

## AN AGM-TYPE ELLIPTIC CURVE POINT COUNTING ALGORITHM IN CHARACTERISTIC THREE

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ABSTRACT. Given an ordinary elliptic curve on Hesse form over a finite field of characteristic three, we give a sequence of elliptic curves which leads to an effective construction of the canonical lift, and obtain an algorithm for computing the number of points. Our methods are based on the study of an explicitly and naturally given 3-isogeny between elliptic curves on Hesse form.

### 1. INTRODUCTION

In this paper we give a simple algorithm for computing the number of points of an Hessian elliptic curve defined over a finite field of characteristic three. The algorithm is inspired by the method of Satoh ([9]) and the AGM algorithm in characteristic two, [3]. Our methods are based on the explicit computation of a naturally given 3-isogeny between elliptic curves on Hesse form, and the resulting algorithm has the same complexity as AGM in characteristic two.

The Hessian elliptic curves are those which can be given by an equation of the form  $x^3 + y^3 + z^3 = dxyz$  in projective coordinates. The cryptographic features of Hessian elliptic curves are investigated in several papers, see [5], [11], [12], and properties of Hessian elliptic curves in characteristic three are investigated in [12]. According to [8] and [4] field arithmetic in characteristic three may be efficiently implemented in hardware and software, and since our algorithm is relatively easy to implement, it may contribute to the use of elliptic curves over fields of characteristic three in cryptography.

The point counting method that we propose, proceed by finding a sequence of elliptic curves on Hesse form over a certain 3-adic ring  $R$  leading to an effective construction of the canonical lift. Using Newton iterations we compute the sequence from a recurrence relation in  $R$ . Since cubing can be done very efficiently in characteristic three, the computational cost of the recurrence relation is essentially two multiplications. Thus our algorithm compares closely to the AGM-algorithm in characteristic two. Using a proposition of Satoh, we compute the trace of Frobenius by passing to the formal group. As for the AGM-algorithm this results in a norm computation.

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## 2. PRELIMINARIES

**2.1. Notation.** We will denote by  $\mathbb{F}_q$  the finite field with  $q = 3^n$  elements. We fix an unramified extension  $K$  over  $\mathbb{Q}_p$  of degree  $n$ . The valuation ring of  $K$  is denoted by  $R$ . We have  $R/3R \cong \mathbb{F}_q$ , and if  $r \in R$  we will denote by  $r \bmod 3$  the canonical image in  $\mathbb{F}_q$ .

The 3-power Frobenius will be denoted by  $\sigma : \mathbb{F}_q \rightarrow \mathbb{F}_q$ , and we denote by  $\Sigma : K \rightarrow K$  the (little) Frobenius substitution reducing to  $\sigma$ . If  $E$  is an elliptic curve over  $\mathbb{F}_q$ , we denote by  $F : E \rightarrow E$  the  $q$ -Frobenius map given in projective coordinates as  $(x, y, z) \mapsto (x^q, y^q, z^q)$ . By a slight abuse of notation we will denote also by  $\sigma$  the 3-Frobenius  $E \rightarrow \sigma E$  given by  $(x, y, z) \mapsto (x^3, y^3, z^3)$  where  $\sigma E$  is the elliptic curve obtained by applying  $\sigma$  to the coefficients of the defining equation for  $E$ . Similarly, if  $\mathcal{E}$  is an elliptic curve over  $K$ , we will denote by  $\Sigma \mathcal{E}$  the elliptic curve obtained by applying  $\Sigma$  to the coefficients of the defining equation for  $\mathcal{E}$ , and using  $\Sigma$  on the coordinates of a point in  $\mathcal{E}$ , we also get a map  $\mathcal{E} \rightarrow \Sigma \mathcal{E}$  which will be denoted by  $\Sigma$  as well.

**2.2. Elliptic curves on Hesse form in characteristic three.** We denote by  $E_d$  the curve in  $\mathbb{P}^2$  given by the equation  $x^3 + y^3 + z^3 = dxyz$ . In characteristic three this curve is a non-singular elliptic curve if  $d \neq 0$ . The addition law on  $E$  with  $O = (1, -1, 0)$  as the zero element is given as follows. Set  $P = (x_1, y_1, z_1)$  and  $Q = (x_2, y_2, z_2)$ . Then we have

$$(2.1) \quad \begin{aligned} -P &= (y_1, x_1, z_1) \\ P + Q &= (y_1^2 x_2 z_2 - y_2^2 x_1 z_1, x_1^2 y_2 z_2 - x_2^2 y_1 z_1, z_1^2 y_2 x_2 - z_2^2 y_1 x_1) \end{aligned}$$

$$(2.2) \quad [2]P = (y_1(z_1^3 - x_1^3), x_1(y_1^3 - z_1^3), z_1(x_1^3 - y_1^3))$$

In characteristic 3 the relationship to the Weierstrass form is given as follows.

