
BRA16-IBA5- OSL4	23	17.5	36.6 ± 3.9	37.5 ± 0.7	35.1 ± 1.8	76.6 ± 6.6	0.63 ± 0.03	0.64 ± 0.03	0.19 ± 0.02	1.46 ± 0.05	2.9 ± 0.4	15	2.0 ± 0.3	48.0
BRA16-FOR1- OSL5	17	21.5	41.6 ± 3.8	41.2 ± 0.7	32.2 ± 1.7	51.8 ± 5.6	0.57 ± 0.03	0.59 ± 0.03	0.19 ± 0.02	1.35 ± 0.05	1.3 ± 0.2	16	0.9 ± 0.2	64.4
BRA16-CAX1- OSL6	21	14.2	26.2 ± 2.3	27.0 ± 0.4	52.0 ± 1.8	$10.0 \pm 0.1$	0.53 ± 0.02	0.72 ± 0.02	0.19 ± 0.02	$1.44 \pm 0.04$	1.2 ± 0.1	16	0.8 ± 0.1	22.2
BRA16-CAX2- OSL7	14	17.1	23.4 ± 2.6	18.4 ± 0.4	45.2 ± 1.7	$10.0 \pm 0.1$	0.43 ± 0.02	0.58 ± 0.02	0.19 ± 0.02	1.21 ± 0.03	1.6 ± 0.3	16	1.3 ± 0.2	62.5
BRA16-FOR2- OSL8	36	13.9	31.0 ± 3.3	41.0 ± 0.7	32.6 ± 1.8	100.1 ± 6.3	0.68 ± 0.03	0.67 ± 0.03	0.18 ± 0.02	1.53 ± 0.05	3.5 ± 0.2	16	2.3 ± 0.2	27.5
BRA16-FOR2- OSL9	9	22.9	37.1 ± 1.9	39.5 ± 0.4	34.2 ± 1.3	109.6 ± 4.0	0.71 ± 0.03	0.63 ± 0.03	0.19 ± 0.02	1.53 ± 0.04	0.4 ± 0.1	16	0.3 ± 0.1	34.0
BRA16-IBA2- OSL10	42	17.3	38.7 ± 2.9	37.5 ± 0.5	30.3 ± 1.4	54.9 ± 4.3	0.58 ± 0.03	0.57 ± 0.03	0.18 ± 0.02	1.33 ± 0.04	0.9 ± 0.1	16	0.7 ± 0.1	17.2
BRA16-IBA2- OSL11	25	19.6	34.8 ± 3.8	37.8 ± 0.7	31.3 ± 1.8	69.4 ± 5.9	0.58 ± 0.03	0.58 ± 0.03	0.19 ± 0.02	1.35 ± 0.05	0.7 ± 0.1	16	0.5 ± 0.1	11.2

<sup>†</sup>Depths of the samples are the vertical distance from the modern ground surface.

\*Present water content.



and *latosol* (Figure 4; Supplementary Material S2). A paired t-test of <sup>15</sup>N content showed a statistically significant difference between *terra preta* and *terra marrom* (t=3.75, p=0.0006, df=39), but there was no statistically significant difference between *terra marrom* and *latosol* (t=0.90, p=0.3766, df=31) or more broadly classified anthropic soils (*terra preta* + *terra marrom*) vs. *latosol* (t=0.42, p=0.6796, df=50). On the other hand, <sup>13</sup>C between *terra preta* and *terra marrom* did show statistically significant differences (t=2.58, p=0.00138, df=39) with the former being more isotopically enriched than the latter, and *terra marrom* was statistically significantly more enriched relative to *latosol* samples (t=2.43, p=0.0212, df=31).

