

1 **Characterization and prebiotic activity *in vitro* of inulin-type**
2 **fructan from *Codonopsis pilosula* roots**

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28 **Abstract**

29 The inulin-type fructan was obtained by DEAE anion exchange chromatography from
30 *C. pilosula* Nannf. var. *modesta* (Nannf.) L. T. Shen, after optimized extract condition,
31 which was established by response surface methodology, designed using
32 Box-Behnken factorial design and the optimum condition were: extracting 2.5 h with
33 ratio of solvent to material 40 mL/g at 100 °C, twice. The maximum extraction yield
34 was 20.6±0.2%. It was confirmed as β-(2-1) linkage fructan, with terminal glucose,
35 and with a degree of polymerization of 2-17 (DP_{av}=6), shown by the results of
36 methanolysis, methylation, nuclear magnetic resonance and molecular weight
37 determination. The prebiotic activity was proven on account of stimulation effect on
38 *Lactobacillus* and pH reduction of medium *in vitro*. The results indicated that the
39 inulin from *C. pilosula* could be used as a potential natural source of prebiotics.

40 **Keywords**

41 Inulin-type fructan; Optimization; *Codonopsis pilosula*; Prebiotic activity

42

43 **1 Introduction**

44 Radix *Codonopsis*, the root of *Codonopsis pilosula* (Franch) Nannf, *C. pilosula* Nannf.
45 var. *modesta* (Nannf.) L. T. Shen, and *C. tangshen* Oliv, is a traditional herbal
46 medicine in Asian countries, and used as a replacement of ginseng (*Panax ginseng*)
47 because of the similar pharmacological activities, such as immunological
48 enhancement, delaying senility, lowering blood pressure and anti-ulcer (Fan &
49 Hong, 2016; Tsai et al., 2013). The polysaccharide of *C. pilosula* is one of the major
50 contributors to the biological activity (Feng et al., 2017; Guo, 2015), which may for
51 instance inhibit intestinal mucositis and ulcerative colitis (Chen, 2016; Zhou et al.,
52 2016), adjust the microecological imbalance (Chen, 2016; Wang, 2010), prevent
53 tumor growth (Xin et al., 2012; Yang et al, 2013), modulate immune system functions
54 (Zhang, 2015), and inflammation-promoting (Chu et al., 2016).

55 *C. pilosula* Nannf. var. *modesta* (Nannf.) L. T. Shen contains higher amount of
56 polysaccharides than the other two *Codonopsis* species (Wang, Chen, Zou, Qin, &
57 Zhu, 2016). At present, the optimum extraction condition of total polysaccharides
58 (Zou, Chen, Yang, & Liu, 2011) structural elucidation and complement fixating
59 activity of pectic polysaccharides have been investigated (Zou et al., 2014). However,
60 there are few studies on neutral polysaccharides, especially inulin, being one of the
61 microscopic identification indexes of identification of traditional Chinese medicine
62 (TCM) powder to appraise the quality of *C. pilosula* (Yang, Li, Wei, Wang, & Li,
63 2011). Many studies represented the neutral polysaccharides of the other two species
64 (Han, Cheng, & Chen, 2005; Ren, Zhang, Liu, & Sun, 2008; Zhang et al., 2005), only
65 Liu et al. (2016) and Ye et al. (2005) indicated that the neutral polysaccharide from *C.*
66 *pilosula* (Franch.) Nannf was fructan. There is no report on fructan from *C. pilosula*
67 Nannf. var. *modesta* (Nannf.) L. T. Shen.

68 Inulin is composed of linear polymer of D-fructose with β -(2-1) fructosyl-fructose
69 linkage, and terminal α -glucose. It was usually used as the replacement of sugar to
70 persons suffering from obesity, diabetes and hyperlipidemia (Kelly, 2008; Tsurumaki
71 et al., 2015; Yu et al., 2018). Besides, there are other pharmaceutical actions of inulin,
72 such as intestinal flora modulation (Vandeputte et al., 2017), immunological

73 enhancement (Masanetz, Preißinger, Meyer, & Pfaffl, 2011; Vogt et al., 2015),
74 prophylactic and therapeutic potential of inflammatory bowel diseases (IBD) (Leenen
75 & Dieleman, 2007), antioxidant (Shang et al., 2018), anti-cancer and
76 hepato-protective (Corrêa-Ferreira et al., 2017; Mensink, Frijlink, van der Voort
77 Maarschalk, & Hinrichs, 2015). It could reach to the colon, not being enzymatic
78 digested in the upper gastrointestinal tract, and is fermented to the short-chain fatty
79 acids (SCFA) by the beneficial bacteria, ameliorating the dysfunction of the
80 gastrointestinal tract, explaining the prebiotic activity (Shoaib et al., 2016; Wilson &
81 Whelan, 2017; Yin, Fu, & Zhao, 2018). At the same time, the probiotics have the
82 potential benefits of maintenance of mucosal nutrition and circulation, competition
83 with harmful bacteria by colonization, production of antimicrobial substance, such as
84 SCFA and bacteriocins, and modulation of the immune system, especially mucosal
85 immunity (Moreno-Vilet et al., 2014; Meyer & Stassewolthuis, 2009), with potential
86 therapy for IBD (Loh & Blaut, 2012).

87 As mentioned above, inulin-type fructan can selectively stimulate the enteric
88 microorganism, especially *Lactobacillus* and *Bifidobacterium*, decreasing pH,
89 restraining the colonization of harmful bacteria, preventing our body from pathogeny
90 (Paßlack, Al-Samman, Vahjen, Männer, & Zentek, 2012). Considering the rare study
91 of inulin from *C. pilosula*, the objective of the present study was to optimize the
92 extraction and characterize the inulin, and evaluate the preliminary prebiotic activity
93 *in vitro*.

94 **2 Materials and Methods**

95 **2.1 Materials and reagents**

96 The roots of *C. pilosula* were gathered from Jiuzhaigou County, Sichuan Province,
97 China, and identified as *C. pilosula* Nannf. var. *modesta* (Nannf.) L. T. Shen by
98 Yuan-feng Zou of Sichuan Agricultural University. A specimen (NO.20161015) is
99 deposited in the College of Veterinary Medicine, Sichuan Agricultural University.