**Proposition 1.** *A non supersingular elliptic curve  $E$  over  $\mathbb{F}_q$  may be written on Hesse form if and only if it has a non trivial 3-torsion point. If  $E$  has a non trivial 3-torsion point, it may be written as  $Y^2 = X^3 + X^2 + a_6$  on affine Weierstrass form and as  $x^3 + y^3 + 1 = dxy$  on affine Hesse form. Here  $a_6 = -1/d^3$  ( $d^3 = -1/a_6$  has a unique solution in  $\mathbb{F}_q$ ) the isomorphism is given by  $X \mapsto -(1/d)(x + y)$  and  $Y \mapsto -(1/d)(x - y)$  and  $j(E) = -1/a_6 = d^3$ .*

*Proof.* See [12, Lemma 1]. □

**2.3. Point counting and the canonical lift.** Let  $E$  be an elliptic curve over  $\mathbb{F}_q$ . The number of  $\mathbb{F}_q$  rational points is given by  $\#E(\mathbb{F}_q) = q + 1 - t$  where  $t = \text{Tr}(F)$  is the trace of Frobenius. By Hasse's theorem,  $|t| \leq 2\sqrt{q}$ .

Satoh introduced the idea of computing the number of points of an elliptic curve over a finite field by lifting the Verschiebung  $\widehat{F}$  to  $R$ . The canonical lift  $\mathcal{E}$  of an ordinary elliptic curve  $E$  defined over  $\mathbb{F}_q$  is an elliptic curve over  $K$  satisfying the properties that (1) the reduction of  $\mathcal{E} \pmod{3}$  is  $E$  and (2) that  $\text{End}(\mathcal{E}) \cong \text{End}(E)$ .

Deuring [1] has shown that the canonical lift exist and is unique up to isomorphism. Denote by  $\mathcal{F} : \mathcal{E} \rightarrow \mathcal{E}$  the lift of  $F$ . The idea of Satoh is to compute the trace by passing to the formal group using the following proposition:

**Proposition 2.** *Let  $\mathcal{E}$  be an elliptic curve over  $K$  and let  $f \in \text{End}_K(\mathcal{E})$  be of degree  $d$ . Denote by  $\tau$  the formal parameter of  $\mathcal{E}$  at  $O$  and assume that the reduction  $\pi(f)$*

of  $f$  modulo 3 is separable and that  $f(\ker \pi) \subseteq \ker \pi$ . Let  $\hat{f}(\tau) = c\tau + O(\tau^2)$  be the homomorphism induced by  $f$  on the formal group  $\mathcal{E}$ . Then  $\text{Tr}(f) = c + \frac{d}{c}$ .

However; since the Frobenius endomorphism is inseparable, one cannot apply the proposition to  $\mathcal{F}$  directly, but for a non-supersingular elliptic curve, the dual of Frobenius is separable, and we have that  $\text{Tr}(F) = \text{Tr}(\hat{F}) = \text{Tr}(\hat{\mathcal{F}})$ .

### 3. COMPUTING THE CANONICAL LIFT

For  $d \in \mathbb{F}_q$  we denote by  $E = E_d$  the corresponding elliptic curve  $x^3 + y^3 + z^3 = dxyz$  on Hesse form. We will assume that  $d \notin \mathbb{F}_{3^2}$ . In this section we will show how to obtain the canonical lift from a sequence  $\{D_i\}$  solving a particular recurrence relation in  $R$ . To proceed it is convenient to assure that the recurrence relation has a solution in  $R$ :

**Lemma 1.** *Given  $D_i \in R$  lifting  $d^{3^i}$ , there exists uniquely a  $D_{i+1} \in R$  satisfying*

$$(D_{i+1} + 6)^3 - (D_{i+1}^2 + 3D_{i+1} + 9)D_i^3 = 0$$

and  $D_{i+1} \bmod 3 = d^{3^{i+1}}$ .