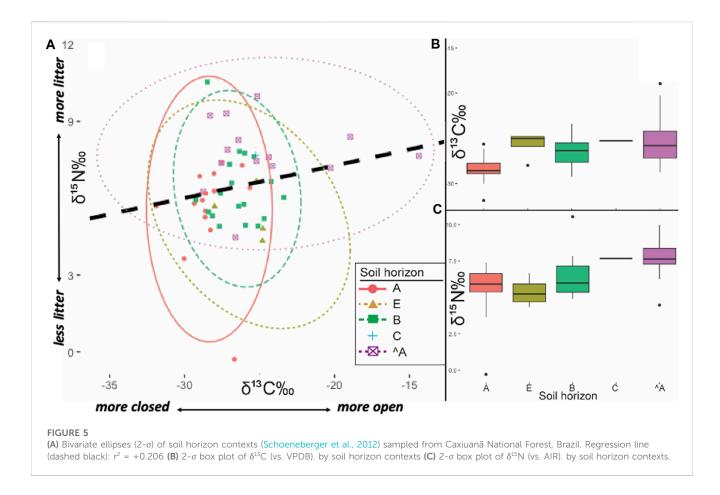
To control for isotopic variability based on horizonation (Figure 5) and depth below ground surface (Figure 6), statistical tests were also performed to evaluate the effects of soil master horizon designations and relative elevation. Soil horizonation and depths are not independent measures, as soils form as depth-predicated entities. Nevertheless, to exclude the possibility that variance in isotopes identified by general edaphic contexts was controlled by one or the other factor, both were independently tested. T-tests performed between soils interpreted as anthropogenic A-horizons (A in the USDA classification scheme, sensu Schoeneberger et al., 2012) show statistically higher <sup>15</sup>N in anthrosol A horizons compared to non-anthrosol A horizons (t=3.50, p=0.0016, df=27). On the other hand, when taken in aggregate, nonanthropogenic A horizons did not show statistically significant differences in comparison to E, B and C horizons (t=1.26, p=0.2157, df=36), but A horizons were more isotopically

enriched with statistically significant differences (t=3.16, p=0.0033, df=35). The content of <sup>15</sup>N did not show statistically significant differences relative to depth below surface in t-tests of 0–10 cm vs. >40 cm below surface (t=0.26, p=0.7965, df=27) whereas <sup>13</sup>C was significantly more depleted in upper solum samples (0–10 cm) relative to samples collected below 40 cm (t=2.66, p=0.0131, df=27).

Total Organic Carbon (TOC) and Total Nitrogen (TN) were also compared across the different edaphic contexts (*terra preta, terra marrom, latosol*). A Shapiro-Wilks ANOVA test of normality on TOC found that the variance is non-normally distributed (W = 0.913, *p*-value = 0.001) as was TN (W = 0.741, *p*-value = <0.001). Boxplots of the data show that TOC and TN are significantly higher within *terra preta* settings vs. *terra marrom* and *latosol*, of which the latter two statistically overlap with one another (Figure 7).

### **5** Discussion

The CNF today represents an aggregate ecological assemblage from natural and anthropogenic forces, particularly in the regions adjacent to waterbodies. The Amazon Rainforest is earth's largest terrestrial reservoir of biodiversity (Rodrigues et al., 2013) and within the context of the tropical setting, forests are the predominant form of land cover. Our results demonstrate the continuity of forest cover throughout the duration of sedimentation and soil formation, including the period in which humans colonized and settled the region. Beginning ca. 1900 cal years BP, *terra preta* was deliberately created within a

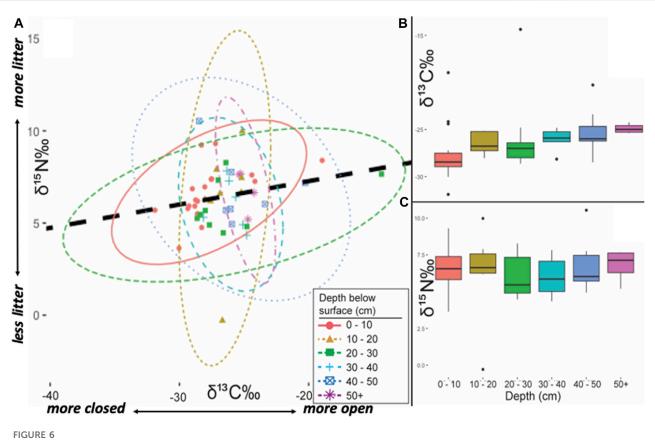


shell mound matrix at Forte and later (ca. 760–590 cal years BP) at Ibama. The soils surrounding the sites (*terra marrom*) demonstrate a gradient effect of isotopes, TOC and TN relative to the on-site test locations. Thus, the human contribution to shaping the ecological complexion of the landscape was primarily focused on the archaeological sites themselves, but extended beyond the site areas into the surrounding forest.