100 The MRS medium (HB0384-1), peptone (HB8276), tryptone (HB8270) were
101 purchased from Hopebio Biotechnology Co., Ltd (Qingdao, China); the yeast extract
102 powder (JM-500) was purchased from Biotopped Science and Technology Co., Ltd

103 (Beijing, China); the McIntosh Turbidimetric tube (G60346) was obtained from
104 Wenzhou Kangtai Biotechnology Co., Ltd (Zhejiang, China); the standard
105 fructooligosaccharide (QHT-FOS-P95S) and inulin (Orafti®HP) were purchased from
106 Quantum Hi-Tech Biological Co., Ltd (Jiangmen, China) and Beneo-Orafti (Belgium),
107 respectively.

108 The standard of fructose (Fru) and glucose (Glc) were purchased from Solarbio
109 (Beijing, China). All other chemicals, such as phenol, sulfuric acid, acetone, boric
110 acid, glycerin, etc., were of analytical grade, obtained from the Chengdu Kelong
111 chemical factory (Chengdu, China).

112 **2.2 Extraction and determination of fructan from *C. pilosula***

113 Two hundred gram of *C. pilosula* were dried in drying oven (DHG-9420A, Yi-heng
114 technology Co., Ltd, shanghai, China) at 50 °C (183.54 g) and pulverized to a fine
115 powder by a mechanical grinder, then passed through 0.25 mm mesh. After extraction
116 by refluxing 96% ethanol to remove low molecular weight and lipophilic compounds,
117 the residue (175.80 g) was dried for further studies. Dried material (1.0 g) was
118 extracted with distilled water with different extraction conditions. The aqueous
119 extracts were mixed, adding distilled water to 100 mL, then the samples were
120 determined for fructan concentration by the phenol-acetone-boric acid reagent (PABR)
121 assay, which was described by Boratyński (1984), modified by Chaplin (1994), with
122 high sensitivity (0.1-9 µg fructose in 100 µL) and selectivity (<1% from non-ketose
123 carbohydrate) (Inngjerdigena et al., 2012). The extraction yield of fructan was
124 calculated according to the ratio of the amount of fructan (g) to the original material
125 (g).

126 **2.3 Design of extraction conditions**

127 2.3.1 Single-factor experiments

128 The optimum extraction condition of *C. pilosula* fructan was measured by
129 single-factor experiments and response surface method (RSM); details were as
130 follows: the single-factor experiment was executed in a designed extraction
131 temperature (range from 50 to 100 °C), ratio of solution to material (range from 10 to
132 60 mL/g) and extraction time (range from 0.5 to 3 h) (Apolinário et al., 2014; Kang et

133 al., 2018), keeping only one factor constant, with 1.0 g of *C. pilosula* defatted root
 134 powder for each experiment, each group in triplicate. Immediately after extraction, the
 135 yield of fructan present in *C. pilosula* defatted root powder was determined with the
 136 method described above.

137 2.3.2 Optimization of extraction conditions by BBD

138 At the base of single-factor experiment, a Box-Behnken factorial design (BBD), with
 139 three-level-three-factors, was employed (Table 1). These factors are mentioned above,
 140 the extraction temperature (X_1), the ratio of solvent to material (X_2) and extraction
 141 time (X_3), were designed using SAS. JMP. 13.0 software (Statistical analysis system,
 142 USA).

143 The variables were coded according to

$$144 X_i = \frac{x_i - x_0}{\Delta x} \quad (1)$$

145 where X_i is the coded value of the variable x_i , x_0 is the value of x_i at the central point,
 146 and Δx is the amplitude of variation. The result were analyzed and fitted to a
 147 second-order polynomial model:

$$148 Y_2 = A_0 + \sum_{i=1}^3 A_i X_i + \sum_{i=1}^3 A_{ii} X_i^2 + \sum_{i=1}^2 \sum_{j=i+1}^3 A_{ij} X_i X_j \quad (2)$$

149 Where Y_2 is response variable (the extraction yield of fructan); A_0 , A_i , A_{ii} and A_{ij} are
 150 intercept, linear, quadratic and interaction coefficients of X_1 , X_2 and X_3 , respectively;
 151 X_i , X_j are the coded independent variables; and the terms of X_i^2 represent the
 152 quadratic terms. Analyses of variance were evaluated by ANOVA procedure, and the
 153 fitness of this predictive model was performed by the coefficient of determination R^2
 154 and the adjusted- R^2 , then checking the statistical significance and regression
 155 coefficients using F-test at a probability (p) of 0.01 or 0.05.

156 **Table 1** Central composite design and the extraction yield of fructan.

Runs	Independent variables						Extraction Yield/%
	Coded level			Uncoded level			
	X_1	X_2	X_3	x_1	x_2	x_3	
1	0	1	-1	90	50	1.5	19.0

2	0	-1	-1	90	30	1.5	17.1
3	-1	0	1	80	40	2.5	18.3
4	0	0	0	90	40	2.0	18.9
5	-1	-1	0	80	30	2.0	17.6
6	-1	0	-1	80	40	1.5	17.0
7	0	1	1	90	50	2.5	19.8
8	0	0	0	90	40	2.0	19.3
9	1	0	1	100	40	2.5	20.4
10	0	-1	1	90	30	2.5	19.4
11	1	-1	0	100	30	2.0	18.8
12	1	0	-1	100	40	1.5	18.5
13	1	1	0	100	50	2.0	19.3
14	0	0	0	90	40	2.0	19.5
15	-1	1	0	80	50	2.0	18.5

157 **2.4 The purification of fructan from *C. pilosula***

158 The fructan was prepared from 100 g *C. pilosula* using the optimum extraction
159 condition obtained in 2.3. The crude extracts were concentrated in a rotary evaporator
160 at 80 rpm, 50°C, 0.09 MPa (RE-3000, Yarong Biochemical Instruments Factory,
161 Shanghai, China) and precipitated by 3-folds volume of ethanol 96% with respect to
162 concentrated extracts, according to Li et al. (2017) and Caleffi et al. (2015). The
163 precipitation was washed with ethanol and acetone, frozen at -80°C, then lyophilized
164 at -55°C using a freeze dryer (TsiStar LycQuest), and named CPP (crude
165 polysaccharides of *C. pilosula*). This was dissolved with 20 mL distilled water,
166 filtered through 0.45 µm filter, and purified by DEAE-Sepharose (Fast Flow, FF)
167 column (50mm×40cm, Beijing Rui Da Heng Hui Science Technology Development
168 Co., Ltd., Beijing, China), an anion-exchange chromatography medium used
169 commonly in fructan separation from other polysaccharides and non-carbohydrate
170 compounds (Apolinário et al., 2014). The neutral fraction was eluted with 1.5-fold
171 column volume (approximately 1 L) distilled water at the speed of 2 mL/min,
172 combining the eluate until no sugar was detected by PABR method. Finally, the eluate
173 was concentrated and lyophilized, nominated as CPPF (the fructan of polysaccharides
174 from *C. picosula*).