*Proof.* Let  $f(z) = (z+6)^3 - (z^2+3z+9)D_i^3$ . We get  $f'(z) = 3(z+6)^2 + (2z+3)D_i^3$ . Let  $z_1 \in R$  be any lift of  $d^{3^{i+1}}$ . Then  $f(z_1) \bmod 3 = (d^{3^{i+1}})^3 - (d^{3^{i+1}})^2(d^{3^i})^3 = d^{3^{i+2}} - d^{2 \cdot 3^{i+1} + 3^{i+1}} = 0 \bmod 3$  and  $f'(z_1) \bmod 3 = 2d^{3^{i+1}}(d^{3^i})^3 = 2d^{2 \cdot 3^{i+1}} \neq 0$ . By Hensel's lemma there exists a unique  $D_{i+1} = z_\infty \in R$  such that  $D_{i+1} \bmod 3 = d^{3^{i+1}}$  and  $f(z_\infty) = 0$  in  $R$ .  $\square$

In order to define a 3-isogeny  $E_{D_{i+1}} \rightarrow E_{D_i}$  we consider the map  $\mathbb{P}_R^2 \rightarrow \mathbb{P}_R^3$  given by

$$(x, y, z) \mapsto (y^2z + z^2x + x^2y, y^2x + z^2y + x^2z, xyz, x^3 + y^3 + z^3)$$

where  $(x, y, z)$  is sent to the four polynomials  $u, v, w$  and  $t$  which are invariant under a cyclic permutation of the variables. Note that

$$(3.1) \quad u^3 + 9w^3 - 6uvw + v^3 + 3w^2t + uvt + wt^2 = 0$$

and that the sub-group  $\Lambda = \{(1, -1, 0), (0, 1, -1), (-1, 0, 1)\} \subseteq E[3]$  of 3-torsion points, are mapped to a single point. Assume that  $x^3 + y^3 + z^3 = D_{i+1}xyz$ . Then we have  $t = D_{i+1}v$ . Substituting this into (3.1) and using Lemma 1, we get

$$u^3 + v^3 + \left(\frac{D_{i+1} + 6}{D_i}w\right)^3 - (D_{i+1} + 6)uvw = 0.$$

Setting  $r = \frac{D_{i+1} + 6}{D_i}w$  we get  $u^3 + v^3 + r^3 = D_{i+1}uvr$ . In fact, we have

**Proposition 3.** *The map above gives a 3-isogeny  $\phi_i : E_{D_{i+1}} \rightarrow E_{D_i}$  reducing to the dual  $\hat{\sigma} : E_{d^{3^{i+1}}} \rightarrow E_{d^{3^i}}$  of the 3-Frobenius over  $\mathbb{F}_q$ , such that  $\ker \phi_i = \Lambda$ .*

*Proof.* From the above we get a map  $E_{D_{i+1}} \rightarrow E_{D_i}$  given by

$$(x, y, z) \mapsto (y^2z + z^2x + x^2y, y^2x + z^2y + x^2z, \frac{D_{i+1} + 6}{D_i}xyz).$$

Reducing to  $\mathbb{F}_q$  and composing with the 3-Frobenius we get

$$(x, y, z) \mapsto (y^6z^3 + z^6x^3 + x^6y^3, y^6x^3 + z^6y^3 + x^6z^3, d^{2 \cdot 3^i}x^3y^3z^3).$$

On the other hand on calculates from (2.1) and (2.2) that multiplication by 3 is given by

$$(x, y, z) \mapsto (y^6 z^3 + y^3 x^6 + z^6 x^3, y^3 z^6 + y^6 x^3 + z^3 x^6, xyz(x^6 + y^6 + z^6 - y^3 z^3 - y^3 x^3 - z^3 x^3)),$$

To see that these two maps are equal we calculate

$$\begin{aligned} d^{2 \cdot 3^i} x^3 y^3 z^3 &= d^{2 \cdot 3^i} (xyz) \left( (1/d^{3^i}) (x^3 + y^3 + z^3) \right)^2 \\ &= xyz(x^6 + y^6 + z^6 + 2y^3 z^3 + 2y^3 x^3 + 2z^3 x^3) \\ &= xyz(x^6 + y^6 + z^6 - x^3 y^3 - x^3 z^3 - y^3 z^3) \end{aligned}$$