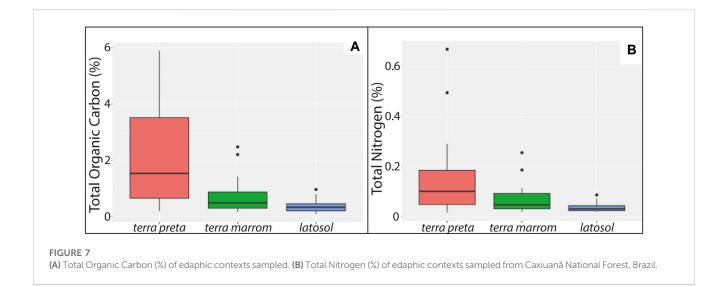
We posit that the distribution of nutrients from enriched patches of land following the abandonment of terra preta sites contributed to the homogenization of carbon and nitrogen isotopes across the lowland portions of the CNF, although we note that there are some distinguishing differences. Isotopically enriched nitrogen (15N) is demonstrated to be statistically higher in terra preta than in terra marrom as well as anthropogenic A-horizons (A) than in non-anthropogenic A-horizons. This reflects nutrient pooling and high amounts of litter on directly human amended terra preta soils compared to less managed contexts. Human manipulation of the soil conditions are interpreted here as having had the effect of concentrating isotopically heavier forms of nitrogen during respiration of NO<sub>2</sub>. Isotopically enriched carbon (13C) is more abundant overall in terra preta than surrounding soils, however we interpret this as being a function of the abundant remains of freshwater mollusks in on-site contexts, as exhibited in the  $\delta^{13}$ C values assayed during radiocarbon dating of mollusk shells (Table 1). Near-site (terra marrom)  $\delta^{13}$ C values reflect nutrient redistribution or, perhaps, occurrence of more open-canopy conditions in the past during some period of soil formation relative to off-site (*latosol*) contexts, which are more isotopically depleted (indicating  $C_3$  contribution to the soil and/ or a canopy effect). Overdispersion of luminescence signals indicate mixing of 90–250 µm grains from their primary depositional contexts, which is an indicator of the degree of bioturbation (Kristensen et al., 2015). Grain overdispersion in tropical rainforest is correlated with more intensive forms of land management, especially anthropogenic fires, which increase the overall biodiversity of tree species present (Tovar et al., 2014). OSL data indicate significantly higher degrees of soil mixing within *terra marrom* and *latosol* contexts compared to *terra preta*, however the dataset is relatively small.

Total elemental concentrations provide further context for the results of the isotopic study. TOC and TN are higher with statistical significance on-site vs. near- and off-site contexts, which agrees with previous studies of these elements from Forte and Ibama (Kern, 1996; Lemos et al., 2011; Martins da Silva et al., 2017). Thus, we see that the isotopic differences between *terra preta*, *terra marrom* and *latosol* contexts reflect continuity of vegetal and nutrient communities in Caxiuanã, while organic nutrient pools are concentrated within *terra preta*, where bioturbation vis-à-vis OSL overdispersion appears to be more horizontal than vertical when compared to off-site forest contexts.

CNF remains a managed forest to this day. Although the population of the protected area is low, we argue that the



(A) Bivariate ellipses (2- $\sigma$ ) of depth below surface contexts sampled from Caxiuanã National Forest, Brazil. Regression line (dashed black):  $r^2 = +0.206$  (B) 2- $\sigma$  box plot of  $\delta^{13}$ C (vs. VPDB). by depth below surface contexts (C) 2- $\sigma$  box plot of  $\delta^{15}$ N (vs. AIR). by depth below surface contexts.