175 **2.5 Chemical compositions and linkage determination**

176 The CPPF was subjected to methanolysis with 3 M hydrochloric acids in anhydrous

177 methanol for 24 h at 80°C, obtain the methylglcosides. Then the monosaccharide
178 composition was determined by gas chromatography (GC) after derivatization by
179 hexamethyl disilazane (HMDS) and trimethylchlorosilane (TMS) reaction (Austarheim
180 et al., 2012; Barsett, Paulsen, & Habte, 1992; Zou et al., 2014). The mannitol was
181 added to the samples as the internal standard. Additionally, the presence of Fru was
182 tested with the Urea-HCl colorimetric method (Dedonder, 1952).

183 The glycosidic linkages were determined by methylation. The carrier gas was Helium
184 (pressure control: 80 kPa). The relative amount of each type of linkage was
185 determined based on the area of each compound and related to the molecular weight
186 of each compound (Austarheim et al., 2012; Zou et al., 2014).

187 **2.6 The molecular weight determination**

188 The solution of the CPPF in 0.1 mol/L sodium nitrate (5.8 mg/mL) was applied to a
189 Viscotek TDAmx system (Malvern, UK) equipped with a pair of gel-filtration
190 chromatographic column (7.8 mm*300 mm, Viscotek A6000M, General Mixed,
191 Malvern, England) under a constant flow (1.0 mL/min) of 0.1 mol/L sodium nitrate at
192 30 °C. The injection volume was 100 µL, and the eluate was monitored by Viscotek
193 270max detection system (differential viscometer detector and double-angle (right
194 angle/low angle) light scattering detectors) combined with refractive index (RI)
195 detector (Viscotek VE 3580/ Viscotek 270DUAL, Malvern, England). Gel permeation
196 chromatography (GPC) analysis was performed using OmniSEC 5.0 software. The
197 polyethylene oxide (PEO, $M_w=18670$ Da, 5 mg/mL, obtained from Sigma-Aldrich,
198 1546853) was used as standard.

199 **2.7 The NMR spectroscopy**

200 The ^1H NMR, ^{13}C NMR and DEPT spectra of CPPF were recorded on a Bruker
201 spectrometer (600 MHz) after deuterium exchanged three times by freeze-drying in
202 D_2O (10 mg/mL) and then performed on a Bruker AV600 instrument (Bruker,
203 Rheinstetten, Germany) at 25 °C, labeling these peaks by MestReNova software
204 (Version 6.0.2-5475, 2009, Mestrelab Research S.L., Spain).

205 **2.8 Prebiotic effect**

206 **2.8.1 *Lactobacillus* bacterial strains**

207 The *Lactobacillus buchneri* (BSS1, CCTCC No. AB 2016284), *L. johnsonii* (BS15,
208 CCTCC: M 2013663), *L. plantarum* (BS10, CCTCC:M 2012487), *L. plantarum*
209 (BSGP201683, CCTCC: M2016425) and *L. rhamnosus* GG (LGG, ATCC53103) were
210 gifts from professor Xue-Qin Ni of Animal Microecology Institute, College of
211 Veterinary Medicine, Sichuan Agricultural University, China; *L. johnsonii* (Hjg8,
212 ATCC 33200) was a gift from Dr. Bing-zhao Zhang of Shenzhen Institutes of
213 Advanced Technology, Chinese Academy of Science, China. They were stored at -80
214 °C in MRS medium, with 20% glycerin.

215 **2.8.2 Bacterial growth**

216 The basal (10 g tryptone, 10 g peptone, 5 g yeast extract, 1 mL of Tween 80, 0.5 g
217 L-cysteine hydrochloride, 1 g/L carbohydrate source and 1 L of distilled water, pH 6.5)
218 and MRS medium were autoclaved at 121 °C for 20 min. The CPPF was used as
219 carbon source after filtered through sterile 0.22 µm filter. The P95s (96.1%
220 fructo-oligosaccharides, DP_n 2-9, with 2.7% glucose, fructose and sucrose, product of
221 the partial enzymatic hydrolysis of chicory inulin) and Orafti®HP (99.8% inulin, DP_{av}
222 ≥23, with 0.2% glucose, fructose and sucrose), commercially available prebiotic, were
223 used for comparison with CPPF; and the medium without carbohydrate was used as
224 negative control (Caleffi et al., 2015; Lopes et al., 2017; Lopes et al., 2015; Li et al.,
225 2015).

226 These six strains of lactobacilli were incubated in the 50 mL MRS medium at 37 °C
227 overnight in anaerobic chamber (Thermo Scientific 1029, in 85% N₂, 10% H₂, 5%
228 CO₂), then centrifuged 3500 rpm, 10 min, and resuspended in saline and basal
229 medium, successively, to remove the carbon source. Finally, they were resuspended
230 with basal medium containing these three different carbon sources above (the CPPF
231 and two commercially available prebiotic P95s, Orafti®HP), at a concentration of
232 10⁷-10⁸ CFU/mL (Lopes et al., 2017; Lopes et al., 2015), after adjusted by McIntosh
233 Turbidimetric tube. 5 milliliter bacterial suspensions were divided in test tubes, and
234 then incubated for 0 and 24 h. All test tubes were set in triplicate.

235 Two hundred microliter of the basal medium was added to the 96-wells plates and the
236 density of bacteria were measured at the wavelength of 600 nm (A₆₀₀) using

237 Multiscan Spectrum (Thermo Scientific, Varioskan Flash) after incubated for 0 h and
238 24 h. The bacterial growth was evidenced as the increment in A_{600} (ΔA_{600}) during 24
239 h of incubation in anaerobic chamber.

240 After 24 h of incubation, the pH was measured by pH meter (A115200, Lichen
241 Instrument technology Co. Ltd., Hunan, China) after removing bacteria by
242 centrifuging at 4000 rpm for 20 min. Each tube was tested three times, triplicate each
243 time, making sure high accuracy and precision.

244 **2.9 Statistical analysis**

245 All data obtained above were analyzed by IBM SPSS statistic software (Version 20.0,
246 USA) including the one-way analysis of variance with Duncan's test, presented as the
247 means \pm standard deviation (SD). The difference between groups were evaluated at
248 statistically significant level of $p < 0.05$.