Since degree is invariant under reduction it follows that  $E_{D_{i+1}} \rightarrow E_{D_i}$  has degree 3. Since  $3 = \deg \phi_i \geq \# \ker \phi_i$  and since  $\Lambda \subseteq \ker \phi_i$ , we have  $\ker \phi_i = \Lambda$ .  $\square$

Let  $\mathcal{E}$  denote the canonical lift of  $E_d$  and denote by  $\mathcal{E}^{(i)} := \Sigma^i \mathcal{E}$  the elliptic curve obtained by applying  $\Sigma^i$  to the coefficient of the equation defining  $\mathcal{E}$ . Note that  $\mathcal{E}^{(nk)} \cong \mathcal{E}$  since  $\Sigma^n = \text{id}$ . By the following corollary we can compute the  $j$ -invariant of the canonical lift and its conjugates  $\mathcal{E}^{(i)}$  to arbitrary precision.

**Corollary 1.** *Assume that  $d \in \mathbb{F}_q \setminus \mathbb{F}_{3^2}$ . Then  $j(E_{D_i}) \equiv j(\mathcal{E}^{(i)}) \pmod{3^{i+1}}$ .*

*Moreover; there exists  $D_i^\infty$  such that  $j(E_{D_i^\infty}) = j(\mathcal{E}^{(i)})$ ,  $D_i \equiv D_i^\infty \pmod{3^i}$  and  $D_i^\infty = D_{i \bmod n}^\infty$ .*

*Proof.* Note that  $j(E_{D_{i+1}}) \pmod{3} = d^{3^{i+1}}$  and that  $j(E_{D_i}) \pmod{3} = d^{3^i}$ . The proof is by induction. Since the case  $i = 0$  is clear, we assume that  $j(E_{D_i}) \equiv j(\mathcal{E}^{(i)}) \pmod{3^{i+1}}$ . From proposition 3 there is a 3-isogeny  $E_{D_{i+1}} \rightarrow E_{D_i}$ . From Theorem 5.3.5 in [6] we have  $\Phi_3(j(E_{D_{i+1}}), j(E_{D_i})) = 0$  where  $\Phi_3$  is the modular polynomial of degree 3. We also have  $\Phi_3(j(\mathcal{E}^{(i+1)}), j(\mathcal{E}^{(i)})) = 0$ . Note that  $j(E_{D_{i+1}}) \equiv j(\mathcal{E}^{(i+1)}) \pmod{3}$ . By using the Kronecker relation for  $\Phi_3$  we get  $\partial\Phi_3/\partial X = X^3 - Y \pmod{3}$ ,  $\partial\Phi_3/\partial Y = Y^3 - X \pmod{3}$ , and  $(\partial\Phi_3/\partial X)(j(E_{D_{i+1}}), j(E_{D_i})) \equiv j(E_{D_{i+1}})^3 - j(E_{D_i}) \equiv (d^{3^{i+1}})^3 - d^{3^i} \equiv (d^9)^{3^i} - d^{3^i} \pmod{3}$ . Since we have unique third roots in  $\mathbb{F}_q$  we have  $(d^9)^{3^i} - d^{3^i} = 0$  if and only if  $d^9 - d = 0$  if and only if  $d \in \mathbb{F}_{3^2}$ . Thus by assumption, we have  $(\partial\Phi_3/\partial X)(j(E_{D_{i+1}}), j(E_{D_i})) \not\equiv 0 \pmod{3}$ . On the other hand we have  $(\partial\Phi_3/\partial Y)(j(E_{D_{i+1}}), j(E_{D_i})) \equiv j(E_{D_i})^3 - j(E_{D_{i+1}}) \equiv (d^{3^i})^3 - d^{3^{i+1}} \equiv 0 \pmod{3}$ . Now Proposition 2 in [14] show that  $j(E_{D_{i+1}}) \equiv j(\Sigma^{i+1} \mathcal{E}) \pmod{3^{i+2}}$ .