persistence of human land management has created a gradient effect to the soil isotope composition of the region studied. Similar to our results, Paz-Rivera and Putz (2009) determine that there is similar a measure of nutrient homogenization on landscapes in the Bolivian Amazon with *terra preta* due to modern bioturbating agents and human propagation of economically beneficial tree species outside habitation areas. In addition, the relatively high rainfall and soil weathering rates have likely normalized the expression of the

isotopically heavier <sup>15</sup>N isotope in the soils relative to values expected from more temperate climates (Ambrose, 1991; Posada and Schuur, 2011). Early degradation of long-chain fatty acids relative to *n*-alkanes  $(n-C_{23-33})$  $(n-C_{22:0-30:0})$ during decomposition of organic matter has been argued in a controlled study from western Europe as enriching the <sup>13</sup>C component in the soil relative to the vegetation canopy (Hirave et al., 2020; see also; Potapov et al., 2019), which would be less likely to occur in a setting such as mound construction (terra preta) than in a managed forest. Thus, future studies of the isotope ecology in the Amazon should explore this hypothesis by undertaking compound specific isotope analyses of *n*-alkanes from on-site, near-site and off-site contexts to determine whether the relatively homogenous isoscape presented in this study is a function of human landscape management practices or early degradation of long-chain fatty acids (see also Thomas et al., 2021).

# 6 Conclusion

This landscape-scale study of <sup>13</sup>C, <sup>15</sup>N, TOC, TN within a portion of the CNF from archaeological sites ranging between 1912 and 1783 cal years BP and 758 to 594 cal years BP indicates that nutrient pooling of organic matter from terra preta created a gradient enrichment effect between on-site and off-site contexts. In our study terra preta is interpreted as having primarily formed from vegetal matter from the surrounding forest intercalated with mollusk shells, which resulted in the concentration of C3-derived organic matter rather than organic matter from C<sub>4</sub> sources. Through the deliberate creation of terra preta and near-site land management practices to propagate species beneficial to agroforestry endeavors, people settling in this region were cornerstone ecosystem engineers, concentrating nutrients upon focal points (sites) adjacent to waterways. Our study supports previous research arguing terra preta formation resulted in a net enrichment of carbon and nitrogen on and near sites within forested settings and was not associated with large-scale landscape clearance, as European forms of cereal agriculture commonly are (e.g., Sombroek et al., 2003; Steiner et al., 2004; Heckenberger et al., 2008; Glaser and Birk, 2012; Piperno et al., 2015; Maezumi et al., 2018; Iriarte et al., 2020; Maezumi et al., 2022). Our results also agree, statistically, with  $\delta^{13}$ C values obtained by Robinson et al. (2021), although we interpret the cause of isotopic enrichment of <sup>13</sup>C in the Caxiuanã terra preta setting as due to the contribution of mollusk shells and potentially inhibited degradation of long-chain fatty acids relative to surrounding off-site contexts.

This study adopted a landscape approach to study formation processes and stable isotope ecologies from on-site (*terra preta*), near-site (*terra marrom*) and off-site (*latosol*) contexts. Intercomparability of sampling contexts was high because the proxy collection locations were selected based on having level ground surfaces that were free from obstructions, such as fallen trees or thick roots. Ongoing research in CNF is demonstrating that the long-term effects of historical land management processes continues to resonate in the ecosystem, especially in the types and density of vegetation biomass that occurs within managed forest regions (Choi et al., 2020). Landscape-focused

archaeological research holds promise to better inform biosphere evolution and the complex relationship between human activities and soil formation processes. Particularly in the tropics, soil is a critical resource that warrants conservation because it forms over long periods of time and is the repository for the majority of tropical biomass (Geisen et al., 2019; Scow et al., 2020). As this study demonstrates, there is no intrinsic contradiction between robust conservation goals to maintain stands of tropical forest and the creation and preservation of productive soils for subsistence purposes.

### Data availability statement

The datasets presented in this study can be found in online repositories. The names of the repository/repositories and accession number(s) can be found in the article/Supplementary Material. Supplementary data and code are also available at https://www.doi.org/10.6084/m9.figshare.22094153.

### Author contributions

DW, HL, JC, and ABR contributed to conception and design of the study. DW organized the database. DW, JC, and J-HC prepared and analyzed data presented in the study. DW performed the statistical analysis. DW wrote the first draft of the manuscript. DW, HL, ABR, MM, and KM wrote sections of the manuscript. All authors contributed to manuscript revision, read, and approved the submitted version.

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# Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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### Supplementary material

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/feart.2023.1172406/ full#supplementary-material

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