249 **3 Results and discussion**

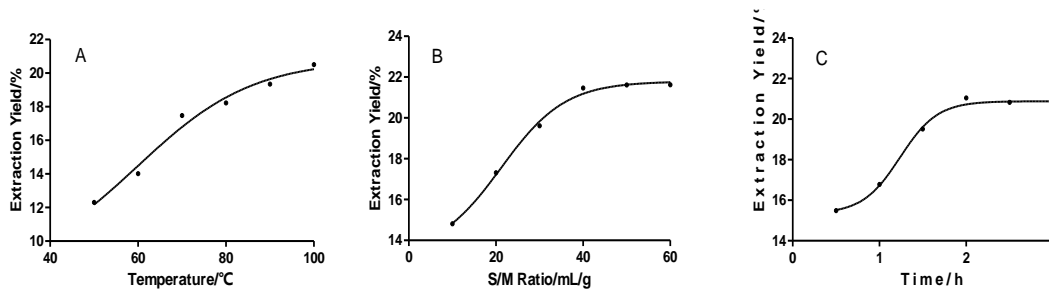
250 **3.1 Effects of temperature, S/M ratio and time on extraction yield of fructan** 251 **from *C. pilosula*.**

252 The temperature was set at 50, 60, 70, 80, 90, 100 °C, at the S/M ratio of 30 mL/g,
253 extracting time 1.5 h, twice. It was observed that the content of fructan increased
254 significantly ($p < 0.05$) with the rise of temperature, close to a liner relation. The
255 highest yield was 20.5% at 100°C (Fig.1A). Since the boiling point of water is 100°C,
256 the range of extraction temperature in BBD was from 80 to 100°C, and the central
257 point was 90 °C.

258 The S/M ratio was changed from 10 to 60 mL/g, with the gradient of 10 mL/g,
259 extracting twice at 100°C and for 1.5 h each time. The results showed that the fructan
260 yield increased significantly ($p < 0.05$) following the rising of S/M ratio at 10-40
261 mL/g (Fig.1B), while the yield of fructan increased only 0.16% from 40 mL/g to 60
262 mL/g (no significant difference). Maybe it was because most of the fructan was
263 extracted from *C. pilosula* at the S/M ratio of 40 mL/g, so that the yield of fructan did
264 not increase with the S/M ratio rising from 40 mL/g to 60 mL/g. Thus, to minimize
265 electricity and time costs for further aqueous solutions concentration, the S/M ratio
266 range of 30–50 mL/g was considered for use in further BBD experiments, and the

267 central point was 40 mL/g.

268 The extraction time was set from 0.5 h to 3 h, at the S/M ratio of 30 mL/g, extracted
269 twice at 100°C. The results showed that the yield of fructan increased significantly (p
270 < 0.05) when extracted from 0.5-2 h, and decreased 0.21-0.38% with a prolonged
271 extraction time (no significant difference) (Fig.1C), indicated that extraction time of
272 1.5 to 2.5 h was to be used for further BBD experiments, with central point of 2.0 h.
273 Thus, the three factors three levels center design using the method of BBD were:
274 extraction temperature 80, 90, 100°C; S/M ratio 30, 40, 50 mL/g; extraction time 1.5,
275 2, 2.5 h.



276

277 **Fig.1.** Effect of different extraction parameters on the yield of fructan from *C. pilosula*. A,
278 extraction temperature (the S/M ratio and extraction time were fixed at 30 mL/g and 1.5 h,
279 respectively); B, S/M ratio (the extraction temperature and time were fixed at 100 °C and 1.5 h,
280 respectively); C, extraction time (the extraction temperature and S/M ratio were fixed at 100 °C
281 and 30 mL/g, respectively). Values are the means of fructan yield (n=3).

282 It is worth mentioning that many previous studies have extracted inulin or
283 fructo-oligosaccharides approximately at the temperature of 80-85°C from chicory
284 roots, the globe artichoke, *Taraxacum kok-saghyz* roots and *Helianthus tuberosus* L.
285 tubers (Apolinário et al., 2014; Hahn et al., 2016). The extraction of inulin with
286 boiling water was frequently used, such as from *Artemisia japonica* (Li et al., 2017)
287 and *Pfaffia glomerata* (Spreng) pedersen roots (Caleffi et al., 2015). Nevertheless, the
288 optimal extraction condition was not indicated in their studies. Rubel et al. (2017)
289 optimized the extraction of inulin from *Jerusalem artichoke* tubers, which was
290 extracted at 76 °C, employing a solid:solvent ratio of 1:16 for 90 min. Banerjee,
291 Chowdhury, and Bhattacharya (2017) demonstrated that the optimal conditions of

292 inulin from *Pennisetum glaucum* were 70 °C, HCl concentration of 0.8 M and heating
 293 period of 60 min. In our study, the yield of fructan increased from 18.2% (80 °C) to
 294 20.5% (100 °C), with S/M ratio of 30 mL/g, disparate in their results, maybe it was
 295 because the variety, harvest time and storage temperature of material
 296 (Saengthongpinit & Sajjaanantakul, 2005), the ratio of S/M, and the content of inulin.

297 **3.2 Optimization of extraction yield using RSM**

298 3.2.1 Model fitting

299 The variance analysis, including coefficient of variation, predicted residual, R-squared
 300 (R^2), adjusted R-squared ($Adj-R^2$), were calculated to check the adequacy and
 301 accuracy of the developed models (Jiao et al., 2017).

302 Applying multiple regression analysis on the experimental data, the response variable
 303 and the test variable were fitting the following second-order polynomial equation:

$$\begin{aligned}
 Y = & 19.2367 + 0.6975 * X_1 + 0.4756 * X_2 + 0.7981 * X_3 - 0.1 * X_1 * X_2 + 0.17 * X_1 * X_3 \\
 & - 0.37375 * X_2 * X_3 - 0.4715 * X_1^2 - 0.2152 * X_2^2 - 0.2202 * X_3^2
 \end{aligned}$$

304
 305 (3)

306 The multivariate regression relationship between the dependent variable and the
 307 independent variables was significant, declaring that the model was fitting well, could
 308 represent the actual relationship between the experimental results and the theoretical
 309 values, as the $R^2 = 0.95$, $R^2_{Adj} = 0.87$, $F = 11.0734$, $p = 0.0082$ ($p < 0.01$). Besides, the
 310 probability of lack of fit was 0.4124 ($p > 0.05$), showed the model was less affected
 311 by the other factors, and could simulate and predicate the change of extraction yield
 312 with high degree of precision and credibility. In conclusion, this model equation could
 313 explain most of the variability of the date based on these indexes.