For the second part, we note that for any  $t \in K$ ,

$$j(E_t) = \frac{t^3(t^3 - 216)^3}{t^9 + 81t^6 + 2187t^3 + 19683},$$

see [2]. From the equation  $j(E_t) = j(\mathcal{E}^{(i)})$ , we get by multiplying with the denominator a polynomial  $h_i(t) \in R[t]$ . We find that  $h'_i(t) = 3t^{11} \pmod{3^2}$  and we get  $h'_i(D_{i+n(k+1)}) \not\equiv 0 \pmod{3^2}$ . Since  $h_i(D_i) \equiv 0 \pmod{3^{i+1}}$ , by Hensel's lemma ( $i > 1$ ) there exists a unique  $D_i^\infty$  such that  $h_i(D_i^\infty) = 0$  and  $D_i \equiv D_i^\infty \pmod{3^i}$ . We note that  $D_i^\infty = D_{i \bmod n}^\infty$  since  $h_i(t) = h_{i \bmod n}(t)$ .  $\square$

#### 4. COMPUTING THE TRACE OF FROBENIUS

To find the trace of Frobenius, we consider the canonical lift to  $R$  and pass to the formal group. We will approximate the canonical lift by the  $E_{D_i}$  defined in the

previous section, and the lift of the dual of  $\sigma$  will be approximated by  $E_{D_{i+1}} \rightarrow E_{D_i}$ . We compute the induced morphism on the formal group up to first order:

**Lemma 2.** *The completion of the local ring of  $E_{D_i}$  (over  $K$ ) in  $O = (1, -1, 0)$  is given as  $K[[\tau]]$  where  $\frac{y}{x} = \tau - 1$  and  $\frac{z}{x} = -\frac{3\tau}{D_i} + O(\tau^3)$ . The isogeny  $E_{D_{i+1}} \rightarrow E_{D_i}$  induces  $K[[\tau_i]] \rightarrow K[[\tau_{i+1}]]$  given by  $\tau_i \mapsto \left(1 + \frac{6}{D_{i+1}}\right) \tau_{i+1} + O(\tau_{i+1}^2)$ .*

*Proof.* We substitute  $\frac{y}{x} = \tau - 1$  and  $\frac{z}{x} = -3\tau/D$  in  $\frac{y^3}{x^3} + \frac{z^3}{x^3} + 1 - D\frac{y}{x}\frac{z}{x}$ :

$$1 + (\tau - 1)^3 + (-3\tau/D)^3 - D(\tau - 1)(-3\tau/D) \equiv 0 \pmod{\tau^3}.$$

From the map (see proof of Proposition 3)

$$(x, y, z) \mapsto (y^2z + z^2x + x^2y, y^2x + z^2y + x^2z, \frac{D_{i+1} + 6}{D_i}xyz)$$

we calculate

$$\begin{aligned} \tau_i &= \frac{y^2x + z^2y + x^2z}{y^2z + z^2x + x^2y} + 1 = \frac{\left(\frac{y}{x}\right)^2 + \left(\frac{z}{x}\right)^2 \frac{y}{x} + \frac{z}{x}}{\left(\frac{y}{x}\right)^2 \frac{z}{x} + \left(\frac{z}{x}\right)^2 + \frac{y}{x}} + 1 \\ &= \frac{(\tau_{i+1} - 1)^2 + (-3\tau_{i+1}/D_{i+1})^2(\tau_{i+1} - 1) + (-3\tau_{i+1}/D_{i+1})}{(\tau_{i+1} - 1)^2(-3\tau_{i+1}/D_{i+1}) + (-3\tau_{i+1}/D_{i+1})^2 + (\tau_{i+1} - 1)} + 1 \\ &= 1 + \frac{6}{D_{i+1}}\tau_{i+1} + O(\tau_{i+1}^2) \end{aligned}$$

□

Consider the canonical lift  $\mathcal{E}$  of  $E = E_d$  given by  $x^3 + y^3 + z^3 = dxyz$  over  $\mathbb{F}_q$  where  $q = 3^n$  and  $d \in \mathbb{F}_q \setminus \mathbb{F}_{3^2}$ . To compute the trace of Frobenius  $\text{Tr}(F)$ , we consider the dual  $\widehat{\mathcal{F}}$ , see Section 2.3, as the composition

$$\mathcal{E} = \Sigma^n \mathcal{E} \rightarrow \Sigma^{n-1} \mathcal{E} \rightarrow \dots \rightarrow \Sigma^2 \mathcal{E} \rightarrow \Sigma \mathcal{E} \rightarrow \mathcal{E}.$$