314 **Table 2** Regression coefficients for three dependent variables.

Regression coefficients	p
X_1	0.00259**
X_2	0.01277*
X_3	0.00142**
$X_1 * X_3$	0.38220
$X_1 * X_2$	0.59752

$X_2 * X_3$	0.08913
X_1^2	0.05116
X_2^2	0.29664
X_3^2	0.28679

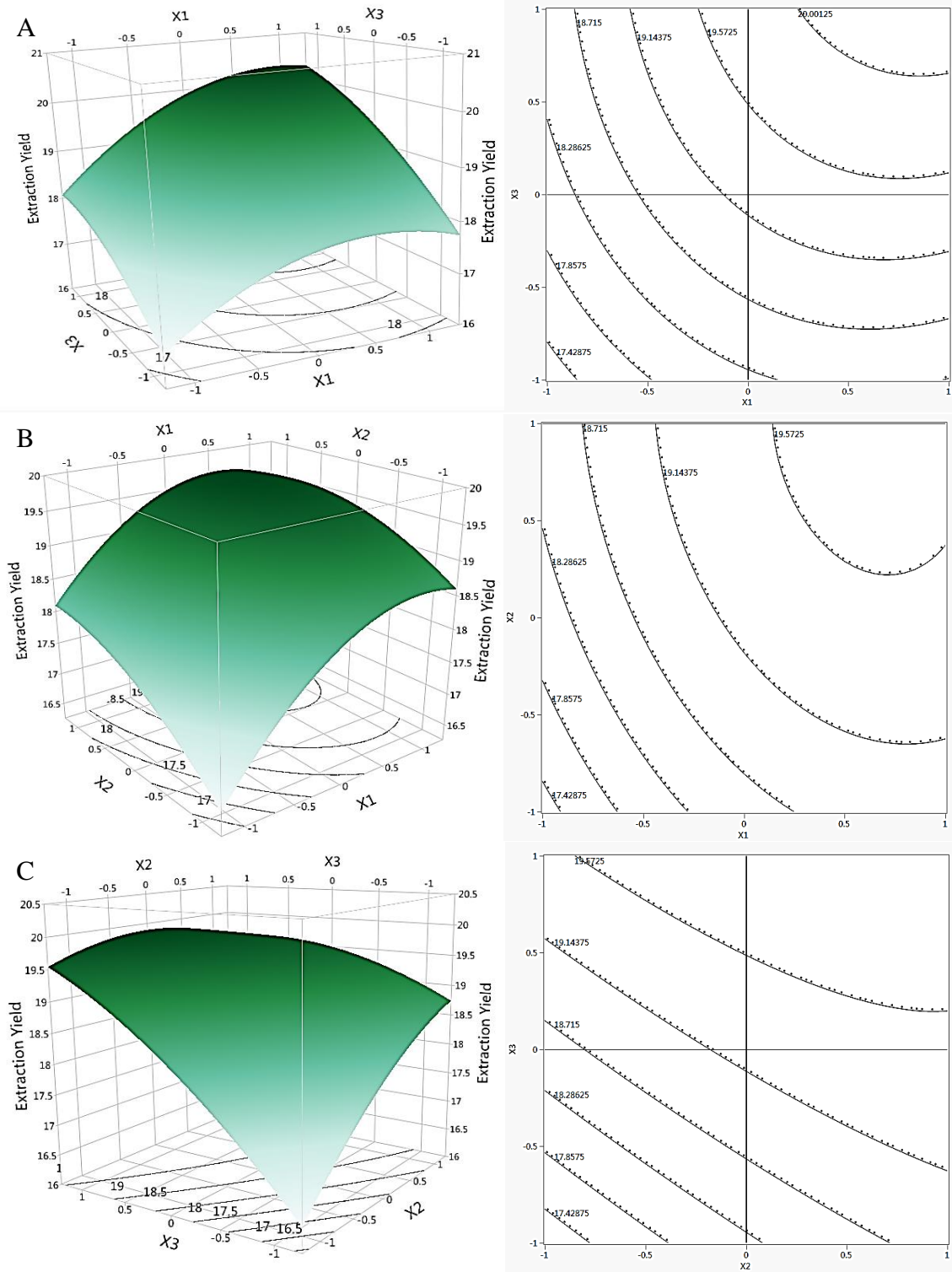
315 X_1 =Extraction Temperature; X_2 =S/M Ratio; X_3 =Extraction Time.

316 *Significant at 0.05 level; **Significant at 0.01 level.

317 As showed in Table 2, the regression coefficient values of Eq. (3) were listed. X_1
 318 (extraction temperature), X_2 (S/M ratio) and X_3 (extraction time) had significant
 319 impact on the yield of fructan ($p < 0.05$), of which X_1 , X_3 are highly significant ($p <$
 320 0.01). It was clear that these three factors had an influence on the extraction yield; the
 321 probability of quadratic term X_1^2 was 0.051, showed that extraction yield was mainly
 322 affected by temperature, the same found Sun et al. (2010); the probability of
 323 interaction term $X_2 * X_3$ was 0.089, accounting for the interaction of S/M ratio and
 324 extraction time had great influence on it; the other term coefficients were not
 325 significant ($p > 0.05$).

326 3.2.2 Analysis of response and contour surface plots

327 The use of 3D-response surface and contour plots demonstrated the relationship
 328 between extraction temperature, time and S/M ratio in brevity and clear way (Fig.2).
 329 The content of fructan were greatly affected by these three factors, in good agreement
 330 with the result of regression coefficient in Table 2, and the other studies in optimizing
 331 the extraction of polysaccharides from *C. pilosula*. (Sun, Liu, & Kennedy, 2010; Yu et
 332 al., 2015; Zou et al., 2011), that the yield of the polysaccharides could be increased
 333 accompanied with the rise of temperature, S/M ratio and extraction time.



334

335 **Fig.2.** The interactive effects on the extraction yields of fructan from *C. pilosula* (left, response
 336 surface plots; right, contour plots). A, Effect of extraction temperature (X_1) and time (X_3) on the
 337 yield of fructan from *C. pilosula*; B, Effect of extraction temperature (X_1) and S/M ratio (X_2) on
 338 the yield of fructan from *C. pilosula*; C, Effect of S/M ratio (X_2) and extraction time (X_3) on the
 339 yield of fructan from *C. pilosula*.

340 Fig.2A displayed the effects of X_1 and X_3 on the yield of fructan at a fixed X_2 level 0
341 and the yield increased with rise of extraction temperature from 80 to 99.18 °C and
342 time from 1.5 to 2.5 h, respectively. Fig.2B presented the quadratic effects of X_1 and
343 X_2 on the fructan yield as X_3 was fixed at level 0. The yield enhanced with increase of
344 extraction temperature from 80 to 99.18°C, and S/M ratio increasing from 30 to 40.02
345 mL/g. However, it decreased with further increase of extraction temperature. The
346 reciprocal effects of X_2 and X_3 on the yield when X_1 was fixed at level 0 and was
347 shown in Fig.2C. The result indicated that the fructan yield was maximum at the ratio
348 of S/M and extraction time, were 40.02 mL/g and 2.5 h, respectively, and decreased
349 when the ratio was increased beyond 40.02 mL/g. In general, it can be concluded that
350 optimal extraction conditions of fructan from *C. pilosula* were extraction temperature
351 99.18°C, ratio of S/M 40.02 mL/g, and extraction time 2.5 h (Fig.2). In addition, the
352 contour plot in Fig.2C showed that it was closer to the linear relationship between X_2
353 and X_3 , as shown in Table 2 ($p=0.089$), indicating that the reciprocal action between
354 extraction time and S/M ratio could be the main role, of which the extraction time was
355 more influential ($p=0.00142$). The extraction time was the most significant factor to
356 affect the extraction yield of fructan from *C. pilosula*, followed by extraction
357 temperature and S/M ratio according to the regression coefficients in Table 2 and
358 gradient of slope in the 3-D response surface plot (Fig. 2).

359 3.2.3 Optimization of extraction conditions

360 By analysing the plots, the optimal conditions for fructan extraction were: extraction
361 temperature of 99.18 °C ($X_1=0.9175$), extraction time of 2.5 h ($X_3=1.00$), and S/M
362 ratio of 40.02 mL/g ($X_2=0.0235$). Under the optimal conditions, the maximum
363 predicted yield of polysaccharide is 20.2%.

364 3.2.4 Verification of the models

365 Three verification tests were carried out to notarize the adequacy of models for
366 predicting the values of dependent variables. Under the optimum conditions, the
367 predicted maximum yield of fructan was 20.2%. A mean value of $20.6 \pm 0.2\%$ ($n=3$),
368 was obtained from real extraction conditions, which was at extraction temperature of
369 100 °C, S/M ratio of 40 mL/g and extraction time 2.5 h. The yield was close to the

370 predicted value since the R^2 value of this model was high (Table 2). In a word, the Eq.
371 (3) could simulate the trend of fructan content, applying to the experiment
372 requirement and actual production.

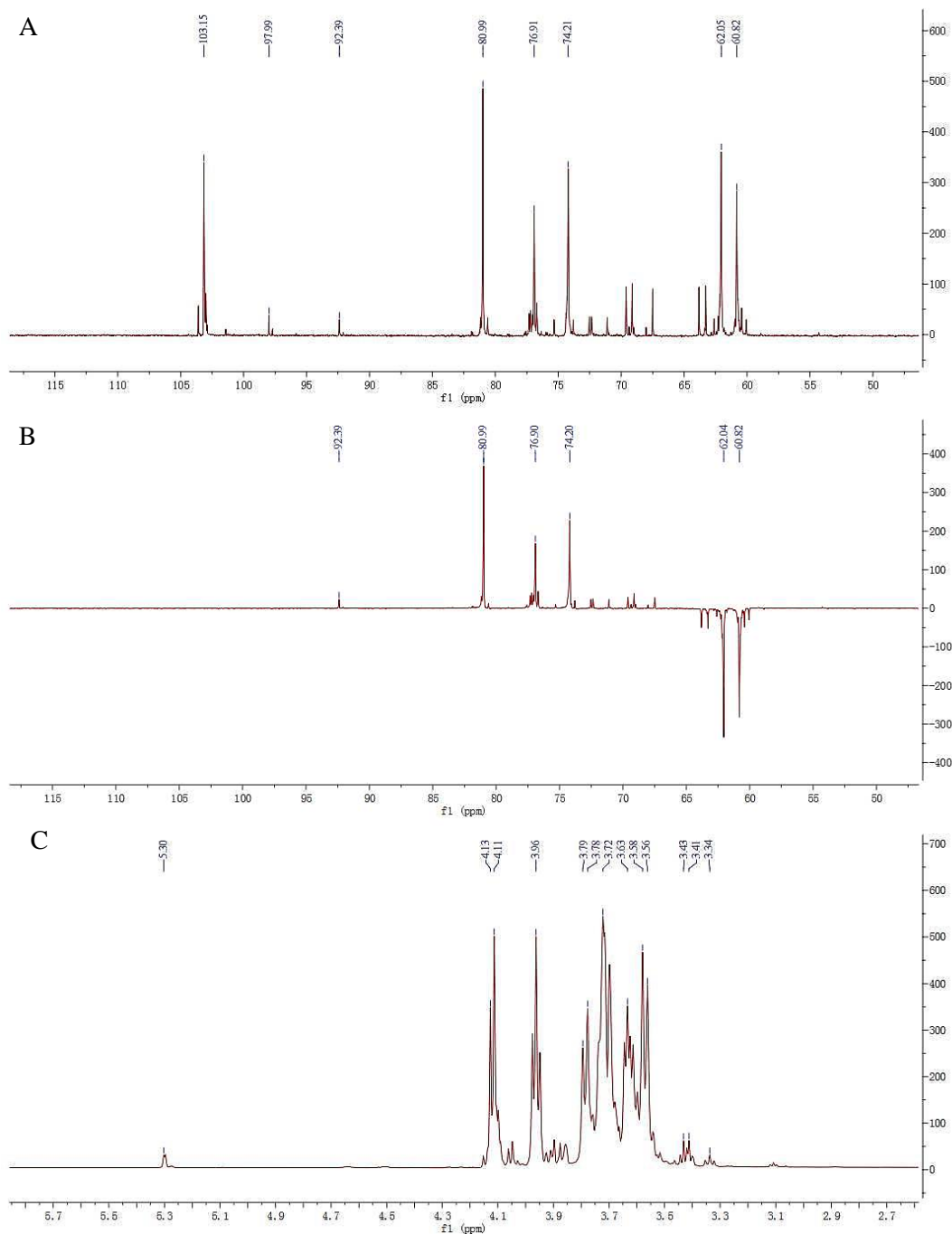
373 **3.3 Chemical characterization of CPPF**

374 The CPPF was purified by DEAE anion exchange chromatograph, with yield of 62%
375 (m/m) of CPP (34 g), extracted from 100 g *C. pilosula* in optimum conditions
376 modified in 3.2.4. It consisted in Glc and Fru, which were detected by GC after
377 methanolysis and the Urea-HCl colorimetric method, respectively. The
378 inter-glycosidic linkages identified by GC-MS after methylation analysis showed that
379 the polymer was composed of mainly terminal-Fruf, terminal-Glcp and 2, 1-linked
380 Fruf, with ratio of 0.8, 1 and 3.9, respectively, and the DP_{av} calculated was 6 based on
381 the areas of the compounds.