We can approximate the map  $\widehat{\Sigma} : \Sigma^{i+1} \mathcal{E} \rightarrow \Sigma^i \mathcal{E}$  by the map  $E_{D_{i+1+nk}} \rightarrow E_{D_{i+nk}}$ , where  $\{D_i\}_{i=0}^\infty$  are in  $R$  such that  $D_i \pmod{3} = d^{3^i}$ , see Lemma 1. By Corollary 1, Proposition 2 and Lemma 2 we get that (setting  $k = 1$ ),

$$\text{Tr}(F) \equiv \prod_{i=1}^n (1 + 6/D_{i+n}) \pmod{q}.$$

From Corollary 1, we also have that  $\Sigma^i D_{nk} \equiv D_{i+nk} \pmod{q^k}$  and we get

$$\text{Tr}(F) \equiv \prod_{i=1}^n \Sigma^i (1 + 6/D_n) \equiv N_{K/\mathbb{Q}_3} \left(1 + \frac{6}{D_n}\right) \pmod{q}.$$

From Hasse's Theorem,  $|\text{Tr}(F)| \leq 2\sqrt{q}$ , so this is sufficient to determine  $\text{Tr}(F)$ . In fact, it suffice to compute  $\text{Tr}(F)$  modulo  $3^m$  where  $m = \lceil \frac{n}{2} \rceil + 2$ , using

$$\text{Tr}(F) \equiv \prod_{i=0}^{n-1} (1 + 6/D_{i+m}) \equiv \prod_{i=0}^{n-1} \Sigma^i (1 + 6/D_m) \equiv N_{K/\mathbb{Q}_3} \left(1 + \frac{6}{D_m}\right) \pmod{3^m}.$$

In the next section we consider possible algorithms for counting the number of points on the elliptic curve using these identities.

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**Algorithm 1** Calculate the trace of Frobenius of a Hessian elliptic curve over  $\mathbb{F}_q$

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**Require:** An elliptic curve on Hesse form over  $\mathbb{F}_q$  given by  $d \in \mathbb{F}_q \setminus \mathbb{F}_{3^2}$ , and a lift

$D_0 \in \mathbb{Z}_q$  of  $d$ .

**Ensure:** The trace of Frobenius  $t = \#E(\mathbb{F}_q) - q + 1$ .

$m \leftarrow \lceil \frac{n}{2} \rceil + 2$

**for**  $i = 1$  to  $m$  **do**

solve  $((D_{i+1} + 6)^3 - (D_{i+1}^2 + 3D_{i+1} + 9)D_i^3, D_{i+1}) \bmod 3^i$

**end for**

$t \leftarrow (1 + 6/D_m)$

**for**  $i = m + 1$  to  $n + m - 1$  **do**

solve  $((D_{i+1} + 6)^3 - (D_{i+1}^2 + 3D_{i+1} + 9)D_i^3, D_{i+1}) \bmod 3^m$

$t \leftarrow t \cdot (1 + 6/D_i) \bmod 3^{m+1}$

**end for**

**if**  $t > 2\sqrt{3^n}$  **then**

$t \leftarrow t - 3^m$

**end if**

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**Algorithm 2** Calculate the trace of Frobenius of a Hessian elliptic curve over  $\mathbb{F}_q$

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**Require:** An elliptic curve on Hesse form over  $\mathbb{F}_q$  given by  $d \in \mathbb{F}_q \setminus \mathbb{F}_{3^2}$ , and a lift

$D_0 \in \mathbb{Z}_q$  of  $d$ .

**Ensure:** The trace of Frobenius  $t = \#E(\mathbb{F}_q) - q + 1$ .

$m \leftarrow \lceil \frac{n}{2} \rceil + 2$

**for**  $i = 1$  to  $m$  **do**

solve  $((D_{i+1} + 6)^3 - (D_{i+1}^2 + 3D_{i+1} + 9)D_i^3, D_{i+1}) \bmod 3^i$

**end for**

$t \leftarrow N_{K/\mathbb{Q}_3}(1 + 6/D_m) \bmod 3^m$

**if**  $t > 2\sqrt{3^n}$  **then**

$t \leftarrow t - 3^m$

**end if**

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## 5. ALGORITHM

The observations above leads to the algorithms 1 and 2 which we will explain in this section.