382 The serial combination of multiple size-exclusion chromatography (SEC) columns
383 allows broad molecular weight distribution, and provides higher resolution.
384 Multi-angle laser-light scattering (MALLS) is a technique for determining,
385 independently, the absolute molar mass and the average size of particles in solution,
386 by detecting how they scatter light (Li, Wu, Lv, & Zhao, 2013). But the fact that the
387 light scattering signal was too weak to detect the low molecular mass (especially in
388 low concentration) of the fructan, so that the small molecular of CPPF were not taken
389 into account in the average M_w and M_n values using the instrument software (Evans,
390 Gallagher, Ratcliffe, & Williams, 2016). Combing these results above, it was
391 probability that the CPPF contained higher amount of small molecular inulin. Thus,
392 the DP of CPPF was around 17 (2810 Da, which was obtained according to the
393 SEC-MALLS, being the maximum M_w of the chains present), with DP_{av} 6
394 approximately.

395 The NMR signals were characterized and compared with chemical shift values from
396 literature (de Oliveira et al., 2011; Pontes et al., 2016; Yang, Hu, & Zhao, 2011; Ye et
397 al., 2005). The ^{13}C NMR spectrum contained six signals, one major signal at 103.15
398 ppm, assigned to the anomeric carbon of Fruf; five minor signals at 60.82, 76.91,
399 74.21, 80.99 and 62.05 ppm, being the signals of C1-Fruf, C3-Fruf, C4-Fruf, C5-Fruf

400 and C6-Fruf, respectively (Fig.3A); and two methylene carbon atoms C1-Fruf and
401 C6-Fruf that were confirmed by DEPT 135 spectrum (Fig.3B). The carbon atom
402 signal at 92.39 ppm was assigned to Glcp because signals beyond 100 ppm pointed to
403 ketose residues. The other signals between 60.42 ~ 71.10 ppm belonged to the C2~C6
404 of the Glcp (Fig.3A). The ¹H NMR spectrum of CPPF contained a main anomeric
405 proton at 5.30 ppm, belonging to the H1-Glcp, terminal monosaccharide (Fig.3C).
406 The other signals at δ 4.11 and 3.96, were assigned to the H3-Fruf and H4-Fruf,
407 respectively, suggesting a DP of about 16-17 by the mean ratio between the integral
408 proton signal (H3-Fruf and H4-Fruf) and the integral of Glcp signal (H¹-Glcp) (Caleffi
409 et al., 2015), coinciding with Mw testing. The signals between δ 3.56~3.79
410 corresponded to H1-Fruf, H5-Fruf and H6-Fruf (Fig.3C). All these results indicated
411 that the CPPF was linked on C-2 of Fruf and C-1 of the terminal-Glcp, with backbone
412 of (2→1)-Fruf, identified as a typical inulin-type fructan: β- (2→1)-linked
413 configuration at the anomeric carbon of fructosyl residues, connected with terminal
414 Glcp residue by α-D-(1→2) bond, combing the results of monosaccharides
415 compositions and glycosidic linkage.



416

417

Fig.3. The ^{13}C -NMR (A), DEPT (B) and ^1H -NMR (C) spectrum of CPPF.

418

3.4 Prebiotic effect

419

Inulin and fructo-oligosaccharides are defined as non-digestible carbohydrates which
 420 selectively stimulates the beneficial bacteria, like *Lactobacillus*, improving gut health
 421 (Lopes et al., 2017; Meyer et al., 2009). The CPPF (DP_n 2-17) was fermented by these
 422 six strains of lactobacilli, and the bacteria increased (p<0.05) in optical density of the
 423 medium compared to the basal medium (without sugar) (Table 3). The P95s (DP_n=2-9)

424 was the most suitable carbon source showing higher bacterial growth compared with
425 CPPF ($DP_n=2-17$) and Orafti[®]HP ($DP_{av} \geq 23$), while the bacteria in the medium
426 containing Orafti[®]HP did not increase comparing to the basal medium ($p > 0.05$) (Table
427 3). It was shown that the bacterial growth increased with decreasing of the DP, similar
428 with previous reports (Caleffi et al., 2015; Garc á Gamboa et al., 2018; Lopes et al.,
429 2017; Li et al., 2015; Moreno-Vilet, 2014). In addition, the medium containing CPPF
430 presented lower pH after 24 h incubation in anaerobic chamber compared to the basal
431 medium, except Hjg8 (Table 4), which was consistent with the Δ_{600} in Table 4.
432 Meanwhile, these six strains of lactobacilli in the basal medium containing glucose
433 (1g/L) grew better than in MRS containing inulin, with lower final pH of the medium
434 (not shown), because it is a monosaccharide and could be absorbed easily. The
435 reduction of pH was due to the metabolites produced by lactobacilli, such as lactic
436 acid and acetic acid (Sato et al., 2013), and by the metabolite products from inulin,
437 like SCFA (Karimi, Azizi, Ghasemlou, & Vaziri, 2015). The increase in bacterial
438 density and lower pH indicated the growth of probiotics and an effective utilization of
439 CPPF.

440 Strain specificity in fermentation capacity was observed and each strain utilized CPPF
441 with varying intensity (Table 3), agree with these previous studies (Caleffi et al., 2015;
442 Lopes et al., 2017; Li et al., 2015; Watson et al., 2013). In this study, CPPF could be
443 utilized by all the six strains of lactobacilli. The strain *L. BS15* was shown to utilize
444 CPPF most efficiently, where the highest increase in ΔA_{600} (5.5-fold to basal
445 medium) after incubating 24 h, so did the strain *L. LGG* (2.3-fold to basal medium).
446 However, the strain *L. Hjg8* demonstrated a small ability to ferment CPPF (0.32-fold
447 to basal medium) (Table 3). The strain specificity of inulin utilization is due to the
448 capacity of the microorganism to ferment complex sugar, which depends on their
449 enzymatic equipment, especially the presence of hydrolases and transportases, like the
450 enzyme responsible for the hydrolysis of fructan in position β - (2→1) (Lopes et al.,
451 2017).

452 **Table 3** Capacity to ferment CPPF or commercial prebiotics by *Lactobacillus*

Groups	The bacterial density (ΔA_{600} , n=3)			
	Basal medium	P95S	Orafti®HP	CPPF
<i>L. buchneri</i> BSS1	0.0496±0.0020 ^{aA}	0.1671±0.0062 ^{cA}	0.0534±0.0027 ^{aA}	0.0926±0.0018 ^{bA}
<i>L. johnsonii</i> BS15	0.0053±0.0009 ^{aB}	0.0844±0.0015 ^{cB}	0.0075±0.0012 ^{aB}	0.0291±0.0023 ^{bB}
<i>L. johnsonii</i> Hjg8	0.0524±0.0012 ^{aC}	0.1875±0.0091 ^{cC}	0.0537±0.0026 ^{aA}	0.0690±0.0022 ^{bC}
<i>L. rhamnosus</i> LGG	0.0333±0.0087 ^{aD}	0.0973±0.0011 ^{cD}	0.0302±0.0008 ^{aC}	0.0758±0.0067 ^{bC}
<i>L. plantarum</i> BSGP201683	0.0720±0.0016 ^{aE}	0.2118±0.0074 ^{cE}	0.0751±0.0015 ^{aD}	0.1257±0.0042 ^{bD}
<i>L. plantarum</i> BS10	0.0552±0.0010 ^{aF}	0.3039±0.0011 ^{cF}	0.0541±0.0047 ^{aA}	0.0957±0.00049 ^{bA}

453 Notes: Data are expressed as increase in A_{600} of the bacterial suspension with 24 h incubation;

454 values are means from triplicate determination \pm standard deviation.

455 ^{abcd} Data in lines with different superscripts are significantly ($p < 0.05$)

456 ^{ABCDEF} Data in columns with different superscripts are significantly ($p < 0.05$).

457 **Table 4** The final pH of medium containing CPPF or commercially prebiotics by *Lactobacillus*.

Groups	pH (n=3)			
	Basal medium	P95s	Orafti®HP	CPPF
<i>L. buchneri</i> BSS1	6.58±0.06 ^{aC}	5.92±0.02 ^{cC}	6.48±0.05 ^{abC}	6.43±0.10 ^{bD}
<i>L. johnsonii</i> BS15	6.49±0.34 ^{aC}	5.74±0.13 ^{cB}	6.50±0.05 ^{aC}	6.28±0.06 ^{bC}
<i>L. johnsonii</i> Hjg8	5.81±0.07 ^{aA}	5.45±0.04 ^{bA}	5.83±0.02 ^{aA}	5.79±0.03 ^{aAB}
<i>L. rhamnosus</i> LGG	6.04±0.01 ^{aB}	5.71±0.01 ^{cB}	6.02±0.03 ^{aB}	5.84±0.05 ^{bB}
<i>L. plantarum</i> BSGP201683	5.82±0.02 ^{aA}	5.40±0.01 ^{cA}	5.83±0.01 ^{aA}	5.73±0.01 ^{bA}
<i>L. plantarum</i> BS10	5.80±0.07 ^{aA}	5.40±0.01 ^{cA}	5.82±0.01 ^{aA}	5.72±0.01 ^{bA}

458 Notes: Data are expressed as the final pH of the medium after 24 h incubation; values are means

459 from triplicate determination \pm standard deviation.

460 ^{abc} Data in lines with different superscripts are significantly ($p < 0.05$)

461 ^{ABCD} Data in columns with different superscripts are significantly ($p < 0.05$).

462 Other than the prebiotics activity, the inulin could stimulate the intestinal mucosa

463 immunity directly, strengthen the epithelial barrier function, and could protect from

464 the enterogenous endotoxin absorption and reducing inflammatory bowel disease

465 (Franck & Bosscher, 2006; Masanetz, Prei Ringer, Meye, & Pfaffl, 2011; Vogt et al.,

466 2015). Nevertheless, the studies about prebiotic activity *in vitro* are not enough to
467 claim the real mechanism. It must be considered to combine the impact of inulin on
468 intestinal immunity, both *in vitro* and *in vivo*, and this would be done in further
469 studies.

470 **4 Conclusion**

471 In this study, the optimal extraction condition of fructan from *C. pilosula* were
472 obtained by RSM, and the maximum yield was 20.6% (m/m) employing the
473 parameters of temperature 100 °C, ratio of solvent to material 40 mL/g, extraction
474 time 2.5 h. The fructan was consisted of β -D-Fruf and α -D-Glcp, with β -(2→1)-linked
475 configuration and degree of polymerization of 2-17, identified as α -D-Glcp-(1→2)
476 -[β -D-Fruf-(2→1)- β -D-Fruf]_n-(2→1)- β -D-Fruf, i.e. inulin-type fructan. In addition, it
477 could be fermented by six strains of *Lactobacillus*, reduce the pH of the medium, and
478 could be a potential prebiotic, depending on their structure and degree of
479 polymerization. Nevertheless, we merely explored the preliminary prebiotic activity
480 of CPPF based on the structure analysis. In the future, we will investigate the specific
481 target on the bacteria and also how inulin is acting on the intestinal mucosa.

482 **Acknowledgments**

483 The authors are indebted to Margey Tadesse, Department of Pharmaceutical
484 Chemistry, University of Oslo, for the methanolysis and recording of the GC–MS
485 experiments in the determination of glycosidic linkages. This work was supported in
486 part by the International Cooperation Projects of Science & Technology Department
487 of Sichuan Province (2017HH0093), General Financial Grant from the China
488 Postdoctoral Science Foundation (2016M602704) and Natural Science Foundation of
489 China (81760679), and The Foundation of returnees in Sichuan province, and
490 National Natural Science Foundation of China (31400006), the Natural Science
491 Foundation of Guangdong Province of China (2015A030310123) and Shenzhen
492 Overseas High-Level Personnel Innovation Special Fund (KQCX20150331I7354154).

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