**5.1. Brief explanation of the algorithms.** In both algorithms, the operation  $\text{solve}((D_{i+1} + 6)^3 - (D_{i+1}^2 + 3D_{i+1} + 9)D_i^3, D_{i+1}) \bmod 3^{i+1}$  is done by Newton iterations with the function  $f(z) = (z + 6)^3 - (z^2 + 3z + 9)D_i^3$  starting with any lifting of  $d^{i+1}$ . In the first algorithm we use  $t \equiv \prod_{i=0}^{n-1} (1 + 6/D_{i+m}) \bmod 3^m$  and in the second algorithm we use  $t \equiv N_{K/\mathbb{Q}_3}(1 + \frac{6}{D_m}) \bmod 3^{m+1}$ . Since  $1 + \frac{6}{D_m} \in 1 + 3R$  in the notation of [10, Section 3], we may use [10, Algorithm 2] to compute  $N_{K/\mathbb{Q}_3}(1 + \frac{6}{D_m})$  efficiently. This algorithm is based on the identity

$$N_{K/\mathbb{Q}_3}(x) = \exp(\text{Tr}_{K/\mathbb{Q}_3}(\log x))$$

when  $x \in 1 + 3R$ . Note however that [10, Algorithm 2] is not as efficient in characteristic three as in characteristics two.

**5.2. Comments on the complexity.** Optimally done, one needs  $O(n)$  multiplications in  $R$  in algorithm 1. Each multiplication in  $R$  needs  $O(n^{2\mu})$  bit operations where  $\mu$  depends on the implementation. This gives totally  $O(n^{2\mu+1})$  bit operations. See [8] and [4] for possible values of  $\mu$  for practical implementations of field arithmetic in characteristic three.

Algorithm 2 have the same total complexity but may be more efficient due to fast norm computation, see [10].

## 6. AN EXAMPLE

To give a simple example, we consider  $\mathbb{F}_{3^4}$  represented as  $\mathbb{F}_3[x]/(x^4 + x^2 + 2)$ , and consider the curve defined by  $d = c^3 + c + 1$ , where  $c$  is the class of  $x$ . We get:

$$\begin{aligned}
 D_0 & c^3 + c + 1 \\
 D_1 & (1 + 2 \cdot 3^3)c^3 + (3 + 2 \cdot 3^2)c^2 + (2 + 3 + 2 \cdot 3^3)c + (1 + 3 + 2 \cdot 3^2) \\
 D_2 & (2 + 3 + 3^2)c^3 + (2 \cdot 3 + 3^2 + 2 \cdot 3^3)c^2 + (2 + 3 + 3^2 + 3^3)c + (1 + 3 + 2 \cdot 3^2) \\
 D_3 & (2 + 2 \cdot 3 + 3^2)c^3 + (3 + 3^2 + 2 \cdot 3^3)c^2 + (1 + 3 + 2 \cdot 3^2 + 3^3)c + (1 + 2 \cdot 3) \\
 D_4 & (1 + 3 + 3^2 + 3^3)c^3 + (2 \cdot 3 + 3^2)c^2 + (1 + 3 + 3^2 + 3^3)c + (1 + 3 + 2 \cdot 3^2) \\
 D_5 & (1 + 3^2 + 2 \cdot 3^3)c^3 + (3 + 3^2 + 2 \cdot 3^3)c^2 + (2 + 3 + 3^3)c + (1 + 2 \cdot 3) \\
 D_6 & (2 + 3 + 3^2 + 3^3)c^3 + (2 \cdot 3 + 3^2)c^2 + (2 + 3 + 3^2 + 3^3)c + (1 + 3 + 2 \cdot 3^2) \\
 D_7 & (2 + 2 \cdot 3 + 3^2)c^3 + (3 + 3^2 + 2 \cdot 3^3)c^2 + (1 + 3 + 2 \cdot 3^2 + 3^3)c + (1 + 2 \cdot 3) \\
 D_8 & (1 + 3 + 3^2 + 3^3)c^3 + (2 \cdot 3 + 3^2)c^2 + (1 + 3 + 3^2 + 3^3)c + (1 + 3 + 2 \cdot 3^2)
 \end{aligned}$$

We compute

$$\begin{aligned}
 & (1 + \frac{6}{D_4})(1 + \frac{6}{D_5})(1 + \frac{6}{D_6})(1 + \frac{6}{D_7}) \bmod q \\
 & = 1 + 2 \cdot 3 + 2 \cdot 3^2 + 2 \cdot 3^3 \bmod q \\
 & = 79 \bmod q
 \end{aligned}$$

From the Theorem of Hasse we conclude that  $t = 79 - 3^4 = -2$ . This gives

$$\#E = q + 1 - t = 81 + 1 - (-2) = 84$$

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